

# Estrogen Receptor- $\alpha$ Phosphorylated at Ser<sup>118</sup> Is Present at the Promoters of Estrogen-Regulated Genes and Is Not Altered Due to HER-2 Overexpression

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## Abstract

Detection of estrogen receptor (ER)- $\alpha$  phosphorylated at Ser<sup>118</sup> (P-Ser<sup>118</sup>-ER- $\alpha$ ) may be an indicator of an intact ligand-dependent ER- $\alpha$  in breast tumors *in vivo* and may predict responsiveness to endocrine therapy. The current study addresses whether P-Ser<sup>118</sup>-ER- $\alpha$  is functionally involved in ER target gene transcription and if this is modulated by HER-2 overexpression. Using chromatin immunoprecipitation analysis, P-Ser<sup>118</sup>-ER- $\alpha$  was found associated with the promoters of several estrogen-regulated genes in MCF-7 breast cancer cells 30 minutes following estrogen treatment. Coactivators AIB1 and p300 were coimmunoprecipitated with P-Ser<sup>118</sup>-ER- $\alpha$  following estrogen treatment. The overexpression of HER-2 protein in MCF-7 cells did not affect estrogen induction of phosphorylation of Ser<sup>118</sup> or its presence at the promoters of several estrogen-regulated genes. U0126, an inhibitor of mitogen-activated protein kinase (MAPK) pathway, had no effect on P-Ser<sup>118</sup>-ER- $\alpha$ . The lack of effect of HER-2 overexpression on P-Ser<sup>118</sup>-ER- $\alpha$  expression in cell models is supported by similar levels of expression of P-Ser<sup>118</sup>-ER- $\alpha$  in ER<sup>+</sup>/HER-2-overexpressing and ER<sup>+</sup>/HER-2<sup>-</sup> breast tumors *in vivo*. Using inhibitors of cyclin-dependent kinase 7 (Cdk7), [(5,6-dichloro-1- $\beta$ -D-ribofuranosylbenzimidazole and 2-(R)-1-ethyl-2-hydroxyethylamino)-6-benzylamino-9-isopropylpurine], and I $\kappa$ B kinase- $\alpha$  (IKK- $\alpha$ ; BAY-11-7082), we show that IKK- $\alpha$ , but not Cdk7, is at least in part involved in estrogen-mediated phosphorylation at Ser<sup>118</sup> in MCF-7 cells. Our data provide direct evidence for a functional role of P-Ser<sup>118</sup>-ER- $\alpha$  in estrogen-regulated signaling and do not support the hypothesis that resistance of breast tumors to tamoxifen therapy involves ligand independent activation of ER- $\alpha$  due to constitutive phosphorylation of Ser<sup>118</sup> by constitutive activation of MAPK pathway. (Cancer Res 2006; 66(20): 10162-70)

## Introduction

Estrogen receptor (ER)- $\alpha$  is a phosphoprotein (1). There are several different sites on ER- $\alpha$  that can be phosphorylated and may modulate ER- $\alpha$  action and stability, although the exact functional roles *in vivo* of phosphorylation at the various sites are unclear (1). Phosphorylation of ER- $\alpha$  can be enhanced by estradiol binding

and/or via activation of other signal transduction pathways (1). A well-studied phosphorylation site on ER- $\alpha$  is Ser<sup>118</sup>, located in the NH<sub>2</sub>-terminal domain of ER- $\alpha$  where the ligand independent activation function of the receptor is also located. Both estrogens and growth factors, such as epidermal growth factor (EGF) and insulin-like growth factor (IGF)-I, can result in phosphorylation of ER- $\alpha$  at Ser<sup>118</sup> (P-Ser<sup>118</sup>-ER- $\alpha$ ) and direct P-Ser<sup>118</sup>-ER- $\alpha$  by p44/42 mitogen-activated protein kinase [MAPK; extracellular signal-regulated kinase 1/2 (ERK1/2)] occurs *in vitro* (2). In addition, Ser<sup>118</sup> in ER- $\alpha$  is a major phosphorylation site resulting from activation of the ras-raf-MAPK pathway *in vivo* (1) and this process is ligand independent. In contrast, it is unclear which kinase is responsible for the 17 $\beta$ -estradiol (E<sub>2</sub>)-dependent P-Ser<sup>118</sup>-ER- $\alpha$ , although cyclin-dependent kinase 7 (Cdk7) and I $\kappa$ B kinase- $\alpha$  (IKK- $\alpha$ ) are candidates (3, 4). It has been suggested that ligand-independent ER- $\alpha$  activation may be involved in the mechanisms of altered estrogen action and antiestrogen resistance in breast cancer. P-Ser<sup>118</sup>-ER- $\alpha$  can result from activation of several growth factor receptor signal transduction pathways [e.g., EGF receptor/HER-2 and the IGF receptor (1) and up-regulation of the former is associated with resistance to endocrine therapy in both cell line models and *in vivo* (5–8)]. Therefore, the role and mechanism of P-Ser<sup>118</sup>-ER- $\alpha$  has not yet been resolved satisfactorily and is being investigated extensively.

Our previous studies of the role of P-Ser<sup>118</sup>-ER- $\alpha$  *in vivo* have suggested that detection of P-Ser<sup>118</sup>-ER- $\alpha$  in primary breast tumors is associated with a more differentiated phenotype, other markers of good prognosis, and a better response to tamoxifen (9, 10). We concluded that, in contrast to the situation in cell line models, P-Ser<sup>118</sup>-ER- $\alpha$  is a marker of a functional, intact ligand-dependent ER- $\alpha$  signaling pathway in primary breast tumors *in vivo* and that P-Ser<sup>118</sup>-ER- $\alpha$  was likely to be involved intimately in the mechanism by which the E<sub>2</sub>/ER- $\alpha$  complex regulates gene transcription and possibly other mechanisms of E<sub>2</sub>/ER- $\alpha$  signaling. However, the data *in vivo* are correlative studies and, although suggestive, do not provide evidence of a direct involvement of P-Ser<sup>118</sup>-ER- $\alpha$  in E<sub>2</sub>/ER- $\alpha$ -mediated activities. In this current study, we provide evidence for the direct involvement of P-Ser<sup>118</sup>-ER- $\alpha$  in E<sub>2</sub>/ER- $\alpha$ -mediated transcription of some classic as well as nonclassic target genes and provide evidence that expression of P-Ser<sup>118</sup>-ER- $\alpha$  is unaffected by overexpression of HER-2/*neu* both in cell line models and *in vivo*.

## Materials and Methods

**Materials.** Tissue culture DMEM, E<sub>2</sub>, 4-hydroxytamoxifen (4-OHT), Cdk7 inhibitors, (5,6-dichloro-1- $\beta$ -D-ribofuranosylbenzimidazole (DRB) and 2-(R)-1-ethyl-2-hydroxyethylamino)-6-benzylamino-9-isopropylpurine [(R)-roscovitine], were purchased from Sigma-Aldrich Co. (St. Louis, MO). ICI

**Note:** J.R. Davie holds a Canada Research Chair.

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182780 was a gift from AstraZeneca (Macclesfield, United Kingdom). IKK- $\alpha$  inhibitor BAY-11-7082 was from Alexis Biochemicals (Lausen, Switzerland). Tissue culture plasticware was from Corning Glass Works (Corning, NY). Human recombinant EGF was obtained from Upstate Biotechnology (Lake Placid, NY). The antibodies against P-Ser<sup>118</sup>-ER- $\alpha$  (16JR), phosphorylated ERK1/2, and phosphorylated RNA polymerase II subunit Rpb1-COOH-terminal domain (CTD; Ser<sup>2</sup>/Ser<sup>5</sup>) were from Cell Signaling Technology, Inc. (Beverly, MA); the antibody against ER- $\alpha$  (HC20, rabbit polyclonal) was from Santa Cruz Biotechnology, Inc. (Santa Cruz, CA); the antibody against AIB1 was from BD Biosciences (Mountain View, CA); the antibody against ERK1/2 was from Invitrogen Corp. (Carlsbad, CA); the antibody against p300 was from Upstate (Charlottesville, VA); the antibodies against HER-2 and ER- $\alpha$  (6F11, mouse monoclonal) were from Novocastra Ltd. (Newcastle upon Tyne, United Kingdom); and the antibody against  $\beta$ -actin was from Sigma-Aldrich. Peroxidase-conjugated goat anti-mouse IgG and goat anti-rabbit IgG were from Jackson ImmunoResearch Laboratories, Inc. (West Grove, PA). All other reagents were of analytic grade.

**Cell lines.** MCF-7 (T5) breast cancer cells, the derivative MCF-7-Neo and MCF-7/HER-2 cell lines (stably transfected with the control vector alone and a HER-2-overexpressing vector, respectively, a generous gift from Dr. M. Alaoui-Jamali, McGill University, Montreal, Quebec, Canada; ref. 11), and ZR-75-1 and T47D cells were maintained in DMEM containing 1 g/L glucose supplemented with 5% fetal bovine serum (FBS) and antibiotics. For experiments, the medium was changed to medium containing 5% (v/v) 2 $\times$  charcoal/dextran-treated FBS [5% charcoal stripped (CS)] 24 hours after seeding and, 72 hours later, cultures were treated with E<sub>2</sub> (10 nmol/L), EGF (100 ng/mL), ICI 182780 (100 nmol/L), and 4-OHT (100 nmol/L). The vehicle alone (ethanol) was added to control cultures, and its concentration was kept below 0.01% (v/v).

**Western blotting.** Cell extracts were prepared as described previously (12). Briefly, cells were seeded in 6-cm Petri dishes. After treatment, cells were washed with ice-cold PBS and harvested in 200  $\mu$ L SDS buffer [100 mmol/L Tris-HCl (pH 6.8), 20% glycerol, 4.0% SDS], boiled for 15 minutes, and placed on ice for 10 minutes. Following protein concentration determination (bicinchoninic acid protein detection kit, Pierce Biotechnology, Inc. Rockford, IL) 15  $\mu$ L of 0.2% bromophenol blue (BPB) in  $\beta$ -mercaptoethanol ( $\beta$ -ME) were added to each sample. To extract proteins from the beads after immunoprecipitation, 60  $\mu$ L of the SDS buffer containing BPB and  $\beta$ -ME were added and samples were boiled for 15 minutes. The extracts were subjected to SDS-PAGE (30  $\mu$ g protein/lane or 30  $\mu$ L/lane for immunoprecipitated samples); Western blot analysis and quantification of enhanced chemiluminescence were carried out by Bio-Rad Gel Doc 2000 Chemi Doc gel documentation systems (Bio-Rad Laboratories, Inc., Hercules, CA).

**Chromatin immunoprecipitation.** Chromatin immunoprecipitation (ChIP) was done as described previously (13) with modifications. Briefly, cells were cultured for 3 days in 5% CS. After treatment with estrogen (10 nmol/L, 30 minutes), cells were washed twice with ice-cold PBS and cross-linked with 1% formaldehyde at room temperature for 10 minutes. Then, cells were incubated with 125 mmol/L glycine solution for 10 minutes at room temperature (14) and harvested in 1 mL ice-cold PBS. Cells were rinsed twice with ice-cold PBS and resuspended in lysis buffer [5 mmol/L PIPES (pH 8.0), 85 mmol/L KCl, 0.5% NP40], incubated on ice for 10 minutes, and centrifuged for 30 seconds at 16,000  $\times g$  at 4°C. The pellet was resuspended in 1 mL nuclei lysis buffer [50 mmol/L Tris-HCl (pH 8.1), 10 mmol/L EDTA, 1% SDS] supplemented with leupeptin (1  $\mu$ g/mL), aprotinin (1  $\mu$ g/mL), phenylmethylsulfonyl fluoride (10 mmol/L), and NaF (100 mmol/L) followed by incubation at room temperature for 10 minutes. Samples were sonicated thrice at 40% output setting (Braun-Sonic 1510 sonicator) followed by centrifugation for 15 minutes at 16,000  $\times g$  at 4°C. Supernatant (50  $\mu$ L) was taken as an input control and the rest of the sample was diluted 10 times in ChIP dilution buffer [0.01% SDS, 1.1% Triton X-100, 1.2 mmol/L EDTA, 16.7 mmol/L Tris (pH 8.1), 167 mmol/L NaCl] and divided into two portions. Anti-P-Ser<sup>118</sup>-ER- $\alpha$  antibody (2  $\mu$ g) and nonrelevant isotype-matched antibody (2  $\mu$ g) were added to each portion. After overnight incubation at 4°C, immunocomplexes were collected by addition of 50  $\mu$ L G-Sepharose (50% slurry) for 3 hours at 4°C and

centrifugation for 30 seconds at 16,000  $\times g$  at 4°C. Precipitates were washed thrice with ChIP dilution buffer and treated for 30 minutes with DNase-free RNase (20  $\mu$ g per sample) at 37°C followed by proteinase K (0.5 mg/mL) for 60 minutes at 55°C. To reverse formaldehyde cross-linking, the samples were incubated overnight at 65°C. DNA fragments were purified with a QIAquick Spin kit (Qiagen, Valencia, CA). The DNA concentration of samples was determined using PicoGreen reagent (Invitrogen) according to the manufacturer's instructions. Equal amounts of DNA were subjected to PCR for 30 to 35 cycles of amplification (15). The presence of the promoter regions of *pS2/TFF1*, *progesterone receptor (PR)*, *cyclin D1*, and *c-myc* genes were detected by PCR using pairs of primers as follows. *pS2*: ER-interacting promoter region, 5'-GACGGAATGGGCTTCATGAGC-3' and 5'-GATAACATTGCTAAGGAGG-3' (amplifying the -486 to -100 region; refs. 15, 16) and the irrelevant promoter region, 5'-CAGTCTGGCAAATCATTCCCAAAC-3' and 5'-CACATCTGAGAGGTAAGAGGAGGTG-3' (amplifying the +5,114 to +5,323 region; ref. 17); *PR*: ER-interacting activator protein-1 (*AP-1*) site, 5'-GGCTTTGGGCGGGCCTCCCTA-3' and 5'-TCTGCTGGCTCCGTACTGCGG-3' (amplifying the -54 to +186 region; ref. 18), ER-interacting *Sp1* site, 5'-GGACAAACGACAGCACAGTT-3' and 5'-GGGAAGGAGGAGGGGTTT-3' (amplifying the +515 to +692 region; ref. 19), and irrelevant region, 5'-GGATCCATTTTTAAGTCA-3' and 5'-GTATCCTCAGCGTGACAAA-3' (amplifying the -711 to -436 region; ref. 18, 19); *c-myc*: ER-responsive region, 5'-GAAAAAATGATCCTCTCTCGCTAATCTC-3' and 5'-CTGCCTCTCGCTGGAATTACTACA-3' (amplifying the -46 to +215 region relative to the P1 promoter; ref. 20) and irrelevant region, 5'-GAGGGTCAAGTTGGACAGTG-3' and 5'-AGCTCCGTTTTAGCTCGTT-3' (amplifying the +4,560 to +4,713 region with reference to Genbank accession no. AY214166); and *cyclin D1*: ER-interacting region, 5'-AACAAAACCAATTAGGAACCTT-3' and 5'-ATTTCCTTCATCTTGTCCTTCT-3' (amplifying the -1,039 to -770 region; ref. 21) and irrelevant region, 5'-GCTGAACTAATTGATCTGGAG-3' and 5'-CCATTGTTAAGCCCTTAAGTC-3' (amplifying the -3,247 to -2,931 region; ref. 21).

**Human breast tumors.** All invasive breast cancers used in the current study were obtained from the Manitoba Breast Tumor Bank (MBTB; Department of Pathology, University of Manitoba, Winnipeg, Manitoba, Canada; ref. 22), which operates with the approval from the Faculty of Medicine, University of Manitoba, Research Ethics Board. The histopathology of all MBTB cases has been assessed and entered into a computerized database to enable selection based on composition of the tissue as well as clinicopathologic variables. After selection, cases were rereviewed on H&E sections by a breast histopathologist (P.H.W.). A tissue microarray (TMA) composed of a consecutive series of 191 primary breast tumor biopsies selected from a database of ~5,000 cases was constructed. The case series was representative of the entire database in that it comprised ~70% ER<sup>+</sup> tumors as determined by ligand-binding analysis. This TMA was screened for HER-2-overexpressing breast tumors (immunohistochemistry score of 3+, on a 4-point scale; 0-3+, as used in routine clinical assessment). Those tumors that were ER- $\alpha$ <sup>+</sup> [ $>3$  fmol/mg protein by ligand-binding assay (LBA)] and HER-2 overexpressing were selected for analysis of their P-Ser<sup>118</sup>-ER- $\alpha$  status ( $n = 16$ ) using sections from the original biopsy block. A control group ( $n = 16$ ) was selected from the tumor bank as HER-2<sup>-</sup> but matched to the ER<sup>+</sup>/HER-2-overexpressing tumors for level of expression of ER- $\alpha$  and PR (determined by LBA), as well as grade and histologic type (Table 1).

**Immunohistochemical assay (immunohistochemistry).** Serial sections (5- $\mu$ m/L thick) of the TMA or biopsy block were cut, mounted on Superfrost/plus slides (Fisher Scientific, Pittsburgh, PA), and stained using immunohistochemistry with commercially available specific antibodies: HER-2 (mouse monoclonal, clone CB11, Novocastra) at 1:50 and P-Ser<sup>118</sup>-ER- $\alpha$  (Cell Signaling Technology) at 1:600 as described previously (10). Slides were viewed and scored under a standard light microscope.

**Quantification technique and statistical analysis.** The expression of P-Ser<sup>118</sup>-ER- $\alpha$  was assessed using semiquantitative scoring (immunohistochemistry scores). Immunohistochemistry scores include an assessment of both staining intensity (scale 0-3) and the percentage of positive cells (0-100%), which when multiplied range from 0 to 300. Cases were evaluated by three authors (G.P.S., L.C.M., and P.H.W.) independently and where discordance was found, cases were reevaluated together to reach

**Table 1.** Relationship between the expression of P-Ser<sup>118</sup>-ER-α and HER-2 in breast tumors

HER-2 <sup>+</sup>						HER-2 <sup>-</sup>					
Tumor no.	ER*	PR*	Type	Grade <sup>†</sup>	P-Ser <sup>118</sup> -ER-α score	Tumor no.	ER*	PR*	Type	Grade <sup>†</sup>	P-Ser <sup>118</sup> -ER-α score
1	3.5	8.2	D	6	+	1	3.6	2.6	D	9	+
2	5	4.9	D	8	—	2	4.3	1.3	D	7	+
3	5.5	12.7	D	7	—	3	4.8	2.9	D	9	+
4	6.9	5	D	8	—	4	4.8	11.8	D	5	+
5	8.4	16.6	D	8	—	5	5.5	6.2	D	7	—
6	9.7	12.8	D	7	—	6	6.2	10.5	D	8	—
7	11.7	6.8	D	9	—	7	9.4	15.1	D+L	7	+
8	13	11.7	D	6	—	8	12	12.4	D	7	—
9	20	5.3	L	6	—	9	23	6.6	D	6	+
10	27	8.5	D	9	+	10	31	96	D	7	+
11	27	12.7	L	6	+	11	32	3.4	D	7	+
12	27	30	D	8	+	12	32	5.3	D	8	+
13	28	35	D	8	+	13	32	15.3	D	6	+
14	31	57	D	8	—	14	37	23	D	6	+
15	52	28	D	4	+	15	48	24	D	9	+
16	59	68	D	6	+	16	48	24	D	6	+
Average	20.9	20.2		7.1		Average	20.9	16.3		7.1	
SD	16.5	19		1.4		SD	16.3	22.6		1.2	

NOTE: D, infiltrating ductal carcinoma; L, infiltrating lobular carcinoma.

\*fmol/mg protein determined by LBA; ER<sup>+</sup> >3 fmol/mg.

†Nottingham system.

‡Positive P-Ser<sup>118</sup>-ER-α, immunohistochemistry score of >0.

agreement. Tumors with membrane staining at intensities of 3+ were considered HER-2-overexpressing tumors, and the cut point for positivity for P-Ser<sup>118</sup>-ER-α was immunohistochemistry score of >0. Differences between tumor subgroups (HER<sup>+</sup> versus HER<sup>-</sup>) were tested using the Mann-Whitney rank-sum test, two-sided. Associations between HER-2 and P-Ser<sup>118</sup>-ER-α were tested using contingency methods (Fisher's exact test) and the assigned cutoff values for positivity and negativity. All tests were done using Prism 4.02 (GraphPad, Inc., San Diego, CA) statistical analysis software. The calculation of the percentage inhibitory effect of BAY-11-7082 on estrogen-induced phosphorylation of Ser<sup>118</sup> used the ratio of the signal obtained with anti-P-Ser<sup>118</sup>-ER-α to total ER-α antibodies in samples treated with estradiol in the absence ( $R_E$ ) or presence ( $R_{EI}$ ) of inhibitor according to the equation  $(1 - R_{EI} / R_E) \times 100$ .

## Results

**Effect of ligand on expression of P-Ser<sup>118</sup>-ER-α in MCF-7 human breast cancer cells.** The time course and ligand dependence of P-Ser<sup>118</sup>-ER-α in MCF-7 (T5) cells was determined (Fig. 1). The level of P-Ser<sup>118</sup>-ER-α increased markedly between 15 and 60 minutes following E<sub>2</sub> treatment (10 nmol/L E<sub>2</sub>). During this time, no marked alteration of total ER-α levels occurs (Fig. 1A and B). A  $536 \pm 218\%$  (mean  $\pm$  SD;  $n = 12$ ) increase in the level of P-Ser<sup>118</sup>-ER-α was observed 30 minutes after E<sub>2</sub> treatment. Thereafter, the level of P-Ser<sup>118</sup>-ER-α decreased and so also do the levels of total ER-α, such that the relative levels of P-Ser<sup>118</sup>-ER-α to total ER-α remained elevated above the control-untreated levels.

In contrast, treatment with 100 ng/mL human EGF increased P-Ser<sup>118</sup>-ER-α transiently with a maximum induction at 15 minutes of  $194 \pm 59\%$  (mean  $\pm$  SD;  $n = 3$ ) over control levels and decreased to background untreated levels by 30 minutes (Fig. 1B). Combining

E<sub>2</sub> and EGF treatment had an additive effect, in terms of maximum levels of induction, but in contrast to the effect of E<sub>2</sub> alone, the levels of P-Ser<sup>118</sup>-ER-α were not sustained and began to decrease 30 minutes after treatment (data not shown).

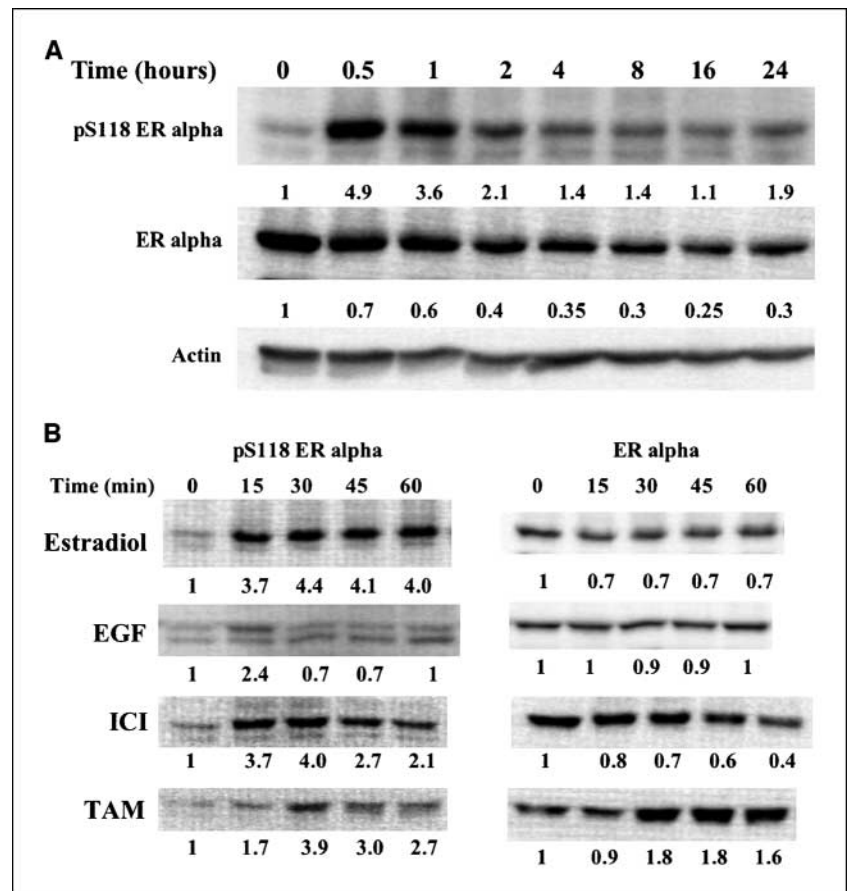
Treatment with ICI 182780 (1-500 nmol/L) for 30 minutes also increased the level of P-Ser<sup>118</sup>-ER-α in MCF-7 (T5) cells, reaching a maximum at 100 nmol/L (data not shown). The maximum effect of ICI 182780 (100 nmol/L) was similar to the effect of E<sub>2</sub> [ $509 \pm 41\%$  (mean  $\pm$  SD;  $n = 3$ ) compared with  $536 \pm 218\%$ ; Fig. 1B]. However, in contrast to E<sub>2</sub>, there was no maintenance of this level after 30 minutes of treatment and its decline occurred faster than after treatment with E<sub>2</sub> (Fig. 1B). Treatment with 4-OHT (10-500 nmol/L) for 30 minutes also resulted in elevated levels of P-Ser<sup>118</sup>-ER-α, again reaching a maximum at 100 nmol/L (data not shown). The level of P-Ser<sup>118</sup>-ER-α induced by 4-OHT was significantly lower than that caused by E<sub>2</sub> or ICI 182780 [ $257 \pm 170\%$  ( $n = 5$ ) compared with  $536 \pm 218\%$  and  $509 \pm 41\%$ , respectively]. At longer time points and in contrast to the effect of ICI 182780, 4-OHT increased the level of total ER-α (Fig. 1B).

**P-Ser<sup>118</sup>-ER-α is present at the promoters of estrogen-regulated genes in MCF-7 human breast cancer cells.** If P-Ser<sup>118</sup>-ER-α is functionally involved in mediating estrogen-regulated transcription, then P-Ser<sup>118</sup>-ER-α should be located at the promoters of genes whose transcription is directly regulated by the E<sub>2</sub>/ER-α complex. ChIP analysis was used to determine this.

Initially, the antibody to P-Ser<sup>118</sup>-ER-α was validated for immunoprecipitation of P-Ser<sup>118</sup>-ER-α under ChIP analysis conditions. Figure 2A shows that, under these conditions, the antibody to P-Ser<sup>118</sup>-ER-α is able to specifically immunoprecipitate ER-α



**Figure 1.** Expression of P-Ser<sup>118</sup>-ER-α in MCF-7 cells. MCF-7 (T5) cells were seeded in 6-cm dishes ( $2 \times 10^5$  per dish). Twenty-four hours later, medium was changed to medium containing 2× charcoal-treated serum. Ninety-six hours after seeding, cells were treated with estradiol (10 nmol/L; A and B), EGF (100 ng/mL; B), ICI 162780 (ICI; 100 nmol/L; B), and 4-OHT (TAM; 100 nmol/L; B) for indicated times. Cell extracts were prepared and analyzed as described in Materials and Methods. Western blotting used the rabbit polyclonal anti-ER-α (HC20). The level of protein expression in control cultures was assigned the value of one. Results are representative of one of three independent experiments.



after 30 minutes of E<sub>2</sub> treatment, but little, if any, ER-α is immunoprecipitated after 30 minutes of vehicle alone treatment (Fig. 2A). No ER-α is detected after immunoprecipitation using an irrelevant isotype-matched antibody (Fig. 2B). As well following E<sub>2</sub> treatment, increased AIB1 and p300 were coimmunoprecipitated with P-Ser<sup>118</sup>-ER-α (Fig. 2D). As well when AIB1 is specifically immunoprecipitated, P-Ser<sup>118</sup>-ER-α is coimmunoprecipitated after E<sub>2</sub> treatment only (data not shown).

Occupancy of a classically E<sub>2</sub>-regulated promoter, *pS2/TFF1*, by P-Ser<sup>118</sup>-ER-α was investigated first by ChIP analysis using the specific P-Ser<sup>118</sup>-ER-α antibody. As shown in Fig. 2C, primers flanking the −486 to −100 region of the *pS2/TFF1* promoter known to bind ER-α and required for E<sub>2</sub> regulation of transcription amplified specifically the appropriate fragment (387 bp) 30 minutes after E<sub>2</sub> treatment. Little, if any, amplification was found in the control-untreated cells or when an irrelevant antibody [β-galactosidase (β-gal)] was used for immunoprecipitation or when an irrelevant region was amplified (Fig. 2C). Next, the occupancy of a nonclassically E<sub>2</sub>-regulated promoter, *PR*, by P-Ser<sup>118</sup>-ER-α was tested using ChIP analysis. Both the half *ERE/AP-1* (−54 to +186) and the half *ERE/Sp1* (+515 to +692) have been identified as regions involved with ER-α binding and E<sub>2</sub>-regulation of transcription of the human *PR* (18, 23). Figure 2C also shows that P-Ser<sup>118</sup>-ER-α is specifically associated with the half *ERE/AP-1* as well as with the half *ERE/Sp1* region of the *PR* gene and at −1039 to −770 promoter region of the *cyclin D1* gene after 30 minutes of E<sub>2</sub> treatment (21). In addition, location of P-Ser<sup>118</sup>-ER-α after 30 minutes of E<sub>2</sub> treatment at the −46 to +215 promoter region

of the *c-myc* gene was identified (Fig. 2B). This region has been shown previously to be involved in E<sub>2</sub> regulation of *c-myc* transcription in breast cancer cells (20).

These data are consistent with the occupancy of estrogen-regulated promoters by P-Ser<sup>118</sup>-ER-α after E<sub>2</sub> treatment and the ability of P-Ser<sup>118</sup>-ER-α to recruit known coactivators to E<sub>2</sub>-regulated promoters after E<sub>2</sub> treatment and support a role of P-Ser<sup>118</sup>-ER-α in the mechanism by which ER-α directly regulates transcription of target genes.

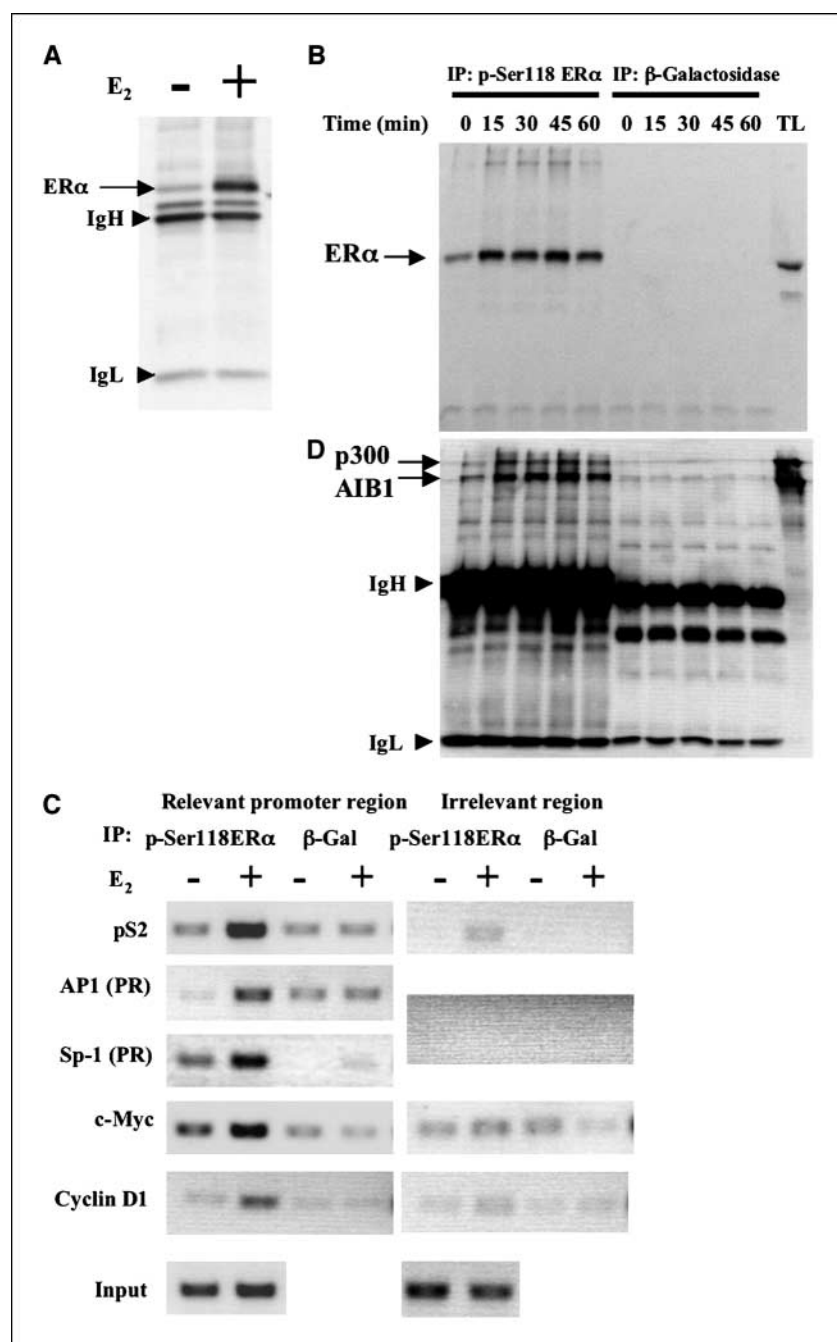
**Effect of overexpression of HER-2 on P-Ser<sup>118</sup>-ER-α expression in MCF-7 human breast cancer cells.** To determine if HER-2 overexpression in an ER-α<sup>+</sup> cell line (Fig. 3A) affected the expression of P-Ser<sup>118</sup>-ER-α, the time course and level of P-Ser<sup>118</sup>-ER-α after E<sub>2</sub> treatment was compared in MCF-7/HER-2 and MCF-7-vector alone (MCF-7-Neo) controls. As shown in Fig. 3B, neither basal levels of P-Ser<sup>118</sup>-ER-α following estrogen depletion nor the E<sub>2</sub> induction of P-Ser<sup>118</sup>-ER-α was different between the HER-2-overexpressing cells versus the vector alone control. The maximum level of P-Ser<sup>118</sup>-ER-α achieved after 30 minutes of E<sub>2</sub> treatment was  $634 \pm 296\%$  (mean  $\pm$  SD;  $n = 6$ ) in the HER-2-overexpressing cells and  $640 \pm 195\%$  ( $n = 6$ ) in the vector alone-expressing cells. It is interesting that high levels of activated ERK1/2 do not contribute to the P-Ser<sup>118</sup>-ER-α because inhibition of the upstream kinase of ERK1/2 by the selective inhibitor U0126 did not change the expression of P-Ser<sup>118</sup>-ER-α in HER-2-overexpressing cells (Fig. 3C). We also found that occupancy of the promoters of *pS2/TFF1* [HER-2 versus Neo,  $5.7 \pm 2.2$ -fold versus  $6.2 \pm 3.1$ -fold induction due to E<sub>2</sub>, not significant (NS); mean  $\pm$  SD;  $n = 6$ ], *PR* (AP-1 site

HER-2 versus Neo,  $2.9 \pm 0.3$ -fold versus  $2.2 \pm 0.6$ -fold induction, NS;  $n = 4$ ; Sp1 site HER-2 versus Neo,  $2.1 \pm 0.04$ -fold versus  $1.8 \pm 0.4$ -fold induction, NS; mean  $\pm$  SD;  $n = 3$ ), *c-myc* (HER-2 versus Neo,  $2.1 \pm 0.2$ -fold versus  $1.6 \pm 0.7$ -fold induction, NS; mean  $\pm$  SD;  $n = 4$ ), and *cyclin D1* (HER-2 versus Neo,  $5.7 \pm 5.4$ -fold versus  $4.7 \pm 3.9$ -fold induction, NS; mean  $\pm$  SD;  $n = 3$ ) genes, as examples of  $E_2$ -regulated genes, by P-Ser<sup>118</sup>-ER- $\alpha$  is similar in both HER-2 and Neo cells (Fig. 3D).

These data suggest that HER-2 overexpression has little, if any, effect on P-Ser<sup>118</sup>-ER- $\alpha$  expression specifically.

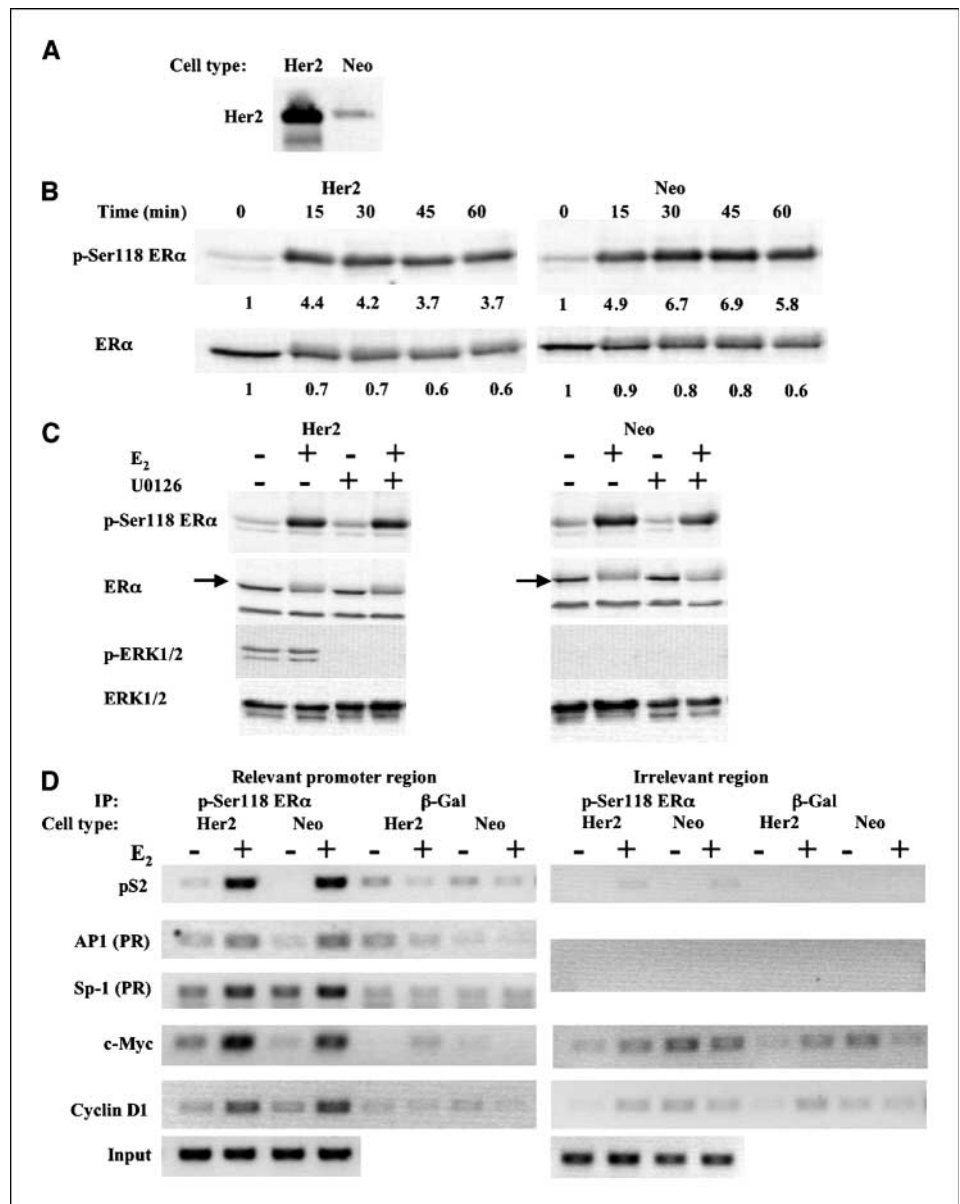
**Relationship of P-Ser<sup>118</sup>-ER- $\alpha$  expression to HER-2 overexpression in human breast tumors *in vivo*.** The lack of an effect of HER-2 overexpression on P-Ser<sup>118</sup>-ER- $\alpha$  expression in these

breast cancer cell line models was surprising and suggested that altered P-Ser<sup>118</sup>-ER- $\alpha$  expression was unlikely to be involved in tamoxifen resistance often observed in patients whose tumors are ER<sup>+</sup> and HER-2 overexpressing. To investigate this further and to determine the relevance of these breast cancer cell line models to human breast tumors *in vivo*, P-Ser<sup>118</sup>-ER- $\alpha$  expression was evaluated in relation to HER-2<sup>+</sup> status within a TMA of 191 primary breast tumors. Within this cohort, 16 ( $n = 16$ ) ER<sup>+</sup>- and HER-2-overexpressing breast tumors were identified and P-Ser<sup>118</sup>-ER- $\alpha$  levels were then compared with control tumors within the cohort that were matched for similar ER- $\alpha$  and PR expression, tumor type, and grade but did not express HER-2 ( $n = 16$ ; Fig. 4A and C; Table 1). Although there was a trend toward decreased



**Figure 2.** P-Ser<sup>118</sup>-ER- $\alpha$  is present at the promoter of *pS2*, *c-myc*, *cyclin D1*, and *PR* genes and interacts with coactivators. MCF-7 cells were seeded in 15-cm dishes ( $2 \times 10^6$  per dish). Twenty-four hours later, medium was changed to medium containing  $2 \times$  charcoal-treated serum. Ninety-six hours after seeding, cells were treated with estradiol (10 nmol/L;  $E_2$ ) for 30 minutes (A and C) or indicated times (B and D). Cell extracts and detection of DNA related to promoter regions of *pS2*, *c-myc*, *cyclin D1*, and *PR* genes were carried out as described in Materials and Methods. A, arrow, ER- $\alpha$  is immunoprecipitated by anti-phosphorylated Ser<sup>118</sup> antibody. Western blotting used the mouse monoclonal anti-ER- $\alpha$  (6F11). Arrowheads, IgG (heavy, IgH chain; light, IgL chain). B, arrow, ER- $\alpha$  is immunoprecipitated (IP) by anti-phosphorylated Ser<sup>118</sup> antibody (P-Ser<sup>118</sup>-ER- $\alpha$ ) but not by an irrelevant isotype-matched anti- $\beta$ -gal antibody. Western blotting used rabbit polyclonal anti-ER- $\alpha$  (HC20). C, detection of the occupancy of the promoter regions of *pS2/TFF1*, *c-myc*, *cyclin D1*, and *PR* genes by P-Ser<sup>118</sup>-ER- $\alpha$ . PCR results for the ER response regions (left) and an irrelevant region (right) of the promoters. Results are representative of one of four independent experiments. The input represents amplified signals before immunoprecipitation. This was done for all primer sets but only the input results obtained using primers flanking *pS2/TFF1* promoter are shown. D, P-Ser<sup>118</sup>-ER- $\alpha$  interacts with coactivator AIB1 and p300. After treatment with estradiol (10 nmol/L), cells were cross-linked with DSP and ER- $\alpha$  was immunoprecipitated by antibodies against phosphorylated Ser<sup>118</sup> (mouse). Proteins were detected by Western blot analysis using specific antibodies against p300 (mouse) and AIB1 (mouse) as described in Materials and Methods. Results are representative of one of four independent experiments. TL, total lysate of MCF-7 cells.

**Figure 3.** Effect of overexpression of HER-2 on P-Ser<sup>118</sup>-ER-α and occupancy of the promoter regions of estrogen-regulated genes in MCF-7 human breast cancer cells. MCF-7 cells overexpressing HER-2 or empty vector (Neo) were seeded in 6-cm dishes ( $2 \times 10^5$  per dish; A-C) or in 15-cm dish ( $2 \times 10^6$  per dish; D). Twenty-four hours later, medium was changed to medium containing  $2 \times$  charcoal-treated serum. Ninety-six hours after seeding, cells were treated with estradiol (10 nmol/L) for indicated times (B) or for 30 minutes (C and D). Cells were pretreated for 30 minutes with U0126 (10 μmol/L) before the addition of estrogen (C). Cell extracts and detection were carried out as described in Materials and Methods. A, expression of HER-2 in untreated cells. B and C, results are representative of one of four independent experiments. D, detection of DNA related to promoter regions of *pS2/TFF1*, *PR*, *c-myc*, and *cyclin D1* was carried out as described in Materials and Methods. Immunoprecipitation with antibodies to P-Ser<sup>118</sup>-ER-α and irrelevant antibody β-gal. PCR results for the ER-α response regions (left) and an irrelevant region (right) of the promoters. The input represents amplified signals before immunoprecipitation. This was done for all primer sets but only the input results obtained using primers flanking the *pS2/TFF1* promoter are shown. Results are representative of one of three to six independent experiments.



P-Ser<sup>118</sup>-ER-α expression in HER-2-overexpressing tumors compared with nonexpressing tumors (median immunohistochemistry score HER-2 overexpressors = 0 versus median for nonexpressors = 10;  $P = 0.089$ , Mann-Whitney, two-tailed) and a trend toward an inverse association of HER-2 overexpression and P-Ser<sup>118</sup>-ER-α positivity ( $P = 0.073$ , Fisher's exact test), these were not statistically significant (Fig. 4B and D). Therefore, the data are consistent with the conclusion that increased level or frequency of P-Ser<sup>118</sup>-ER-α expression is not associated with HER-2 overexpression in primary breast tumors that remain ER-α<sup>+</sup> *in vivo*.

**Which kinase is potentially involved in the E<sub>2</sub>-induced P-Ser<sup>118</sup>-ER-α?** Presently, two main kinases have been suggested to be responsible for E<sub>2</sub>-induced P-Ser<sup>118</sup>-ER-α, Cdk7 (3), and IKK-α (4). To determine the involvement of these in our cell line models DRB and BAY-11-7082, inhibitors of Cdk7 (24) and IKK-α (25), respectively, were used. Figure 5A shows that DRB (50 μmol/L) was unable to inhibit E<sub>2</sub>-induced P-Ser<sup>118</sup>-ER-α in MCF-7 cells, although as expected, DRB was able to inhibit Cdk7 as determined by

reduced levels of the phosphorylated Rpb1-CTD subunit of RNA polymerase II (Fig. 5A; ref. 26). In addition, when another Cdk7 inhibitor, roscovitine (50 μmol/L), was used, it similarly had no effect on E<sub>2</sub>-induced P-Ser<sup>118</sup>-ER-α despite being able to reduce phosphorylation of the Rpb1-CTD subunit of RNA polymerase II (Fig. 5B). In contrast, BAY-11-7082 (at saturating concentrations of 20 μmol/L; ref. 25) inhibited E<sub>2</sub>-induced P-Ser<sup>118</sup>-ER-α in MCF-7 cells either not expressing or overexpressing the HER-2 protein, as well as in ZR-75-1 and T47D cells (Fig. 5C). These data are consistent with IKK-α being involved, at least in part, in E<sub>2</sub>-induced P-Ser<sup>118</sup>-ER-α in these cell lines, with no detectable contribution from Cdk7 under these conditions.

## Discussion

The above data are the first to our knowledge to directly show the presence of P-Ser<sup>118</sup>-ER-α at the promoters of several estrogen-regulated genes in MCF-7 breast cancer cells, following

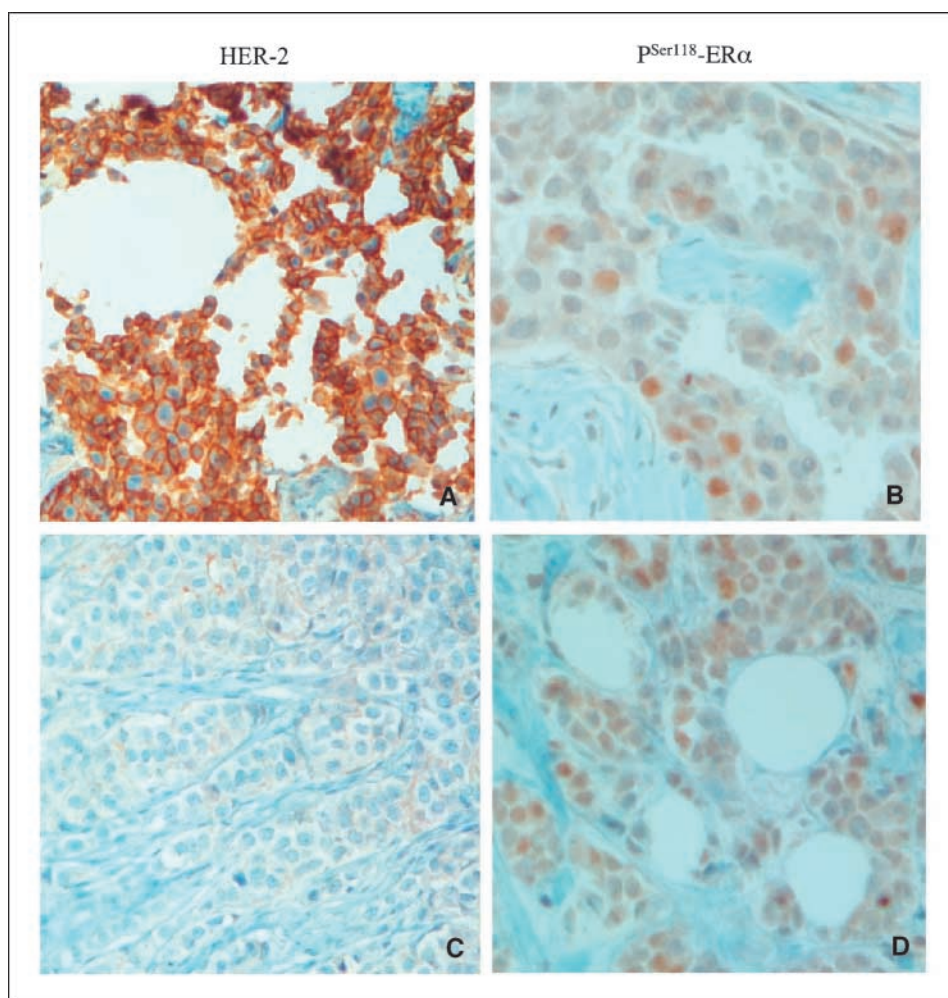


estrogen treatment. The results are consistent with the hypothesis that P-Ser<sup>118</sup>-ER- $\alpha$  has a central role in the mechanism by which estrogen mediates signal transduction, at the level of regulation of gene expression. In particular, following estrogen treatment, we have identified P-Ser<sup>118</sup>-ER- $\alpha$  present at both sites in the promoter of the *PR* gene that have been implicated previously in E<sub>2</sub> regulation of PR expression in MCF-7 cells (18, 19). These data are consistent with the association of P-Ser<sup>118</sup>-ER- $\alpha$  with PR expression (measured by LBA) in breast tumors *in vivo* (9, 10) and support the hypothesis that P-Ser<sup>118</sup>-ER- $\alpha$  expression in human breast tumors reflects an intact estrogen signaling pathway. Consequently, P-Ser<sup>118</sup>-ER- $\alpha$  may be a more precise predictor of endocrine therapy responsiveness than ER- $\alpha$  alone. Indeed, we have shown previously that, in node-negative patients whose tumors are ER<sup>+</sup>, those patients whose tumors have detectable P-Ser<sup>118</sup>-ER- $\alpha$  had a better disease outcome when treated with tamoxifen than those who do not (10). Therefore, P-Ser<sup>118</sup>-ER- $\alpha$  adds more precise information to current endocrine response biomarkers in breast tumors *in vivo*. The data presented in this study provide a molecular basis underlying the associations we have identified previously.

Previous data in the literature suggest that antiestrogens may have differential effects on P-Ser<sup>118</sup>-ER- $\alpha$  expression. In MCF-7 cells, treatment with ICI 182780 led to increased P-Ser<sup>118</sup>-ER- $\alpha$  (27), whereas no effect was seen in Cos-1 cells transiently expressing ER- $\alpha$  (3) and treatment with 4-OHT increased P-Ser<sup>118</sup>-ER- $\alpha$  levels

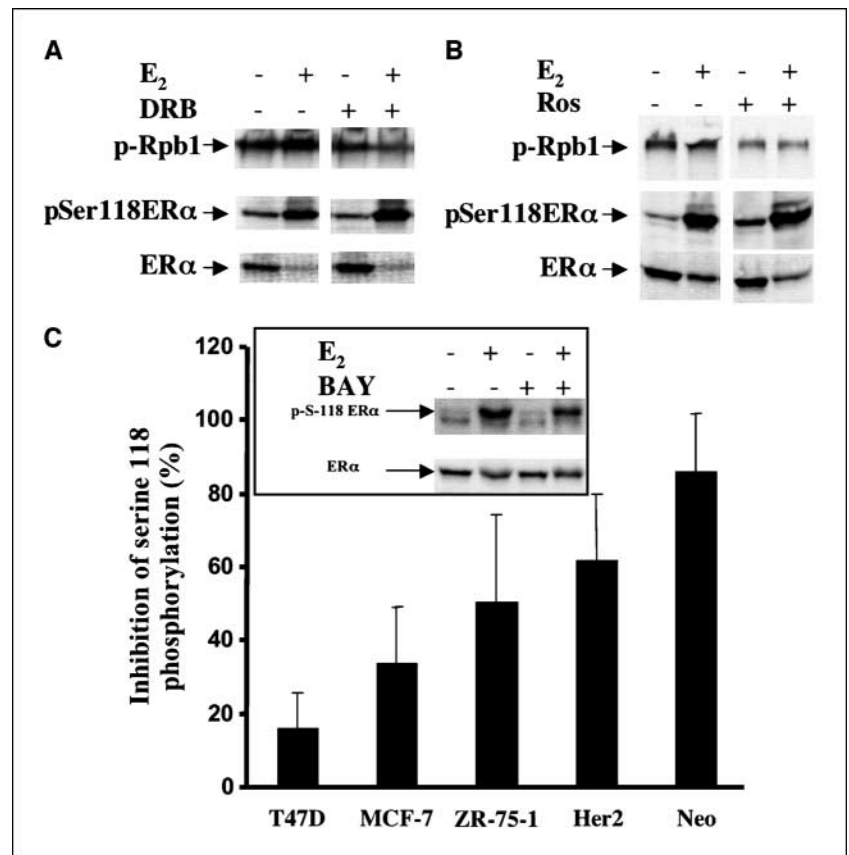
in both experimental models (ref. 3; see results above). However, no quantitative assessment of the stoichiometry of phosphorylation due to 4-OHT compared with E<sub>2</sub> was done (28). Our data show that all three ligands can induce P-Ser<sup>118</sup>-ER- $\alpha$ ; however, whereas E<sub>2</sub> and ICI 182780 can induce similar levels, induction due to 4-OHT was significantly less. Therefore, the differential effect of ICI 182780 seen previously is likely due to the different cell lines used in the experiments (MCF-7 versus Cos-1). These data suggest that P-Ser<sup>118</sup>-ER- $\alpha$ , although important for ER- $\alpha$  action, does not discriminate between agonist versus antagonist activity, and its alteration per se may not be directly related to endocrine resistance.

With respect to the possible role of P-Ser<sup>118</sup>-ER- $\alpha$  in estrogen action, several activities have been suggested. Mutations of Ser<sup>118</sup> to an alanine in several studies, but not all (29), suggest that lack of phosphorylation at Ser<sup>118</sup> results in reduced transcriptional activity in response to E<sub>2</sub> in multiple cell lines (1), whereas addition of a negative charge (mimicking phosphorylation) by replacement of Ser<sup>118</sup> with a glutamic acid increased transcriptional activity (1). Consistent with a role in transcriptional activity is the observation that P-Ser<sup>118</sup>-ER- $\alpha$  is important in the recruitment of some coactivators (e.g. p68 helicase; ref. 30). However, all these studies were done using transient transfection analyses and reporter gene read-outs, which are variable with respect to level of ER- $\alpha$  expression, and of course, the promoters are artificial and do not



**Figure 4.** Expression of P-Ser<sup>118</sup>-ER- $\alpha$  in HER-2-overexpressing breast tumors. Representative sections of two tumors stained by immunohistochemistry for HER-2 (A and C, + and -, respectively) and semiserial sections stained for P-Ser<sup>118</sup>-ER- $\alpha$  (B and D).

**Figure 5.** Involvement of Cdk7 and IKK-α in the P-Ser<sup>118</sup>-ER-α. Cells were seeded in 6-cm dishes ( $2 \times 10^5$  per dish). Twenty-four hours later, medium was changed to medium containing 2× charcoal-treated serum. Ninety-six hours after seeding, cells were treated with DRB (50 μmol/L; A) and roscovitine (Ros; 50 μmol/L; B), or BAY-11-7082 (BAY; 20 μmol/L; C) for 60 minutes before exposure to estradiol (10 nmol/L) for an additional 30 minutes. Cell extracts, detection, and calculation of the inhibitory effect of BAY-11-7082 were carried out as described in Materials and Methods. Columns, mean (MCF-7 cells,  $n = 6$ ; all other cells,  $n = 3$ ) bars, SD. Inset, representative Western blot of MCF-7 cells treated with estrogen in the presence or absence of the inhibitor.



represent the complexity of natural promoters nor are they in an endogenous chromatin environment (31). Our current data complement and enhance such studies showing that P-Ser<sup>118</sup>-ER-α is indeed present at the endogenous promoters of multiple estrogen-regulated target genes due to estrogen treatment. More recently, P-Ser<sup>118</sup>-ER-α was suggested to also have roles in mediating proteasomal degradation of ER-α (32) and regulating splicing of RNA (33), both of which are important in overall estrogen regulation of gene expression. Our study does not address these functions.

MAPK/ERK1/2 (2), Cdk7 (3), and IKK-α (4) have been implicated in the P-Ser<sup>118</sup>-ER-α. Previous data have excluded MAPK/ERK1/2 in the E<sub>2</sub>-induced P-Ser<sup>118</sup>-ER-α in MCF-7 but have suggested that Cdk7 and/or IKK-α are the most likely candidates (1, 4). Our data using inhibitors that target either Cdk7 or IKK-α support the conclusion that, in MCF-7 and other ER<sup>+</sup> human breast cancer cells, IKK-α is, at least in part, responsible for the E<sub>2</sub>-induced P-Ser<sup>118</sup>-ER-α. This would also be consistent with the time course of recruitment of ER-α to the promoter of the *pS2/TFF1* gene after E<sub>2</sub> treatment that has been described in the literature (34), where ER-α is recruited to the *pS2/TFF1* promoter earlier than Cdk7 (10 versus 40 minutes). Our data showing that P-Ser<sup>118</sup>-ER-α is present at the promoter of *pS2/TFF1* as early as 30 minutes (Fig. 2) suggest that recruitment of P-Ser<sup>118</sup>-ER-α to the promoters of E<sub>2</sub>-regulated genes is also significantly earlier than Cdk7 (34). Our data and the previously documented presence of IKK-α (4) at the promoter of estrogen-regulated genes at a similar time to P-Ser<sup>118</sup>-ER-α (4) make it tempting to speculate that IKK-α is likely a relevant kinase in estrogen-responsive human breast tumors *in vivo* as well. Interestingly, the contribution of IKK-α to P-Ser<sup>118</sup>-ER-α as

determined using a selective inhibitor seems to differ between the different ER<sup>+</sup> cell lines. The reasons are unknown but may be due to biological variability, clonal selection, and/or differential inhibitor uptake and metabolism.

The ligand-independent P-Ser<sup>118</sup>-ER-α due to up-regulation of growth factor receptor kinase pathways with associated increased activation of MAPK/ERK1/2 has been suggested to be a possible mechanism of tamoxifen resistance in breast tumors *in vivo*. However, previously, we did not find any association of increased activated ERK1/2 with *de novo* tamoxifen resistance *in vivo* (35), although another study has shown increased activated ERK1/2 associated with a poorer response to endocrine therapy (36). We therefore investigated basal P-Ser<sup>118</sup>-ER-α expression and E<sub>2</sub> induction of P-Ser<sup>118</sup>-ER-α in HER-2-overexpressing cells and controls. Surprisingly, no differences in basal level or E<sub>2</sub>-induced P-Ser<sup>118</sup>-ER-α level were found between the HER-2-overexpressing MCF-7 cells and controls. Furthermore, although the level of activated ERK1/2 in the HER-2-overexpressing cells is significantly elevated, inhibition of ERK1/2 did not effect either the basal or E<sub>2</sub>-induced levels of P-Ser<sup>118</sup>-ER-α. These data are consistent with our data *in vivo* showing that P-Ser<sup>118</sup>-ER-α levels are not significantly different in ER<sup>+</sup>, HER-2-overexpressing breast tumors compared with ER<sup>+</sup>/HER-2<sup>-</sup> tumors. Interestingly, clinical data are emerging to suggest that, although ER<sup>+</sup>/HER-2<sup>+</sup> breast tumors are often resistant to tamoxifen therapy, they are not necessarily resistant to aromatase inhibitors, suggesting that E<sub>2</sub>-activated ER-α is intact in many of these tumors and the resistance seen to tamoxifen may be due to altered coactivator activity, at least in part, due to HER-2-mediated phosphorylation of overexpressed coactivators, such as AIB1 (28, 37). Our data would be consistent



with these data because MCF-7 cells are known to overexpress AIB1 (38).

In conclusion, our data provide evidence for a direct functional involvement of P-Ser<sup>118</sup>-ER- $\alpha$  in an intact estrogen-regulated signal transduction pathway and support previous data that the detection of P-Ser<sup>118</sup>-ER- $\alpha$  in breast tumors may be a better biomarker than ER- $\alpha$  for endocrine sensitivity. Furthermore, our data do not support the hypothesis that resistance of breast tumors to tamoxifen therapy involves ligand-independent activation of ER- $\alpha$  due to constitutive phosphorylation of Ser<sup>118</sup> via persistent activation of ERK1/2.

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## Estrogen Receptor- $\alpha$ Phosphorylated at Ser<sup>118</sup> Is Present at the Promoters of Estrogen-Regulated Genes and Is Not Altered Due to HER-2 Overexpression

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