Tumor suppressor microRNAs (miRNA) provide a new opportunity to treat cancer. This approach, "miRNA replacement therapy," is based on the concept that the reintroduction of miRNAs depleted in cancer cells reactivates cellular pathways that drive a therapeutic response. Here, we describe the development of a therapeutic formulation using chemically synthesized miR-34a and a lipid-based delivery vehicle that blocks tumor growth in mouse models of non–small-cell lung cancer. This formulation is effective when administered locally or systemically. The antioncogenic effects are accompanied by an accumulation of miR-34a in the tumor tissue and downregulation of direct miR-34a targets. Intravenous delivery of formulated miR-34a does not induce an elevation of cytokines or liver and kidney enzymes in serum, suggesting that the formulation is well tolerated and does not induce an immune response. The data provide proof of concept for the systemic delivery of a synthetic tumor suppressor mimic, obviating obstacles associated with viral-based miRNA delivery and facilitating a rapid route for miRNA replacement therapy into the clinic.

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the Bio-Rad GenePulserXcell instrument, and 3,000 cells were seeded on 100-mm dishes. After 32 days, colonies were stained with 2% crystal violet and colonies containing >50 cells were counted. Synthetic miRNAs and siRNAs were obtained from Ambion, Applied Biosystems and Dharmacon, Thermo Scientific.

Quantitative real-time PCR analysis
Total RNA from flash-frozen NSCLC tumors and normal adjacent tissues, as well as human lung cancer cells and tumor xenografts, was isolated using the mirVANA PARIS RNA isolation kit (Ambion) following the manufacturer’s instructions. Total RNA from FFPE tissues was isolated using the RecoverAll Kit from Ambion. For quantitative real-time PCR (RT-PCR) detection of the miR-34a oligonucleotide, the RecoverAll Kit from Ambion, Applied Biosystems (ABI) were heat-denatured at 70°C for 2 minutes and reverse-transcribed using Moloney murine leukemia virus reverse transcriptase (Invitrogen). The housekeeping miRNAs miR-191 and miR-103 (ABI assay IDs 002299 and 000439) were amplified as internal references (20) to adjust for well-to-well RNA input variances. For detection of mouse IFIT1 mRNA levels in mouse lung tissue, cDNA was generated by random decamers (Ambion) using 10 ng of total RNA under the conditions described above and amplified using a TaqMan Gene Expression Assay (ABI assay ID Mm00515153_m1). Raw CT values were normalized to the values of murine glyceraldehyde-3-phosphate dehydrogenase (GAPDH; Integrated DNA Technologies) to normalize to the values of murine glyceraldehyde-3-phosphate dehydrogenase (GAPDH; Integrated DNA Technologies) to correct for equal input of RNA. For detection of human TP53, p21[cdk4], and MDM2 in NSCLC tissues, cDNA was generated by random decamers using 500 ng of total RNA under the conditions described above and amplified using the TaqMan Gene Expression Assays Hs99999147_m1 (TP53), Hs00355782_m1 (p21[cdk4]), and MDM2 (Hs99999008_m1). Cytoskeletal 

Lung cancer xenografts
All animal experiments were performed in accordance with currently prescribed guidelines and under a protocol approved by the Institutional Animal Care and Use Committee at BIOO Scientific Corporation. H460 and A549 NSCLC cells were collected, counted, and mixed with Matrigel (BD Biosciences) in a 1:1 ratio by volume. Cells (3 × 10^6) in 100 μL of medium/ Matrigel solution were injected s.c. in the lower back region of female nonobese diabetic/severe combined immunodeficient (NOD/SCID) mice (The Jackson Laboratory). Tumor volumes were determined as previously described (18). miRNA (100 or 20 μg) was formulated with MaxSuppressor in vivo RNAlancerII, a lipid-based delivery reagent (BIOO Scientific, Inc.), according to the manufacturer’s instructions. Formulated miRNA was administered intratumorally (i.t.) or intravenously (i.v.) by tail vein injections once tumors reached a volume of 150 to 200 mm^3. Tumors and organs were collected, split, and placed in either 10% formalin for histology or homogenized in 1× denaturing solution (Ambion) for RNA isolation.

Tumor histology and immunohistochemistry
Tumor tissues were fixed in formalin and embedded in paraffin using the Microm Tissue Embedding Center (Lab-quip, Ltd.). Sections (5 μm) were cut and stained with H&E. For immunohistochemical staining, sections were de-paraffinized and hydrated, and endogenous peroxidase activity was blocked with 3% H2O2 in water for 10 minutes. Antigen retrieval was done with 10 mmol/L citrate buffer (pH 6.0) for 10 minutes in a microwave oven followed by a 20-minute cooldown and thorough washing in TBS with Tween 20 [TTBS; 50 mmol/L Tris-HCl (pH 7.4), 150 mmol/L NaCl, 0.1% Tween 20]. Slides were incubated with Biocare blocking reagent (with casein in the buffer; Biocare Medical) for 10 minutes to block nonspecific binding. Slides were incubated with various primary antibodies directed against ki67 (DAKO), caspase-3 (R&D Systems), c-Met, cyclin-dependent kinase 4 (CDK4), and Bcl-2 (Santa Cruz Biotechnology) for 30 minutes at room temperature. Slides were washed in TTBS twice and then incubated in biotinylated goat anti-rabbit or goat anti-mouse IgG (Vector Laboratories) at 1:500 dilution for 30 minutes at room temperature. After washing, slides were incubated with antigen horseradish peroxidase–conjugated secondary antibodies (BioGenex) for 30 minutes at room temperature and then washed. Finally, slides were incubated with 3,3′-diaminobenzidine (BioGenex Laboratories) and color development was closely monitored under a microscope. Slides were counterstained with hematoxylin.

Cytokines and blood chemistries
Female BALB/c mice (The Jackson Laboratory) were injected i.v. in a lateral tail vein with 100 μg of miRNA formulated with MaxSuppressor in vivo RNAlancerII. As a positive control for cytokine induction, a group of animals were injected with 20 μg of lipopolysaccharide (LPS) from Escherichia coli 0111:B4 (Sigma-Aldrich). Mice were anesthetized with isoflurane using a Laboratory Animal Anesthesia System (VetEquip) and blood was collected via cardiac stick at set time points after injection into a serum separator tube for serum isolation or into an EDTA tube (Sarstedt) for whole blood chemistry analysis. Serum cytokine levels were determined using a mouse Fluorokine Multianalyte Profiling Kit (R&D Systems) and the Luminex 100 IS instrument. For blood chemistry analysis, whole blood was sent to the Comparative Pathology Laboratory at UC Davis.

Results
miR-34a is suppressed in human NSCLC tissues
To assess the expression levels of miR-34a in patients with NSCLC, we have tested a cohort of 18 human tumor samples that were flash-frozen after biopsy and a cohort of 14 tumor samples that were formalin-fixed and paraffin-embedded (FFPE) before RNA isolation. The sample collection was...
composed of the predominant histotypes of NSCLC, including 9 adenocarcinoma, 17 squamous cell carcinoma, 4 large cell carcinoma, and 2 bronchioalveolar carcinoma (Supplementary Table S1). Expression levels in the tumor tissue were assessed by quantitative RT-PCR and compared with the levels in the corresponding NATs (Fig. 1). Of the 32 tumor samples, 20 (63%) showed reduced miR-34a expression with an average expression level that is 60% of the expression observed in the corresponding normal adjacent lung samples. Reduced miR-34a was observed in all histotypes of NSCLC, in agreement with other reports showing downregulation of miR-34a in a broad range of tumor types including lung cancer (6, 7, 11). Tumor levels of miR-34a did not correlate with disease stage (Supplementary Table S1). Similar to tumor tissues, established NSCLC cell lines frequently showed a reduction in miR-34a levels (Fig. 1). The ones devoid of miR-34a expression carry mutations in the \( p53 \) gene (H1299, H522, H596, Calu-3, and SW-900; refs. 21, 22) in accord with previous data showing that miR-34a is a transcriptional target of \( p53 \) (6, 13–16).

To correlate miR-34a expression levels with the transcriptional activity of \( p53 \) in human tumors, we determined the mRNA levels of \( p21^{\text{WAF1/CIP1}} \) and \( MDM2 \), both of which are transcriptionally induced by \( p53 \) and have previously been used as a measure for \( p53 \) activity (23–25). Thus, reduced \( p53 \) activity could be reflected by reduced levels of \( MDM2 \) and \( p21^{\text{WAF1/CIP1}} \) in the tumor tissue relative to NAT. However, other factors potentially contributing to the expression levels of these targets must be considered. The analysis was limited to 15 RNA samples generated from flash-frozen tissues, as RNA from FFPE samples does not exert RNA qualities that are sufficient to assess mRNA levels. Although no significant correlation was observed between \( p53 \) activity and miR-34a levels \( (R^2_{p21/miR-34a} = 0.2094, R^2_{MDM2/miR-34a} = 0.1495) \), all tumors with reduced miR-34a expression also showed reduced mRNA levels of \( p21^{\text{WAF1/CIP1}} \) and \( MDM2 \) (Supplementary Table S1). Similar results on \( p53 \) mutation status and miR-34a levels in NSCLC have been reported by Gallardo and colleagues (11). As anticipated, \( p53 \) mRNA levels did not correlate with those of its targets \( (R^2_{p53/p21} = 0.0392, R^2_{MDM2/miR-34a} = 0.0692) \), in agreement with the observation that \( p53 \) levels are not indicative of its functional status (Supplementary Table S1; refs. 25–27).

miR-34a inhibits the growth of cultured lung cancer cells

The data suggest that suppression of miR-34a might be critical in acquiring a malignant phenotype and that reintroduction of miR-34a may interfere with the oncogenic properties of NSCLC cells. To test this possibility, we transiently transfected a panel of NSCLC cell lines with miR-34a and measured proliferation after 72 hours. The human NSCLC cell lines chosen vary in histology and cancer genotype and therefore allow an evaluation of miR-34a–induced effects in a genetically diverse set of NSCLC (22). As a control, cancer cells were transfected separately with a negative control miRNAs (miR-NC) that contains a scrambled sequence and does not specifically target any human gene products (Ambion). As an indication for successful transfection and extent of growth inhibition, cells were transfected with an siRNA directed against the spindle protein kinesin 11 (EG5; Supplementary Fig. S1A; ref. 28). As shown in Fig. 2A, most cancer cell lines exhibited reduced cell growth in the presence of miR-34a. The degree of growth inhibition is similar to those of other lung cancer–directed therapies in transient cell assays (29). Cells transfected with miR-34a showed signs of stress as evidenced by a loss of the spindle-like shape followed by either rounding and detachment or a large, flat senescence-like phenotype (Supplementary Fig. S2).
Interestingly, the inhibitory effects of exogenous miR-34a were not limited to cell lines with reduced endogenous miR-34a expression and also affected cell lines with normal miR-34a expression levels (e.g., H226 cells; Fig. 1). Inhibition of cancer cell growth by miR-34a was also independent of p53 status (Fig. 2A). A colony formation assay using SW-900 cells carrying mutated p53 further shows the ability of miR-34a to inhibit the proliferation of NSCLC cells in the absence of functional p53 (Fig. 2B). Colony formation of cells transfected with miR-34a was merely 9% relative to cells transfected with miR-NC (100%) 32 days after transfection. SW-900 cells proliferate considerably slower than most other NSCLC cells, and therefore, we speculate that the miR-34a mimic is less rapidly subject to dilution due to ongoing cell divisions compared with fast-dividing cells, which might explain the extended duration of miR-34a activity in SW-900 cells (30, 31). To study the long-term effects of miR-34a in rapidly dividing cells, we serially transfected miR-34a into H226 lung cancer cells, a cell line that moderately responded to miR-34a in transient transfection experiments. Cell counts were taken during the experiment and extrapolated to calculate population doublings and final cell numbers. miR-NC–treated cells showed normal exponential growth (Fig. 2C). In contrast, miR-34a severely retarded H226 cancer cell proliferation. To assess whether the inhibitory effects of miR-34a were specific to cancer cells, we measured the proliferation effects of miR-34a in nonmalignant cell lines including normal Wi-38 lung fibroblasts. In contrast to cancer cells, transient transfection of miR-34a into nonmalignant cell lines had no effect on their ability to proliferate (Supplementary Fig. S1B). Similarly, a direct comparison of the cellular viability of A549 lung cancer cells versus normal BJ cells in response to increasing miR-34a concentrations indicated that normal cells are more refractory to miR-34a than cancer cells (Supplementary Fig. S1C). In summary, the data suggest that miR-34a exerts an antireplicative function in a broad range of lung cancer cells.

Intratumoral delivery of formulated miR-34a blocks lung tumor growth in mice

We next investigated whether administration of synthetic miR-34a could block lung tumor growth in the animal. Naked
miRNA oligonucleotides are rapidly degraded in biofluids, and therefore, we formulated the miRNA in a lipid-based delivery vehicle that is designed for systemic delivery of the oligonucleotide to various tissues (Materials and Methods). Because the range of an effective systemically administered miRNA dose and the rate of successful delivery to the tumor tissue were unknown, we first evaluated the miR-34a–induced effects of i.t. injections using 100 μg of oligo, which corresponds to a relative concentration sufficient to induce growth inhibitory effects in cultured cancer cells. H460 NSCLC cells, a fast-growing tumor xenograft that yields large tumors within 3 weeks after implantation, were grafted s.c. into the lower back of NOD/SCID mice and grown until palpable tumors formed. On day 12 after xenograft implantation, a group of tumor-bearing mice received i.t. injections of formulated miR-34a. Local injections were repeated on days 15 and 18 to maintain increased levels of miR-34a in the tumor tissue. As controls, a separate group of animals were injected i.t. with PBS, the vehicle only, or vehicle formulated with miR-NC. As shown in Fig. 3A, tumors injected with formulated miR-NC were unaffected and developed at a pace similar to those that received PBS or vehicle. In contrast, i.t. injections of formulated miR-34a prevented the outgrowth of viable tumors. A histologic analysis revealed that miR-34a–treated tumors contained large areas filled with cell debris (Fig. 3B). The few seemingly viable tumor cells that remained preferentially in the periphery of the tumor showed reduced expression of ki67 and an increase in caspase-3, indicating that miR-34a actively inhibits proliferation and stimulates the apoptotic cascade in H460 tumor cells. To better correlate the activity of miR-34a, we measured the protein levels of endogenous CDK4 and c-Met, both of which are directly repressed by miR-34a (13). Tumors treated with miR-34a lacked the expression of CDK4 and c-Met relative to tumors that received miR-NC (Fig. 3C). Similarly, Bcl-2, another direct target of miR-34a (6), was repressed in tumors that received formulated miR-34a (Supplementary Fig. S3). The downregulation of CDK4, c-Met, and Bcl-2 demonstrates the specific activity of miR-34a in H460 tumor cells; however, we assume that the regulation of other targets is necessary to explain the complete miR-34a phenotype. The expression of miR-34a targets inversely correlated with ~100-fold increased miR-34a levels in the tumor tissue relative to endogenous miR-34a levels in H460 tumor cells (Fig. 3D). The data suggest that local administration of formulated miR-34a induces a specific inhibitory effect in tumor cells with an accumulation of miR-34a and concurrent repression of its direct target genes.

**Systemic delivery of formulated miR-34a inhibits the growth of established lung tumors in vivo**

To evaluate the miR-34a–dependent effects on systemic delivery of the miRNA, we repeated the experimental framework using the H460 xenograft. Animals carrying palpable subcutaneous tumors were treated with formulated miR-34a, miR-NC, or vehicle only. In contrast to the previous study, however, formulations were administered by i.v. tail vein injections. Each dose contained 100 μg of formulated oligo, which equals 5 mg/kg per mouse with an average weight of 20 g. Similar to i.t. injections of miR-34a, repeated i.v. delivery of formulated miR-34a specifically blocked tumor growth (Fig. 4A). Tumor tissues from miR-34a–treated animals frequently showed areas with cell debris, reduced expression of ki67, and an increase in caspase-3 (Fig. 4B), in agreement with the effects induced by local delivery of miRNA. Systemic delivery of formulated miR-34a at 1 mg/kg also inhibited the growth of established A549 NSCLC xenografts, further showing the antitumor activity of miR-34a (Supplementary Fig. S4A). The histology and immunohistochemical staining of A549 tumors that received miR-34a resemble those of...
treated H460 tumors, suggesting that repeated administration of a miR-34a mimic inhibits proliferation and induces apoptosis in A549 lung tumor cells (Supplementary Fig. S4B). Similar to local administration of miR-34a, the growth inhibitory effects correlated with an accumulation of miR-34a in the tumor tissue and repression of c-Met and Bcl-2, as well as partial repression of CDK4 (Fig. 4C and D). However, the overall abundance of systemically delivered miR-34a in the tumor tissue was substantially less compared with locally delivered oligo and suggests that only minimal amounts of miR-34a are needed to elicit a therapeutic effect. Interestingly, the tumors with the greatest suppression of miR-34a targets were also the ones with the highest accumulation of miR-34a oligonucleotide.

Systemic delivery of formulated miR-34a does not lead to elevated blood chemistries nor trigger an immune response

To assess the safety profile of systemically delivered miR-34a, we examined serum levels of alanine aminotransferase,
aspartate aminotransferase, blood urea nitrogen, alkaline phosphatase, creatinine, and creatine kinase from mice repeatedly treated with formulated miR-34a, miR-NC, or vehicle alone. As shown in Fig. 5A, the values of these blood chemistries, which would indicate toxicity in liver, kidney, and heart, were within the reference range and suggested that miRNA treatment was well tolerated. To determine whether the antioncogenic effects of miR-34a are indeed a specific consequence of the miRNA and not the result of a nonspecific induction of the immune system, we measured serum cytokine levels in immunocompetent BALB/c mice (Fig. 5B). An activation of the immune system has been reported previously to be induced by other oligonucleotide-containing formulations that might, at least in part, contribute to the effects of the therapeutic (32–35). Because a burst of cytokines usually occurs within just a few hours after administration of the stimulant, we evaluated cytokine levels 1 to 24 hours after i.v. administration of formulated miRNA (36–38). As a positive control, a group of mice were i.v. injected with a nonlethal dose of 1 mg/kg LPS (39), which stimulated an induction of interleukin (IL)-6, tumor necrosis factor α (TNF-α), and IFN-γ (Fig. 5B). These LPS-treated mice also developed acute signs of illness, such as hunched posture, ruffled coat, and labored movement, and fully recovered within 24 hours after injection. In contrast, formulated miR-34a, miR-NC, or the vehicle control failed to induce an immune response as evidenced by normal serum levels of IL-2, IL-4, IL-12, IFN-γ, and TNF-α (Fig. 5B), as well as normal levels of IL-1β and IL-5 (Supplementary Fig. S5) 1 to 24 hours after i.v. injection. Similarly, miRNA levels of IFIT1, a gene induced by IFN-α, were solely elevated in response to LPS (Supplementary Fig. S6). IL-6, a pro- and anti-inflammatory cytokine, was slightly elevated 3 hours after injection of formulated miRNA; however, relative to the LPS control, this increase was mild and insufficient to reflect an actual immune response.

Discussion

In summary, the data provide strong evidence for the safe and effective therapeutic delivery of a synthetic miRNA mimic. By reintroducing a tumor suppressor miRNA, miRNA replacement therapy seeks to restore a loss of function in cancer and to reactivate cellular pathways that drive a therapeutic response. As such, miRNA replacement therapy is distinct from other therapeutic approaches that are directed toward a gain of function, including kinase inhibitors, siRNAs, and miRNA antagonists. We hypothesize that the synthetic miR-34a mimic acts like a naturally occurring miR-34a and that it affects all miRNAs that are inherently regulated by endogenous miR-34a in normal cells for which the proper miR-34a-target interactions have evolved over a billion years. Of note, miR-34a inhibited tumor cells that are devoid of functional p53, suggesting that pathways downstream of miR-34a are sufficient to block cancer cell growth. miR-34a also inhibited tumor cells that showed normal levels of endogenous miR-34a, indicating that the therapeutic application of tumor suppressor miRNAs is not limited to replacement. This broad antioncogenic activity might be explained by the fact that miRNAs target multiple oncogenes and oncogenic pathways that cancer cells frequently become addicted to (2). Thus, the ability to affect multiple cancer pathways seems to be a key benefit of therapeutic miRNA mimics that act in accord with our current understanding of cancer as a “pathway disease” that can only be successfully treated when intervening with multiple cancer pathways (40–42). Other examples that support the concept of miRNA replacement therapy are provided by let-7, miR-16, and miR-26a, all of which can function as tumor suppressors and inhibit tumor growth in mouse models of cancer (18, 43, 44).

It is interesting to note that, although miRNAs affect a wide spectrum of genes, administration of formulated miR-34a was well tolerated. We speculate that uptake of miRNA mimics has no effect on normal cells because pathways regulated by the miRNA mimic are already activated by the endogenous miRNA in these cells. This may also suggest that targeted delivery to tumor tissues will not be necessary. The synthetic miRNA mimic is delivered systemically in a controllable formulation without the need for ectopic expression vectors and, therefore, lacks many technical challenges associated with conventional gene therapy. Although future studies are needed to address long-term efficacy and safety in higher species, our data on miR-34a highlight the utility of synthetic miRNA mimics and support the development of this new class of cancer therapeutics.

Disclosure of Potential Conflicts of Interest


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Development of a Lung Cancer Therapeutic Based on the Tumor Suppressor MicroRNA-34

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