Inhibition of ALK, PI3K/MEK and HSP90 in Murine Lung Adenocarcinoma Induced by EML4-ALK Fusion Oncogene

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Abstract

Genetic rearrangements of the ALK kinase occur in 3-13% of non-small cell lung cancer patients and rarely co-exist with KRAS or EGFR mutations. To evaluate potential treatment strategies for lung cancers driven by an activated EML4-ALK chimeric oncogene, we generated a genetically engineered mouse model that phenocopies the human disease where this rearranged gene arises. In this model, the ALK kinase inhibitor TAE684 produced greater tumor regression and improved overall survival compared to carboplatin and paclitaxel, representing clinical standard of care. 18F-FDG-PET-CT scans revealed almost complete inhibition of tumor metabolic activity within 24 hr of TAE684 exposure. In contrast, combined inhibition of the PI3K/AKT and MEK/ERK1/2 pathways did not result in significant tumor regression. We identified EML4-ALK in complex with multiple cellular chaperones including HSP90. In support of a functional reliance, treatment with geldanamycin-based HSP90 inhibitors resulted in rapid degradation of EML4-ALK in vitro and substantial, albeit transient, tumor regression in vivo. Taken together, our findings define a murine model that offers a reliable platform for the preclinical comparison of combinatorial treatment approaches for lung cancer characterized by ALK rearrangement.
**Introduction**

Translocations involving the anaplastic lymphoma kinase (ALK) and nucleophosmin (NPM) were first identified in anaplastic large cell lymphomas (ALCLs) (1). Subsequently, ALK translocations involving novel partners have been identified in other cancers, including lung cancers (2), where the oncogenic event is most commonly due to a small inversion on chromosome 2p that leads to the fusion of *ALK*, including the entire kinase domain, with Echinoderm Microtubule-associated protein Like 4 (*EML4*), resulting in constitutive ALK kinase activity (3-6).

*EML4-ALK* translocations are more frequent in adenocarcinomas and in never smokers (7-9). There are several *EML4-ALK* isoforms, all of which contain virtually identical portions of ALK, and possess potent in vitro transforming activity (3). The most common isoform is variant 1 (V1), fusing exon 13 of *EML4* with exon 20 of *ALK* (3). This fusion oncogene has been detected both in primary lung cancers, and in the H3122 cell line (3).

ALK inhibitors, including NVP-TAE684, are effective against the *EML4-ALK* H3122 cell line both in vitro and in xenografts (3, 10). In H3122 cells, TAE684-mediated ALK inhibition results in downregulation of PI3K/AKT and MEK/ERK1/2 signaling, as well as apoptosis. The ALK inhibitor crizotinib (PF-02341066), currently in clinical development for *ALK*-rearranged lung cancer, has demonstrated tumor regressions in approximately 60% of *ALK*-rearranged lung cancers.
in an early phase clinical trial(11, 12). These findings suggest that EML4-ALK-driven cancers display features of oncogene dependence or addiction and that ALK inhibitors may be particularly effective for this lung cancer subset.

Despite the therapeutic success of kinase inhibitors in oncogene-addicted tumors, including EGFR mutant lung cancers, chronic myeloid leukemia (CML) and gastrointestinal stromal tumor (GIST), acquired drug resistance universally develops (13-16). Therapeutic strategies to combat drug resistant cancers include the use of second generation kinase inhibitors, and inhibitors of critical downstream signaling proteins activated by the mutant kinases. Another approach involves disruption of HSP90 function, since many mutant oncoproteins require HSP90 for maturation and conformational stability, and are degraded upon HSP90 inhibition (17-19).

In order to evaluate further therapeutic strategies in ALK-rearranged lung cancer, we have generated a murine lung cancer model driven by inducible expression of the EML4-ALK fusion oncoprotein. Using this model, as well as the H3122 cell line, we have assessed the efficacy of kinase inhibition, standard chemotherapy and HSP90 inhibition. These preclinical models provide comprehensive platforms to compare and prioritize potential treatments to evaluate in clinical trials for this lung cancer subpopulation.
Materials and Methods:

Mouse drug treatment studies

The generation of genetically-engineered mice harboring a doxycycline-inducible EML4-ALK fusion gene was similar to other mouse models we have described (20) and is presented in detail in the Supplementary methods. These mice were imaged using Magnetic Resonance Imaging (MRI) to document tumor burden after more than 3 weeks of doxycycline exposure (21). Chemotherapy included carboplatin (50 milligram per kilogram, mpk, in PBS (phosphate buffered saline)) and paclitaxel (10 mpk in PBS) and was delivered by intraperitoneal injection (i.p.) twice weekly. TAE684 (25 mpk by oral gavage), 17-DMAG (LC laboratories; 20 mpk by daily i.p. injection), AZD6244 (25 mpk), BEZ-235 (45 mpk) and WZ4002 (25 mpk by oral gavage) were administered as previously described (10, 20, 22). MRI scanning was performed at indicated time points to evaluate treatment effects. Mice were sacrificed after the last imaging time point to harvest tumors, and subjected to pathological studies (21). Mice used in long-term treatment with different therapies are listed in Table S1. In long-term experiments, 17-DMAG was administered 5 days per week, and TAE684 was administered every other day. For pharmacodynamic studies, two doses of drugs were administered within 24 hours, with the first dose on day 1 and the second dose on day 2, 3 hours prior to sacrifice and tumor harvest. Xenograft studies using nude mice were performed as previously described (3). For short-term
pharmacodynamic studies, mice were given the same dose of 17-DMAG and sacrificed at day 0, 1, 2, 3, 5. Harvested tumors were snap frozen or formalin fixed for further study. Positron Emission Tomography - Computed Tomography (PET-CT) and subsequent treatment response measurements were performed as previously described (22). All mice were housed in a pathogen-free animal facility at the Harvard School of Public Health, and all animal experiments were approved by the Institutional Animal Care and Use Committee of Harvard University. Littermates were used as controls in all experiments.

**Patient samples.**

Tumors were snap frozen in liquid nitrogen at the time of surgery. Frozen tumors were embedded in optimal cutting temperature media (OCT) and sectioned. A hematoxylin & eosin-stained slide was reviewed and only samples without evidence of necrosis and with \( \geq 60\% \) tumor content were chosen for subsequent analyses. Thin sections were used for RNA preparation using Trizol (Invitrogen). EGFR (CTGGGGATCGGCCTCTTC and CCGTAGCTCCAGACATCACTCTG) and EML4-ALK (23) genotyping was performed using RT-PCR. All PCR-positive specimens were verified by sequencing. Details of the patient specimens are listed in Table S2.

**Gene expression profiling and cross-species gene set enrichment analysis.**

Microarray gene expression analysis was performed as described previously (24, 25).
Probe-level intensity data files in the CEL format were pre-processed using Robust Multichip Average program (rmaexpress.bmbolstad.com). Gene-expression data were filtered using low stringency, pre-defined criteria: probe set intensity was 32 in all samples and dynamic variation was more than two-fold over the entire sample set. After filtering, probes representing the same genes were collapsed into a single value, and standardized by taking the median value for each gene across the sample set. Unsupervised hierarchical clustering was performed using Genepattern suite (broad.mit.edu/genepattern). A two-sided $t$-test was used to determine significant differences in gene expression between mouse tumors harboring EML4-ALK translocation and EGFR mutation. False positives associated with multiple hypothesis testing were calculated with the False Discovery Rate (FDR) method. Genes up or downregulated by EML4-ALK with fold change >2 and FDR p-value < 0.05 were considered components of up or downregulated signatures, respectively. Gene Set Enrichment analysis (GSEA, broad.mit.edu/gsea) (26) was used to compare mouse-generated signatures with a rank-ordered gene list obtained from the human dataset. We used the signal-to-noise ratio of EML4-ALK-expressing compared to mutant EGFR-expressing tumors to produce this rank-ordered gene list, and permutation testing and FDR to calculate the significance of enrichment scores.

**EML4-ALK variant 1 constructs and retroviral infection.** EML4-ALK was cloned into pDNR-Dual (BD Biosciences) as previously described (3). The retroviral vector,
JP1536HA was created by inserting a Flag-tag and an HA-tag before the lox-P site of JP1520 vector to allow tagging at the N-terminus of the shuttled construct. The EML4-ALK variant 1 was shuttled into JP1536HA, using the BD Creator System (BD Biosciences). The empty retroviral construct JP1536HA was used as control. H3122 cells were infected with retrovirus according to standard protocols as described previously (13).

**Tandem Affinity Purification, Silver staining and LC-MS/MS.** Lysates from H3122-EML4-ALK-JP1536HA or H3122-JP1536HA-expressing cells were prepared in Flag IP buffer (50 mM Tris–HCl, pH 7.5, 150 mM NaCl, 0.5% NP-40, 1 mM EDTA, 10% glycerol, supplemented with EDTA-free Roche protease inhibitor cocktail). Two mg of lysate was incubated with 20 μl of anti-FLAG agarose. FLAG-tagged protein was purified by affinity purification and eluted with Flag peptide (Sigma). The eluate was incubated with 20 μl of anti-HA agarose with HA buffer (50 mM Tris–HCl, pH 7.5, 150 mM NaCl, 0.05% NP-40, 1 mM EDTA, 10% glycerol, supplemented with EDTA-free Roche protease inhibitor cocktail). HA-tagged proteins were eluted with HA peptide (Covance) from HA-agarose. 10% of the final eluate was analyzed by silver staining (SilverQuest Silver Staining Kit, invitrogen Carlsbad, CA), according to the manufacturer’s instructions. The remaining 90% of the purified protein complexes were analyzed by a gel-free LC-MS/MS method as described previously (27) with minor modifications (see
Supplementary Methods for detailed description).

**Cell Culture and cell proliferation assays.** H3122 cells were maintained as previously described (3) and standard MTS assays were used to assess cell proliferation in response to various compounds. (See supplementary methods for details of cell culture and compounds used).

**Western blotting and immunoprecipitation.** Cell lysates were subjected to Western blotting and immunoprecipitation using previously described protocols (19, 28). Antibodies used and experimental procedures are detailed in the Supplementary methods.
Results:

Expression of the EML4-ALK V1 fusion protein in mice leads to lung adenocarcinoma that is similar to the human disease.

We generated doxycycline-inducible bitransgenic mice harboring the \textit{EML4-ALK} (V1) allele (Fig. S1A) in combination with the lung epithelial cell-specific reverse transactivator (CCSP-rtTA) allele. In the absence of doxycycline induction, these mice are healthy with normal lung histology. Doxycycline-dependent lung-specific induction of \textit{EML4-ALK} expression led to lung tumorigenesis with a latency of less than 10 days (Fig. S1B). Lung tumor-bearing mice rapidly lost weight in the first 4 weeks (Fig. S1C), and had a median survival of 7-8 weeks, confirming that \textit{EML4-ALK} is a potent oncogene (Fig. S1D). Withdrawal of doxycycline led to complete tumor regression within 2 weeks, as revealed by both MRI and histology, indicating that tumor initiation and maintenance are entirely dependent upon \textit{EML4-ALK} expression (Fig. S1B). Detailed histological analysis of the lungs demonstrated these were adenocarcinomas with predominantly bronchioloalveolar carcinoma features and with occasional pleural space and airway invasion by an acinar component (Fig. S1B). \textit{ALK}-rearranged lung cancers in humans are also predominately observed in adenocarcinomas (8). Although signet ring cell features have been observed in human \textit{ALK}-rearranged lung cancers, we did not observe signet ring cells in the murine cancers (8, 29).
In order to determine molecular similarities between human and mouse 
*EML4-ALK* lung cancer, we performed gene expression studies. In both mice and 
humans, tumors harboring *EML4-ALK* and *EGFR* mutation demonstrated distinctive 
expression profiles, and tumors driven by the same oncogenic alteration all clustered 
within the same category, consistent with their genotypic background (Fig. S2A and 
S2B). We then derived an *EML4-ALK* specific expression signature by comparing 
*EML4-ALK*-driven tumor samples with *EGFR*-driven tumor samples in mice. Genes 
up or downregulated by *EML4-ALK* with fold change > 2 and FDR *p*-value < 0.05 
were considered components of up or downregulated signatures, respectively. 
Subsequent Gene Set Enrichment Analysis of these *EML4-ALK* gene sets (25) 
indicated significant correlation (*p* < 0.05) between mouse and human tumor samples 
(Fig. S2C). These findings suggest that the *EML4-ALK* mouse lung cancers are 
similar to human lung cancer with the same genotype.

**ALK kinase inhibitor is a more effective therapy than chemotherapy in**

*EML4-ALK* murine lung adenocarcinoma

The current standard of care for advanced lung cancer is cytotoxic chemotherapy. 
However for subsets of lung cancer, defined by an activated kinase oncogenic driver, 
kinase inhibitors may be more effective, as recently demonstrated for gefitinib in 
*EGFR* mutant disease (30). We therefore investigated whether a similar therapeutic 
paradigm would apply to *EML4-ALK* lung cancer in our preclinical model. We
compared the efficacy of TAE684 (n=9) to carboplatin/paclitaxel (n=3) in mice with MRI-confirmed tumors following doxycycline induction. Carboplatin/paclitaxel treatment resulted in only a modest reduction in tumor volume (17-27%) by 2 weeks as measured by MRI (Fig. 1A). Continuous treatment did not result in further tumor regression. Instead, resistance rapidly developed, and the tumors progressed and exceeded the original tumor burden by 5 weeks of treatment (Fig. 1A). In contrast, all of the TAE684- treated mice achieved complete regression within 2 weeks. Histological analysis showed grossly normal lung structure without evidence of tumor cells (Fig. 1B). Additionally, the clinical condition of tumor-bearing TAE684-treated mice improved rapidly (Fig. S1C), and they remained healthy without notable side effects. ($^{18}$F)-Fluorodeoxyglucose ($^{18}$F-FDG) uptake in lung tumors by PET-CT scan was substantially diminished after only 2 doses of TAE684 within 24 hours, consistent with potent reduction of tumor metabolic activity, while no metabolic response was seen following treatment using an EGFR kinase inhibitor (Fig. S3). In some of the mice, TAE684 treatment was then continued over an extended period of time (~75 weeks). To date, drug-resistant tumors have not developed. Withdrawal of TAE684 caused rapid tumor relapse, while re-application of TAE684 re-induced complete regression. In the context of this model, TAE684 afforded superior survival ($p < 0.0001$; log rank test) compared with carboplatin/paclitaxel (Fig. 1C).

We next evaluated the effects of TAE684 treatment on downstream signaling...
proteins. Mice were treated with either vehicle or TAE684, sacrificed 2 hrs following treatment and tumors examined by immunohistochemistry (IHC). In the TAE684-treated mice, there was significant downregulation of p-AKT, p-ERK1/2, p-S6 and p-STAT3, all of which have been previously identified in signaling pathways engaged by NPM-ALK (31-34) (Fig. 1D).

Inhibition of PI3K and MEK, but not STAT3, suppresses growth of an EML4-ALK-expressing lung cancer cell line and modestly inhibits tumor progression in vivo

Simultaneous inhibition of the PI3K/Akt/mTOR and MEK/ERK1/2 pathways has been successful in pre-clinical models of KRAS and EGFR mutant NSCLC (21, 32), prompting us to evaluate a similar strategy in EML4-ALK-driven murine lung cancer and in the H3122 cells. Additionally, previous studies in ALCL harboring NPM-ALK rearrangement demonstrated the importance of STAT3 activation (32). In these cells, STAT3 is mainly activated by JAK3, a client of NPM-ALK (35). Since the expression of JAK3 is largely limited to hematopoietic tissues (36, 37), whether STAT3 activation plays a critical role in EML4-ALK lung tumor cells is unknown. The STAT3 inhibitor, S3i-201 (38) was not effective in H3122 cells. In contrast, the MEK inhibitor AZD6244 (AZD), and the PI3K/mTOR inhibitor NVP-BEZ235 (BEZ) suppressed H3122 proliferation both as single agents or in combination (Fig. 2A and 2B). The treated cells demonstrated downregulation of phopho-AKT and
phospho-ERK 1/2 (Fig. 2C). MTOR activity was also sharply reduced with BEZ (Fig. 2C). The concentrations of AZD and BEZ are comparable to effective concentrations used previously in EGFR mutant NSCLC cell lines (39). However, in the murine model of EML4-ALK lung cancer, combined inhibition of MEK and PI3K/mTOR signaling, using previously established treatment conditions and dosing schedules (22), resulted in only modest reduction in tumor burden after 2 weeks of treatment. Among 4 treated mice, we detected tumor regression of ~20%, comparable to the efficacy achieved by chemotherapy, but far less effective than TAE684 (Fig. 2D). We were not able to perform experiments utilizing the triple combination of AZD/BEZ and S3i-201 due to unacceptable systemic toxicity. We also employed a JAK3 inhibitor, CP-690550, at established doses (40, 41) in combination with AZD/BEZ, but did not observe enhanced tumor regressions.

**EML4-ALK interacts with HSP family members**

In order to further study ALK signaling and to identify additional potential therapeutic targets, we examined EML4-ALK-associated proteins using Tandem Affinity Purification (TAP) coupled with mass spectrometry. We constructed an EML4-ALK V1 expression vector tagged with FLAG and HA, introduced it into H3122 cells followed by two rounds of immunoprecipitation-based purification (Fig. 3A). We identified several interacting proteins (Fig. 3A) and analyzed these by MS (Table S3A). As anticipated, we detected EML4 (both full length and EML4-ALK)
and ALK (from EML4-ALK only) as among the most abundant proteins (detected by 13 and 8 unique peptides, respectively; Fig. S4A and S4B). Additionally, we also detected heat shock protein (HSP) family members (HSPA5 (BIP/grp78) and HSPA8 (HSC70)) as abundant interacting proteins (both detected by 5 unique peptides; Fig. S4C and S4D). Neither protein was detected in the control affinity purification.

To validate the physical association of the HSP protein complex and EML4-ALK, we performed co-immunoprecipitation experiments using the FLAG/HA tagged EML4-ALK expression construct. Both HSPA5 and HSPA8, which were identified by MS, co-precipitated with EML4-ALK (Fig 3B). Moreover, additional HSP family members, including HSPA1A (HSP70) and HSP90, were also detected in association with EML4-ALK (Fig. 3B). We further confirmed the endogenous association of HSP90 in the H3122 cells with ALK by immunoprecipitation with an HSP90 antibody. ALK, as well as two other known HSP90 partners, cdc37 and p23, were detected in complex with HSP90 (Fig. 3B). The association of EML4-ALK and HSP90 was disrupted by 17-AAG-mediated HSP90 inhibition (Fig. 3B). These findings suggest that HSP family members may play a critical role in protein folding and structural stability of EML4-ALK.

To determine a functional role for HSP family members in maintaining stability of EML4-ALK, we treated H3122 cells with 17-AAG. EML4-ALK was efficiently
depleted following 17-AAG treatment, with concomitant extinguishing of
downstream signaling, evident by reduced p-AKT, p-ERK1/2 and p-S6 (Fig. 3C).
HSP70 expression was up-regulated with treatment, a pharmacodynamic marker of
effective HSP90 inhibition (42). Furthermore, 17-AAG inhibited H3122 proliferation
with an IC₅₀ of 20 nM (Fig. 3D). Taken together, our findings indicate that
EML4-ALK is a sensitive HSP90 client.

**HSP90 inhibition causes regression of EML4-ALK-driven H3122 xenografts and
murine lung adenocarcinomas**

To confirm a potential therapeutic effect of HSP90 inhibition on H3122 cells in vivo, we established xenografts and treated the mice with either vehicle or the
water-soluble geldanamycin 17-DMAG. As demonstrated in Figure 4A, 17-DMAG
cause tumor regression in this model. Additionally, short-term treatment with two
doses of 17-DMAG within 24 hrs confirmed marked reduction in total ALK
expression, as demonstrated by immunohistochemical staining (Fig. 4B) and Western
blotting (Fig. 4C) of harvested xenografts. We further observed HSP70 induction in
the xenografts, consistent with the pharmacodynamic effects of 17-DMAG treatment
(Fig. 4C).

We next treated tumor-bearing EML4-ALK transgenic mice with 17-DMAG.
Similar to the results with H3122 xenografts, we observed an average of 84% tumor
regression (n=5, Table S4) within one week of treatment (Fig. 5A, mouse 292).

Histological analysis showed remnant cancer cells and dramatic restoration of normal lung structure (Fig. 5B). We continued to treat these mice for an extended period of time, and documented tumor volume each week by MRI (Fig. 5A and Table S4). Our results showed that tumor response was not durable, and varied significantly among mice during treatment (Table S4).

To determine whether 17-DMAG impacted survival, we compared treatment with 17-DMAG to placebo. Median survival increased from 7 weeks in the placebo group to 21 weeks in the 17-DMAG-treated group, \( (p < 0.0001) \) (Fig. 5C). This improvement in overall survival was noted even though the durability of response did not match that achieved with TAE684 (Fig. 6A).

We also performed pharmacodynamic studies using tumors from the 17-DMAG-treated animals. After short-term treatment (24 hours), 17-DMAG treatment results in reduced expression of p-AKT and p-ERK1/2, similar to tumors from mice treated with TAE684 and AZD/BEZ (Fig. 6B). However, in recurrent tumors harvested after long-term treatment (40 days), signaling was restored, as demonstrated by p-AKT and p-ERK 1/2 levels similar to vehicle treated mice, (compare Figs. 6B and 6C). Nonetheless, HSP70 induction was noted in recurrent tumors, consistent with continued inhibition of HSP90 during the treatment course (Fig. 6C).
Discussion

ALK-rearranged lung cancers are a subset of cancers that are clinically sensitive to ALK inhibitors (11, 12). The ALK inhibitor crizotinib (PF-02341066) is currently undergoing clinical development in a randomized phase III trial and is being compared to standard chemotherapy. However, much remains to be understood about EML4-ALK biology, and the identification of alternative strategies to treat these cancers remains a clinical priority, since acquired resistance to targeted ALK inhibition is likely to emerge.

A recently published study described a mouse lung cancer model initiated by constitutively over-expressed EML4-ALK driven by lung-specific Surfactant C (SPC) promoter. This transgenic model also showed responses to an ALK-specific inhibitor (43). However, the short life span of these mice after birth, due to early expression of EML4-ALK in the late stage of embryonic development, potentially limits its use in performing comparative studies of different treatment strategies. We thus developed a new EML4-ALK mouse lung cancer model that phenocopies the molecular features of human ALK-rearranged lung cancer, and allows us to compare and prioritize therapeutic approaches.

Using this model, we demonstrate that inhibition of ALK activity, using TAE684, is more effective than conventional chemotherapy (Figure 1C). The degree of tumor regression is analogous to that of EGFR kinase inhibitors used to treat mutant
EGFR-driven murine lung cancers (44). However, in contrast to EGFR mutant lung cancer, the combination of PI3K and MEK inhibitors, although effective \textit{in vitro}, was not effective in our \textit{EML4-ALK} mouse model (39). These discrepancies attest to the importance of preclinical \textit{in vivo} disease modeling in evaluating potential efficacy of individual treatment approaches. Our pharmacodynamic results indicated that both pAkt and pERK1/2 are efficiently suppressed by BEZ and AZD, suggesting that other potential \textit{EML4-ALK} effector(s) may act to promote tumor survival \textit{in vivo}, and could serve as important therapeutic target(s). It is possible that the strong expression of the EML4-ALK fusion protein in our model system may also require higher drug concentrations or more potent compounds for complete pathway inhibition. Further work will be required to address this issue and determine whether combined PI3K/MEK inhibition is a worthwhile strategy in EML4-ALK-driven lung cancer.

In order to identify other potential therapeutic targets, we demonstrate the association of EML4-ALK with several intracellular chaperones, including HSP90 (Figure 3B). Previous studies suggested that NPM-ALK is also a client of HSP90 and HSP70 (45-47). We further demonstrated that geldanamycin compounds caused dissociation of HSP90 from EML4-ALK, and were effective \textit{in vitro}, as well as in a xenograft model and in our murine adenocarcinoma model \textit{in vivo}. In fact, 17-DMAG ranked second of the four treatments evaluated in the EML4-ALK-driven murine lung adenocarcinomas, and was more effective than chemotherapy and combined PI3K/mTOR/MEK inhibition (Fig. 6A and 6D).
Despite impressive initial responses to 17-DMAG responses were not durable. This result is similar to those observed with geldanamycins used to treat murine adenocarcinomas harboring EGFR mutation (19, 48). The mechanism by which resistance develops is presently not defined. However we detected upregulation of HSP70 in mice that have developed resistance to 17-DMAG (Fig. 6C) suggesting continued HSP90 inhibition. Possible mechanisms of resistance to 17-DMAG could involve alterations in ALK, changes in expression pattern of intracellular chaperones or emergence of an oncogenic driver not dependent on HSP90 for conformational stability. Nonetheless, HSP90 inhibition tripled the survival of treated mice, indicating the importance of the initial tumor response. Of note, the geldanamycin IPI-504 has demonstrated preliminary activity in NSCLC in a phase 2 trial; two of five patients who achieved partial response had tumors harboring EML4-ALK translocations (49). These clinical findings further highlight the similarities of our mouse model to human EML4-ALK NSCLC. Further assessment of HSP90 inhibition, both with geldanamycin and new, potent non-geldanamycin HSP90 inhibitor compounds is warranted (28, 50). and may represent an alternative approach to targeted ALK inhibition.

In summary, we have developed a model of EML4-ALK NSCLC that is similar both in molecular features (gene expression profile) and treatment response (ALK TKI and HSP90 inhibitor) to human EML4-ALK NSCLC. This pre-clinical model will be a useful tool for evaluating future therapies in this subset of NSCLC.
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Figure Legends

Figure 1. ALK targeted therapy shows significant advantage over carboplatin/paclitaxel against murine EML-ALK lung cancer.  
A, Representative MRI scans from a mouse harboring EML4-ALK-driven lung adenocarcinoma taken before treatment, and after 2 and 5 weeks of carboplatin/paclitaxel.  
B, MRI imaging and pathological analysis demonstrate complete tumor regression following TAE684 for 2 weeks. Scale bar=100μm. 
C, Survival curves following continuous treatment with vehicle, TAE684 or carboplatin/paclitaxel. 
D, Tumor-bearing mice untreated or treated with TAE684 for 24 hrs. Tumors were harvested and analyzed by immunohistochemistry for the indicated phospho-proteins, demonstrating significant downregulation of ALK signaling pathways. Small areas (insets) of pAkt staining are enlarged to compare signals before and after TAE684 treatment. Red arrows, tumor cells. Staining and histology of lungs from non-tumor bearing mice are shown for comparison.

Figure 2. PI3K/mTOR and MEK/ERK1/2 inhibition reduce viability of EML4-ALK-dependent lung cancer cells in vitro but not in vivo.  
A, H3122 cells
were treated with the indicated concentrations of the MEK inhibitor AZD6244, the P13K-mTOR inhibitor BEZ235, or by the combination of both drugs. Cell viability was determined after 72h by MTS assay. Data are presented as the percentage of viable cells compared to untreated cells. **B,** H3122 cells were treated with indicated doses of AZD6244, BEZ235 or both for 14 days, and colonies counted. Bars, standard deviation (S.D.). Student’s *t*-tests were performed comparing PBS with AZD6244, BEZ235 or AZD/BEZ. *; *p* <0.01, **, *p* <0.001. **C,** H3122 cells were treated with PBS, AZD6244 (1μM), BEZ235 (0.2μM) or both for 6h. Lysates were subjected to Western blotting with the indicated antibodies, demonstrating expected effects on signal transduction by these compounds. **D,** EML4-ALK tumor-bearing mice were treated with the combination of AZD6244 and BEZ235. Tumor volumes were documented by MRI imaging. Mice were sacrificed after two weeks of treatment for pathological analysis. Scale bar=100μm.

**Figure 3. Association of EML4-ALK proteins with the HSP complex.** **A,** Silver staining of Tandem Affinity-purified proteins associated with EML4-ALK variant 1. Asterisk: EML4-ALK; other bands represent novel proteins. **B,** EML4-ALK is a sensitive HSP90 client. Left, validation of EML4-ALK-associated proteins. Lysates from H3122 cells expressing Flag-HA-tagged EML4-ALK variant 1 or empty vector were subjected to immunoprecipitation with anti-Flag antibodies followed by Western blotting with antibodies against the indicated proteins, demonstrating the association
of multiple HSP family members with ectopic EML4-ALK; Middle, association between endogenous EML4-ALK and HSP90 in H3122 cells. Lysates were subjected to immunoprecipitation with IgG or an anti-HSP90 antibody followed by Western blotting for ALK, cdc37 and p23; Right, the association of EML4-ALK with HSP90 and cdc37 is disrupted by 17-AAG treatment. Cells were treated with the indicated concentrations of 17-AAG for 1 hour. Lysates were subjected to immunoprecipitation with an anti-Flag antibody followed by Western blotting for the indicated proteins.

C, H3122 cells were treated with the indicated concentrations of 17-AAG for 24 hours and lysates analyzed by Western blotting for the indicated proteins, demonstrating depletion of ALK and downstream signaling proteins at a 17-AAG concentration as low as 25 nM. D, H3122 cells were treated with TAE684 or 17-AAG for 72 hrs and analyzed using the MTS assay.

Figure 4. 17-DMAG-mediated HSP90 inhibition is effective against EML4-ALK-driven lung cancer in H3122 xenograft. A, Mice bearing H3122 xenografts were treated with vehicle or 17-DMAG and tumor volumes were plotted over time. Bars, standard error (S.E.). B, Immunohistochemical analysis for ALK. (Left) H3122 xenografts express EML4-ALK in the cytoplasm (Right) Following 3 days of treatment with 17-DMAG, the expression of ALK was markedly reduced. C, Western blots of tumor lysates from mice treated with 17-DMAG at the indicated time.
points, demonstrating reduced ALK expression and induction of HSP70. Lane 0, untreated.

**Figure 5. 17-DMAG is effective against EML4-ALK-driven lung cancer in genetically engineered mouse model.**  
**A,** Representative MRI images from mouse 292, harboring EML4-ALK lung adenocarcinoma, treated for 5 consecutive weeks with 17-DMAG, demonstrating an initial response that was not durable (see supplementary table S4 for quantification of tumor volumes).  
**B,** H&E staining of tumor sections from mice left untreated or treated with 17-DMAG for one week, demonstrating only small residual tumor and restoration of normal lung architecture. Scale bar=100μm.  
**C,** Kaplan-Meier survival analysis of mice with EML4-ALK-driven lung cancer treated with 17-DMAG or placebo. Median survival of placebo control is 7.05 weeks while that for the 17-DMAG treated group is 21 weeks after treatment initiation.

**Figure 6. Comparison of different therapeutic strategies in the murine EML4-ALK lung cancer model.**  
**A,** Dynamics of tumor volume change with different treatment strategies. Time points for tumor volume sampling are indicated by colored dots. Bars, S.E. Asterisk, n=2, no S.E. calculated.  
**B,** Comparison of ALK downstream signaling in mice with EML4-ALK-driven lung cancer treated for 1 day with the indicated drug(s). Tumor lysates were analyzed by Western blot with the...
indicated antibodies.  

C, ALK downstream signaling following 40 days of treatment with vehicle or 17-DMAG. Tumor lysates were analyzed by Western blot with the indicated antibodies.  

D, Tumor volume changes by MRI (Image J software) 2 weeks after the indicated treatments. Each color represents a different treatment approach; each bar represents tumor volume change after treatment in one mouse.
A  Carboplatin + Paclitaxel

Before treatment  2 weeks after treatment  5 weeks after treatment

B  TAE684

Before treatment  1 week TAE684  2 weeks TAE684

Before treatment  2 weeks TAE684

C

Percent survival

weeks after treatment initiation

PLACEBO (n=14)
TAE 684  (P<0.0001 n=6)
Carbo+Taxol  (P<0.0001 n=6)

D

pSTAT3
pMEK
pERK
pS6
pAkt

Figure 1
Figure 2
Figure 3
Figure 4
Figure 5
Figure 6
Inhibition of ALK, PI3K/MEK and HSP90 in Murine Lung Adenocarcinoma Induced by EML4-ALK Fusion Oncogene

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