Use of Multifunctional Sigma-2 Receptor Ligand Conjugates to Trigger Cancer-Selective Cell Death Signaling

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Abstract

One major challenge in the development of cancer therapeutics is the selective delivery of the drugs to their cellular targets. In the case of pancreatic cancer, the σ-2 receptor is a unique target that triggers apoptosis upon activation. We have previously developed a series of chemical compounds with high affinity for the σ-2 receptor and showed rapid internalization of the ligands. One particular specific ligand of the σ-2 receptor, SV119, binds to pancreatic cancer cells and induces target cell death in vitro and in vivo. In this study, we characterized the ability of SV119 to selectively deliver other death-inducing cargos to augment the cytotoxic properties of SV119 itself. When conjugated to SV119, small molecules that are known to interfere with intracellular prosurvival pathways retained their ability to induce cell death, the efficiency of which was enhanced by the combinatorial effect of SV119 delivered with its small molecule cargo. Our findings define a simple platform technology to increase the tumor-selective delivery of small molecule therapeutics via σ-2 ligands, permitting chemotherapeutic synergy that can optimize efficacy and patient benefit.

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introduce a platform technology with excellent potential for further expansion and improvement. These novel \( \sigma-2 \) conjugates are capable of delivering death-inducing payloads selectively into their target cells. In addition, the cancer-selective cell killing properties are further enhanced in combination with standard therapies.

**Materials and Methods**

**\( \sigma-2 \) receptor ligands**

\( \sigma-2 \)-specific ligands SV19, and fluorescently labeled \( \sigma-2 \) ligand, SW120, were synthesized and prepared as previously described (11, 12). The \( \sigma-1 \) receptor ligand, (+)-pentazocine (Sigma Chemical), was used as a control. The peptide-based \( \sigma-2 \) conjugates were synthesized using solid phase peptide synthesis (SPPS) with the standard Fmoc/HOBt chemistry using the intermediate SV119-Asp (Supplementary Fig. S1). The chemical synthesis of S2-rapamycin can be found in the Supplementary data (Supplementary Fig. S2). The conjugates were purified by reverse-phase high-performance liquid chromatography (HPLC) to more than 95% purity and, where applicable, the amino acid composition was verified with matrix-assisted laser desorption/ionization-time-of-flight (MALDI-TOF) mass spectrometry.

**Cell lines**

The murine pancreatic adenocarcinoma cell line Panc02, was obtained from Bryan Clary (Duke University, Durham, NC) and maintained in RPMI-1640 (24). Human pancreatic adenocarcinoma cell lines (Panc-1, AsPC1, and CFPAC) were obtained from American Type Culture Collection and maintained in Dulbecco’s Modified Eagle’s Medium (DMEM; ref. 24).

**\( \sigma-2 \) ligand binding in vitro**

Tumor cells were incubated with 10 nmol/L fluorescently labeled \( \sigma-2 \) receptor ligand SW120 for 30 minutes. To show the specificity of SW120 for \( \sigma-2 \) receptor binding, 10 \( \mu \)mol/L (+)-pentazocine (\( \sigma-1 \) receptor ligand) was added to the cells 30 minutes prior to SW120 treatment. To study whether \( \sigma-2 \)-Bim binds to \( \sigma-2 \) receptors in tumor cells, a series of blocking experiments were done with \( \sigma-1 \) and \( \sigma-2 \)-selective ligands. The tumor cells were preincubated with S2-Bim, SV119, or (+)-pentazocine at the indicated concentrations. The cells were then treated with SW120 for 30 minutes, and the fluorescence intensity of the labeled cells was analyzed by flow cytometry. Quantitative analysis of SW120 displacement was carried out with a nonlinear regression model (25).

**Evaluation of cytotoxicity in vitro**

Tumor cells were seeded at a density of approximately 2 \( \times 10^5 \) cells per 12-well plate. The various drugs were added to the cultures with a final dimethyl sulfoxide (DMSO) concentration of less than 1%. The extent of apoptosis was subsequently assessed by terminal deoxynucleotidyl transferase–mediated dUTP nick end labeling (TUNEL) and caspase-3 staining using flow cytometry (FACScan, BD Biosciences) and data analysis with CellQuest software (BD Biosciences; ref. 11).

**In vivo assessment of apoptosis**

Female C57BL/6 mice (8–12 weeks old) were injected as described earlier with 1 \( \times 10^6 \) Panc02 (C57BL/6) or 2 \( \times 10^6 \) CFPAC cells (nude mice), respectively. Treatment of tumors started approximately 2 weeks postimplantation, at which point the mean tumor diameter was approximately 6 to 7 mm. To evaluate the effect of treatment both systemically and on tumors *in vivo*, several treated mice were sacrificed and blood cytologic (complete blood count) and biochemical analysis [liver enzymes, bilirubin, amylase, lipase, blood urea nitrogen (BUN), creatinine, glucose] were conducted by the Division of Comparative Medicine at our institution. For survival studies, tumor-bearing mice (n = 12 per group) were treated with S2-Bim and S2-BimX. All mice were euthanized when their tumor ulcerated or reached a mean diameter of 15 mm. All studies were conducted in accordance with an animal protocol approved by the Washington University Institutional Animal Care Facility.

**Statistical analysis**

Error bars represent means \( \pm \) SEM of an experiment with at least 3 biologic replicates. For statistical analysis between 2 groups, the Student *t* test was applied. For statistical analysis of differences between groups, ANOVA was conducted. For *in vivo* experiments, Kaplan–Meier survival curves were plotted and differences were compared with a log-rank test. A *P* value of less than 0.05 was considered significant for all analyses.

**Results**

**Chemical linkage of \( \sigma-2 \)-selective compounds with proapoptotic molecules does not alter target cell specificity**

We have previously reported that apoptosis-inducing peptides such as the BH3 domain of the Bcl-2 antagonist Bim (18) and a peptide derived from the Akt inhibitor CTMP (CTMP-4; ref. 21) can be delivered to cancer cells via the HIV-1–derived transduction domain TAT. Because this delivery mode is nonselective, we assessed the possibility to deliver...
proapoptotic effector molecules via SV119 (Fig. 1A) preferentially to pancreatic cancer cells that are characterized by an abundance of receptors for this ligand.

The following compounds were generated as described using standard chemistry (26, 27): S2-Bim (EIWAQLRRIGDEFNAYAR-OH), the inactive form S2-BimX (EIWAQLRQRAENAYAR-OH), S2-CTMP-4 (LDPKLMQEEQMSAQGFSFDDGL-OH), and the inactive derivative S2-CTMP-4X (LDPKLMQEEQMSAQGFSFDDGL-OH). The conversion to functionally inactive peptide variants was done by alanine substitution and is underlined. C, the SV119-based conjugate S2-rapamycin. The σ-1–specific ligand (+)-pentazocine (D) served as a negative control for binding studies in which the fluorescently labeled σ-2 ligand SW120 (E) was used.

Sigma-2 Conjugates Induce Cancer Selective Apoptosis

Figure 1. Chemical structures of the relevant drugs used in this study. The parental compound SV119 (A) served as the basic structure for the generation of other conjugates. The basic structural organization of peptide-linked SV119 is shown in B. The peptide sequences were as follows: S2-Bim (EIWAQLRRIGDEFNAYAR-OH), the inactive form S2-BimX (EIWAQLRQRAENAYAR-OH), S2-CTMP-4 (LDPKLMQEEQMSAQGFSFDDGL-OH), and the inactive derivative S2-CTMP-4X (LDPKLMQEEQMSAQGFSFDDGL-OH). The conversion to functionally inactive peptide variants was done by alanine substitution and is underlined. C, the SV119-based conjugate S2-rapamycin. The σ-1–specific ligand (+)-pentazocine (D) served as a negative control for binding studies in which the fluorescently labeled σ-2 ligand SW120 (E) was used.

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The following compounds were generated as described using standard chemistry (26, 27): S2-Bim and S2-CTMP-4 (Fig. 1B, only the precursor structure without the corresponding peptide is shown) as well as S2-rapamycin (Fig. 1C) along with their nonfunctional, inactive variants (Supplementary Figs. S1 and S2). The binding capacity of the conjugates to the σ-2 receptor was compared with nonconjugated SV119. As a negative control, the σ-1–specific ligand (+)-pentazocine (Fig. 1D) was used (12).

Competitive binding assays were conducted using the fluorescently labeled σ-2 ligand SW120 (11, 25, 28; Fig. 1E).
Compared with the nonconjugated SV119 compound, S2-Bim and its inactive variant S2-BimX displaced SW120 in a dose-dependent fashion (Fig. 2). As expected, the $\sigma$-1-specific ligand $\text{(-)}$-pentazocine was unable to compete off SW120 and served as a negative control. Similar results were obtained when the same assays were repeated with SV119 conjugated to CTMP-4 and rapamycin (data not shown). These results suggest that covalent attachment of additional small molecule cargoes to the parental molecule SV119 does not alter the specificity of the compounds to the $\sigma$-2 receptor.

$\sigma$-2 conjugates augment target cell killing compared with the delivery vehicle alone

The drug conjugates were next tested for their ability to induce cancer cell death in vitro. As a surrogate of target cell apoptosis, activation of caspase-3 was used as previously described (12). The mouse pancreatic cancer cell line Panc02 was challenged in vitro with equimolar doses (10 μmol/L) of S2 conjugates, and caspase-3 induction was assessed 24 hours later. Relative to the nonmodified parental $\sigma$-2 delivery agent, all compounds linked to an “active” cargo exerted an increase in target cell apoptosis (Fig. 3). Apoptosis induction increased between 1.3-fold (S2-CTMP-4, Fig. 3A) and 3.5-fold (S2-Rap, Fig. 3B) with S2-Bim resulting in an intermediate increase of 1.6-fold compared with SV119 alone (Fig. 3C). The most dramatic effect in overall potency was achieved with S2-Bim with an 11% increase in caspase-3 activation compared with SV119 alone (Fig. 3C). Of note, this activity was not matched by TAT-Bim, a recently described very potent, nonselective inducer of target cell death (18), with S2-Bim being 13% more powerful.

Figure 2. Chemical linkage of a Bim peptide to SV119 does not interfere with binding of the conjugate to the $\sigma$-2 receptor. Human CFPAC cells were either left untreated or preincubated with increasing concentrations of $\text{(-)}$-pentazocine (a $\sigma$-1-specific ligand used as a negative control), SV119, or the conjugates S2-Bim and S-2-BimX, followed by treatment with 50 nmol/L SW120 (30 minutes). The cells were then washed, detached, and the mean fluorescent intensity of the cells was determined by flow cytometry and normalized to the cells treated with SW120 alone. The corresponding IC50 values are: $\text{(-)}$-pentazocine, 49170 nmol/L; SV119, 460 nmol/L; S2-BimX, 136 nmol/L; and S2-Bim, 71 nmol/L.

Figure 3. $\sigma$-2 conjugates are more potent inducers of target cell apoptosis than the delivery vehicle alone. Mouse Panc02 cells were treated with the indicated reagents and analyzed for apoptosis induction by intracellular staining for active caspase-3 with flow cytometry. $\sigma$-2-CTMP-4 (A), $\sigma$-2-rapamycin (B), and $\sigma$-2-Bim (C) were compared with the nonconjugated parental S2-Ligand SV119. DMSO served as a negative control for all assays. Also, TAT-Bim (A) and TAT-CTMP-4 (B) were included as positive controls and have been described in earlier studies (18, 21). $^*/C3$, $P < 0.03$; $^{**}/C3/C3$, $P < 0.0004$; Rap, rapamycin.
**σ-2 conjugates mediate cell death via interference with intracellular signaling pathways**

To gain insight into the respective killing mechanisms, we studied the signaling pathways that are targeted by the various effector molecules delivered to the cells via the SV119 moiety. Caspase-3 activation is closely linked to the antagonizing interaction of Bim with Bcl-2 and has been already described earlier (Fig. 3C). CTMP interferes with activation of Akt whereas rapamycin blocks activation of the p70 S6 kinase as part of the mTOR complex.

CFPAC cells were treated with S2-CTMP-4, and the activation status of Akt was monitored 24 hours posttreatment. Assessed on the basis of its phosphorylation status, only S2-CTMP-4 and TAT-CTMP-4 (included as a control), were capable of interfering with Akt activation (Fig. 4). Likewise, when S2-rapamycin was used, a significant reduction in phosphorylation of its downstream target was observed (data not shown). In both cases, SV119 alone was incapable of altering the activation status of these 2 central kinases.

In an attempt to show broader applicability, we assessed the killing capacity of S2-Bim using additional pancreatic cancer cell lines. All the cell lines under investigation responded to this novel drug candidate in a dose-dependent fashion being consistently more potent than SV119 alone and the nonselective death inducer TAT-Bim (Supplementary Fig. S3). Surprisingly, the covalently linked inactive form of Bim (S2-BimX) had virtually no activity in all cell lines tested. The reason for this loss of activity in vitro is currently unknown and might be partially rescued under in vivo settings (see later).

**σ-2-Bim induces apoptosis in vivo and reduces tumor growth**

According to the activity profiles of our various σ-2 conjugates, S2-Bim seemed to have the greatest potential as a cancer therapeutic. Consequently, this drug was tested next in vivo, that is, using a syngeneic and a xenogenic subcutaneous mouse model of pancreatic cancer. In an initial study, mouse pancreatic tumor cells (Panc02) were engrafted into the flanks of wild-type C57BL/6 mice. After the tumors were established, the effect of S2-Bim was assessed following a single intraperitoneal injection by immunohistochemistry (TUNEL staining) and by staining for activated caspase-3. Twenty-four hours posttreatment, large areas of TUNEL-positive cells were identified (Fig. 5A). Furthermore, the activity of S2-Bim was dose dependent as doubling the concentration to 400 μg per animal increased the number of caspase-3–positive tumor cells by nearly 100% (Fig. 5B).

*In vivo* efficacy was studied next in which C57BL/6 mice with established Panc02 tumors were treated intraperitoneally with S2-Bim for 2 weeks every other day. The controls, vehicle alone and the conjugate incorporating the inactive variant of the Bim peptide S2-BimX, had no effect on the tumor growth (Fig. 5C), in agreement with the lack of *in vitro* cell killing activity (Supplementary Fig. S3). In contrast, S2-Bim prevented tumor growth completely within the first week of treatment (Fig. 5C). However, at his dosing regimen the initial response to the drug ceased and the tumor growth resumed at a similar rate as the controls. Of note, whereas all the control mice (vehicle and S2-BimX) died at 43 days post tumor inoculation, almost half (>40%) were still alive at the end of the experiment (Supplementary Fig. S4).

Using a xenograft tumor model, CFPAC-bearing nude mice were treated with S2-Bim for 1 week on a daily schedule. Tumor growth of mice receiving vehicle only progressed rapidly whereas mice treated with S2-BimX displayed a somewhat reduced tumor growth rate, similar to SV119 alone (Fig. 5D). In stark contrast, the tumor growth curve of mice receiving S2-Bim displayed a negative slope (tumor regression) during the treatment period (Fig. 5D). However, after the treatment was discontinued, the tumor growth progressed, comparable with that of the control group.

**σ-2-Bim exhibits limited and only transient off-site toxicities**

In an attempt to assess the potential systemic toxicity of the S2-Bim conjugate, tumor-bearing C57BL/6 mice were injected intraperitoneally with a single dose of the drug, and several key organs were analyzed for apoptosis induction via staining for active caspase-3. The following day, a definite increase in tumor cell apoptosis was detectable for S2-Bim with only 40% were still alive at the end of the experiment (Supplementary Fig. S4).

Because we noticed a slight toxicity of S2-Bim to the pancreas, we assessed next serum amylose and lipase levels. These would increase in case of organ damage to the pancreas following drug treatment. And indeed, at 1 week following a single injection of S2-Bim into normal mice, we detected an increase in the levels of these biomarkers, ranging from 7- to

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**Figure 4.** The S2-CTMP-4 conjugate directly interferes with the activation status of its intracellular target. CFPAC cells were treated with S2-CTMP-4 and the activation (phosphorylation) status of its downstream target (Akt) was analyzed using Bioplex according to the manufacturer’s instructions (BioRad). DMSO served as a negative control. *, P = 0.01; NT, nontreated.
10-fold relative to their baseline levels (Fig. 6B). However, the mice showed no clinically measurable signs of distress and recovered from this insult quickly as by 1 week after drug cessation both serum concentrations reached baseline levels (Fig. 6C). The same is true for our long-term treatment studies where the mice remained healthy by weight and appetite throughout the course of our experiments (Fig. 5C and D), and we did not encounter unanticipated deaths. In support of these findings, necropsy revealed no gross or microscopic organ damages.

**σ-2-Bim augments standard of care therapy**

Monotherapies are rarely successful when it comes to the treatment of malignant diseases. For this reason, we asked whether and to what extent a dual therapy would potentially increase the effectiveness of S2-Bim. Two of the most widely applied treatment regiments are radiation and conventional chemotherapy. When CFPAC cells were treated in vitro with a combination of gemcitabine and S2-Bim, an increase of approximately 10% to 15% cell death was induced compared with the same treatment but replacing the active with the inactive form of the σ-2 compound (Fig. 7A). Cotreatment of S2-Bim and radiation in vitro resulted in an almost 100% increase in TUNEL-positive target cells from 30% to nearly 60% (Fig. 7B).

**Discussion**

A fundamental limitation of most conventional cancer therapeutics is their nondirected delivery to the target cells. Nonselective delivery often represents the primary reason for toxic side effects and limited treatment efficiencies. If the delivery component would be structurally separated from the therapy component of a drug conjugate, one could build on these modular reagents to tailor therapies that would target particular defects associated with a specific type of cancer subtype. Such a cancer-selective delivery agent could open up the door for retesting many of the drugs that already proved efficacious in vitro but proved to be too toxic for in vivo applications.

In our current article, we investigated this concept by chemically linking a targeting vehicle with high specificity to pancreatic cancer cells with a variety of small molecules that would, upon delivery to the target cell, exert their respective
intracellular effector functions (apoptosis induction). It should be noted here that our chosen delivery agent has a limited, intrinsic cytotoxicity toward pancreatic cancer cells. This was, however, considered during the design phase of our dual domain therapeutics, as only the combined activities of the cancer-selective delivery vehicle SV119 and a secondary, complementary death stimulus were expected to result in the most effective drug conjugate. Indeed, we showed here that the overall potency of our various drug conjugates enhanced the intrinsic killing capability of the delivery agent SV119 via specific engagement of the respective therapy component intended to induce a particular apoptotic signaling pathway.

A key requirement for a universally applicable drug delivery platform requires that the target specificity is maintained following covalent linkage of the parental structure to a particular effector moiety. The additional effector molecules

Figure 6. Systemic s-2-Bim exhibits only minor and transient organ toxicities. A, C57BL/6 mice bearing established tumor grafts (Panc02) were treated with a single dose of S2-Bim (400 µg) via intraperitoneal injection. DMSO and the inactive conjugate S2-BimX were used as controls. The next day, organ damage was assessed by staining for activated caspase-3 with flow cytometry (n = 3). Data are expressed as means ± 1.0 SE (n = 3). Amylase and lipase levels were chosen to monitor toxicity of S2-Bim toward the pancreas. Peripheral blood was drawn from normal mice 1 week (B) and 2 weeks (C) following a single treatment with 400 µg S2-Bim. DMSO and the inactive conjugate S2-BimX were used as controls. Serum chemistry evaluations were conducted by the animal care facility at Washington University (St. Louis, MO). * , P < 0.05; ns, not significant.

Figure 7. s-2-Bim supports combination therapy in vitro. The effect of S2-Bim in combination with radiation and standard chemotherapy was assessed using the human pancreatic cancer cell line Panc-1. A, as a chemotherapeutic reagent, the cells were either treated with gemcitabine (Gem) alone (30 nmol/L) or in combination with different concentrations of S2-Bim and S2-BimX. B, combination with radiation therapy (2,000 rad) was studied as earlier alone or in combination with different concentrations of S2-Bim and S2-BimX. DMSO-treated cells served as a control. Apoptosis induction was monitored by TUNEL staining with flow cytometry. Data are expressed as means ± SEM (n = 3). * , P < 0.02; ** , P < 0.0002; *** , P < 0.00002.
did not abrogate binding of the conjugates to pancreatic cancer cells. However, when SV119 was combined with the inactive peptide mutant(s), for example, Bim (S2-BimX), a complete loss of killing activity was noticed in vitro. This was unexpected, as we assumed that this variant should have at least SV119 baseline activity. The same reagent elicited SV119 baseline activity in a pancreatic xenograft model using CFPAC cells and highlights the notion that the bioactivity of these drugs might be variable and depend, at least in part, on the specific cell type in question and/or on the type of application used (in vitro vs. in vivo). A potential explanation for this finding might relate to the nonconservative substitution of the charged amino acid asparagine with a hydrophobic alanine located within the Bim peptide. The exact nature of the differential activity profile is currently being investigated, as it might provide clues for the future design of improved variants of this class of cancer drugs.

Therapeutically, the most promising results were obtained in a xenograft model of pancreatic cancer using S2-Bim. As long as the σ-2 conjugate was administered, established human tumors responded with shrinkage. This was certainly encouraging as it has rarely been seen that this type of cancer is treatable. A somewhat different picture was obtained in a syngeneic tumor model. Tumor growth was halted for nearly a week but resumed while treatment was still ongoing. At the present time, we can only speculate as to why the same drugs displayed differential activity profiles in different animal models. For example, mouse Panc02 cells grow much more aggressively than human CFPAC cells (29). This feature could lead to a faster development of resistance to our cancer drugs and would represent a characteristic that is cell line specific. On the other hand, it could well be possible that an immune component might be involved that counteracts tumor eradication in a normal host (C57BL/6-Panc02). Because these 2 alternative mechanisms are critical for the evaluation of our investigational drugs, we are currently in the process of dissecting which of the mechanistic paths is the dominating factor.

While the cytotoxic benefit of S2-Bim was greatest on the target cells, we did notice mild elevations of biochemical markers of tissue injury, that is, amylase and lipase. In our model, rising levels of these biomarkers were only transient and restricted to the pancreas. As with many cytotoxic drugs, we expected to find evidence of mild organ damage, especially of the liver, but did not detect elevations of the respective biochemical markers. Even though our σ-2 ligands are highly tumor selective, we cannot rule out binding to nontransformed, normal cells, or tissues. In fact, we did indeed identify binding at lower levels to many other cell/organ types including the liver and the intestine. Along this line, we are currently in the process of evaluating relevant pharmacologic parameters of selected drug conjugates such as half-life and maximum tolerated dose (MTD). Results from these ongoing studies will help us in the transition process from the preclinical to the clinical arena.

Peptides are not ideal partners for the formulation of effective anticancer drugs. One of the main reasons is their susceptibility to proteolytic degradation. Along this line, Abbott has recently developed the Bim peptidomimetics ABT-737 and ABT-263 (30–32), with the latter even being orally bioavailable. On the basis of the data showed here, it seems feasible to combine σ-2 ligands with other small molecular therapeutics and assess their potency in future studies.

Monotherapy is rarely sufficient to combat aggressive human malignancies. With this regard, we provided evidence in an in vitro cell culture system that the S2-Bim conjugate substantially augmented standard of care treatment regiments currently used in clinical settings. More specifically, combination with chemotherapy and, more profoundly, radiation therapy increased target cell death by nearly 100% with an overall killing of more than 60%. While radiation therapy has its intrinsic caveats, combination of S2-Bim with gemcitabine resulted in a much improved killing response. Therefore, we are currently carrying out preclinical studies using the latter combination therapy to assess its efficacy in an orthotopic mouse model of pancreatic cancer.

In summary, we present a platform concept for the cancer-selective, SV119-mediated (σ-2 receptor dependent) codelivery of apoptosis-inducing cargo (peptides or small molecules) to the target cells. Once inside the cell, these bifunctional conjugates mediate enhanced killing via the combined activities of both moieties. As a result, the baseline cytotoxic activity of the delivery agent is augmented following the signaling pathway of its cargo. This new concept represents an exciting opportunity for the development of future small molecule drugs with dual functionality combined in a single reagent. In fact, we have recently developed another σ-2–specific ligand, the SV119 homolog SW43, which turned out to be twice as cytotoxic by itself (24) and could represent the building block for the next generation of bifunctional (e.g., SW43-Bim) therapeutics to treat patients with pancreas cancer. Even though our study was focused on pancreatic cancer, we are developing evidence that this concept could also be applied to other types of malignancies including ovarian and breast cancers.

Disclosure of Potential Conflicts of Interest

W.G. Hawkins and R.H. Mach have intellectual property rights in and have patented the drug platform idea in this article. No potential conflicts of interest were disclosed by the other authors.

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