**Microenvironment and Immunology**

**Foop3$^+$ T Cells Inhibit Antitumor Immune Memory Modulated by mTOR Inhibition**

Yanping Wang¹, Tim Sparwasser³, Robert Figlin², and Hyung L. Kim¹

**Abstract**

Inhibition of mTOR signaling enhances antitumor memory lymphocytes. However, pharmacologic mTOR inhibition also enhances regulatory T-cell (Treg) activity. To counter this effect, Treg control was added to mTOR inhibition in preclinical models. Tregs were controlled with CD4-depleting antibodies because CD4 depletion has high translational potential and already has a well-established safety profile in patients. The antitumor activity of the combination therapy was CD8 dependent and controlled growth of syngeneic tumors even when an adoptive immunotherapy was not used. Lymphocytes resulting from the combination therapy could be transferred into naïve mice to inhibit aggressive growth of lung metastases. The combination therapy enhanced CD8 memory formation as determined by memory markers and functional studies of immune recall. Removal of Foop3$^+$ expressing T lymphocytes was the mechanism underlying immunologic memory formation following CD4 depletion. This was confirmed using transgenic DEREG (depletion of regulatory T cells) mice to specifically remove Foop3$^+$ T cells. It was further confirmed with reciprocal studies where stimulation of immunologic memory because of CD4 depletion was completely neutralized by adoptively transferring tumor-specific Foop3$^+$ T cells. Also contributing to tumor control, Tregs that eventually recovered following CD4 depletion were less immunosuppressive. These results provide a rationale for further study of mTOR inhibition and CD4 depletion in patients. *Cancer Res; 74(8); 1–12. ©2014 ACR.*

**Introduction**

The immune system can provide protection against cancers. Effective immune stimulation produces long-lasting memory lymphocytes, capable of rapidly responding to repeat antigen challenge. The mTOR pathway is an important checkpoint that governs the formation of CD8 memory cells (1–3). In mouse models, decreased mTOR signaling promotes formation of CD8 memory cells that provide protection against bacteria (4), virus (1) or cancer (2, 3). This is surprising because rapamycin, which is the prototypic mTOR inhibitor, is considered an immunosuppressant and is widely used to prevent rejection of solid organ transplants. In murine models of renal cell carcinoma (RCC) and melanoma, pharmacologic mTOR inhibition had both immune stimulating and immune suppressing effects (2). However, the net effect resulted in decreased tumor growth. Therefore, mTOR inhibitors, which are already approved by the U.S. Food and Drug Administration (FDA) for clinical use, are a promising adjunct for use with cancer vaccines.

Strategies to limit immune suppression by mTOR inhibitors may make this class of drugs even more useful with cancer vaccines. Pharmacologic mTOR inhibition suppresses the immune system at least in part by enhancing CD4 regulatory T-cell (Treg) activity (2, 5). Therefore, we explored a combination therapy targeting the mTOR pathway and Tregs. The most reliable Treg marker is forkhead box transcription factor (FoxP3), which is specific for Tregs and is required for its function (6). Unfortunately, there is no clinical strategy for targeting FoxP3-expressing cells in patients. Therefore, an alternative strategy is to target CD25, which is expressed by the majority of Tregs. However, this strategy has limitations because some Tregs are CD25 negative. Furthermore, activated CD8 lymphocytes express CD25 and can be depleted by CD25-targeting strategies. In murine models, depleting CD25-expressing cells with αCD25 antibodies was effective in preventing tumor growth, but was not effective in treating established tumors (7–9) and has been shown to restrict adoptive immunotherapy (10, 11). Another strategy uses an engineered protein that combines interleukin-2 (IL-2) and diphtheria toxin (denileukin diftitox, trade name Ontax) to target CD25-expressing cells. The approach has been tested in patients with RCC or melanoma (12, 13), however clinical effectiveness was limited, possibly because of depletion of CD8 effector cells.

Using preclinical models, we explored a combination of pharmacologic mTOR inhibition and Treg depletion using...
αCD4 antibody. This is an attractive approach because CD4-depleting antibodies have been studied in patients with peripheral T-cell lymphoma (14, 15), Crohn disease (16), and multiple sclerosis (17, 18), and have a well-established safety profile. However, CD4 depletion removes CD4 effector cells, which are required for initiation of an immune response. Therefore, CD4 depletion was timed to occur after immune priming has taken place. In murine models for RCC and melanoma, mTOR inhibition and CD4 depletion produced a robust cellular immune response that was transferable and effective in controlling subcutaneous tumors as well as lung metastases. The combination treatment produced highly effective memory lymphocytes with robust recall responses. The stimulation of immunological memory because of CD4 depletion was attributed to Treg depletion based on experiments using transgenic models to specifically deplete Tregs ("Treg knock-out") or replace tumor-specific Tregs ("Treg knock-in") following CD4 depletion. Another mechanism contributing to the antitumor response was that Tregs that returned after CD4 depletion were less immunosuppressive than Tregs from mice without CD4 manipulation.

Materials and Methods

Mice and tumor cells
Female C57BL/6, Balb/c mice and Pmel-1 mice, 6- to 8-week old, were purchased from Jackson Laboratory and housed under pathogen-free conditions. FoxP3-GFP mice were a generous gift from Dr. V. Kuchroo (Harvard University, Boston, MA). DEREGR [depletion of regulatory T cells; Tg(Foxp3-DTR/EGFP)23.2Spar] transgenic mice was generated and described by T. Sparwasser (19). All experiments involving animals were in compliance with federal and state standards, which include the federal Animal Welfare Act and the NIH guide for the care and use of laboratory animals.

Human gp100-transduced B16 cells (B16-gp100) were kindly provided by Dr. A. Rakhmilevich from University of Wisconsin-Madison. RENCA, a murine RCC line, was a gift from Dr. A. Beldegrum (University of California, Los Angeles, CA). All cells were periodically authenticated by morphologic and histologic inspection, and animal grafting for assessing their ability to grow and metastasize. Cells were annually tested for mycoplasma. Mouse spleen and lymph nodes were collected and processed by T. Sparwasser (19). All experiments involving animals were in compliance with federal and state standards, which include the federal Animal Welfare Act and the NIH guide for the care and use of laboratory animals.

Tumor cells were isolated with the Lympholyte Media (Cedarlane Labs). Purity of CD8 and CD4 cells after negative depletion were purchased from BioXcell. Temsirolimus was purchased from LC Laboratory.

Preparation of dendritic cells and T-cell stimulation

Dendritic cell (DC) preparation has been described (2). To prepare DC vaccine for treatment of mice, DCs were pulsed with tumor cell lysate and activated with 10 μg/mL CpG. DCs were subcutaneously injected into mouse. For in vitro activation of Pmel-1 cells, DC was pulsed with 10 ng/mL mouse gp100 peptide (amino acids 25–33, which is presented by H2-Db, H-2K7 molecules; Alpha Diagnostic International) and activated with 10 μg/mL. CpG for 2 hours. DCs were washed with PBS, and cocultured with CFSE-labeled Pmel-1 cells. Pmel-1 cells proliferation was analyzed by FACscan.

Adoptive transfer, CD4 cells depletion, and mTOR inhibition

Pmel-1 lymphocytes were isolated from lymph nodes and spleen of naïve Pmel-1 mice. CD8 lymphocytes were enriched by negative selection using Cedarlane purification column. At least 85% of the resulting cells were CD8+CD25-, IL-7, and IL-17A were detected by BD FACS Canto II (Becton Dickinson) and analysis was performed with FlowJo (TreeStar). Statistical analysis was performed with GraphPad Prism (GraphPad Software). For each experiment, data were collected from at least 10,000 events for each condition. 

Cytotoxic T lymphocyte assay

The in vivo cytotoxic T lymphocyte (CTL) assay has been described (2). The in vitro CTL, fresh splenocytes were cultured in the presence of IL-2 (40 IU/mL; eBioscience), tumor lysate, and H-2K-restricted CA9 peptide (20) for 5 days. Live cells were isolated with the Lympholyte Media (Cedarlane Labs) and used as effector cells. To prepare target cells, RENCA cells were treated with IFN-γ (20 ng/mL; eBioscience) for 24 hours and labeled with CFSE (0.5 μmol/L). Effector and target cells were co-cultured at a ratio of 50:1 at 37°C for 12 hours. Cells were stained with 7-AAD and PE labeled annexin V (eBioscience) on
ice for 20 minutes and analysed by flow cytometry for percent of 7-AAD–annexin V cells that were CFSE−.

**Statistics**

Differences in tumor growth were assessed using repeating measure ANOVA. Statistical differences were evaluated by 2-tailed Student t test. All statistical analyses were performed using Stata 8.0 (StataCorp). P values < 0.05 were considered significant.

**Results**

**mTOR inhibition enhances antitumor immunity**

In animal models, pharmacologic mTOR inhibition can enhance the formation of immune memory, which can help clear infections (1, 4) and decrease tumor growth (2, 3). This was a surprising finding because mTOR inhibitors are used to suppress the immune system in patients who have had solid organ transplants. Temsirolimus is a rapamycin analog and one of the first mTOR inhibitors approved by the FDA as a cancer treatment. In our preclinical model, mTOR inhibition with temsirolimus enhanced the antitumor immunity of tumor lysate–pulsed DCs (referred to here as DC vaccine; Fig. 1A). Temsirolimus can directly inhibit the growth of some tumors (2); therefore, a tumor prevention study was performed to assess the immune effects of temsirolimus. By administering DC vaccine and temsirolimus 13 days before tumor challenge, there is no possibility for a direct antitumor effect, and any decrease in tumor growth can be attributed to immune stimulation. Administering DC vaccine alone decreased growth of B16 tumor cells in mice, however, most mice eventually died because of tumor growth. In contrast, the combination of DC vaccine and temsirolimus resulted in 100% survival and completely prevented the growth of B16 tumor cells.

To assess the immune effect of temsirolimus on specific CD8 lymphocytes, Thy1.1 Pmel-1 lymphocytes were adaptively transferred into Thy1.2 B6 mice (Fig. 1B and C). Pmel-1 transgenic mice carry a rearranged T-cell receptor that recognizes a gp100 epitope (amino acids 25–33) presented by H2-Db MHC class I molecules. Lymphocytes were harvested from B6 mice after they were treated with DC vaccine and temsirolimus. Temsirolimus had both immune stimulating and immune suppressing effects when administered with the DC vaccine. Temsirolimus slightly decreased the percent of CD8 cells that were Pmel-1 lymphocytes (P-value = 0.08), however, Pmel-1 lymphocytes had increased expression of Eomes, which is an early marker for memory cell formation (3, 21). Potentially lymphocytes had increased expression of Eomes, which is an early marker for memory cell formation (3, 21). Potentially temsirolimus significantly decreased the proliferation of Pmel-1 lymphocytes induced by the DC vaccine.

**CD4 depletion enhanced the antitumor effect of mTOR inhibition**

Temsirolimus produced a net antitumor immune response despite an increase in Tregs. Furthermore, the presence of tumor itself increased Tregs (Supplementary Fig. S1). Therefore, we hypothesized that the antitumor immunity induced by mTOR inhibition can be further enhanced by targeting Tregs. Currently, there is no clinical strategy to selectively remove Tregs; however, it is feasible to deplete all CD4 lymphocytes. However, CD4 effector cells are required for immune activation. Therefore, in mouse models, CD4 lymphocytes were depleted with αCD4 IgG2b antibody (αCD4) after immune stimulation by the implanted tumor (Fig. 2).

We tested this approach in a second model of RCC, another classically immune-sensitive tumor. In a tumor treatment model, palpable RENCA tumors were established in Balb/c mice (Fig. 2). Temsirolimus has been shown to have direct cytostatic growth inhibition of RENCA tumor cells in vitro (2), and as expected temsirolimus alone was effective in decreasing tumor growth in our mouse model (Fig. 2A). However, when temsirolimus was stopped, the tumor started growing again (data not shown). Addition of αCD4 to temsirolimus treatment further decreased tumor growth and was even curative while αCD4 alone had no effect. It is interesting to note that the combination of αCD4 and temsirolimus decreased tumor growth even when no cancer vaccine was used and the implanted tumor was the only source of specific immune stimulation.

In the same experiment, lymphocytes were harvested on day 45 and assessed for tumor-specific IFN-γ response (Fig. 2B) and CTL killing (Fig. 2C). In tumor-bearing mice that received no treatment, there was no IFN-γ or killing response. Treatment with either αCD4 or temsirolimus produced some IFN-γ or killing response; however, the combination treatment produced the largest responses. To characterize the CD4 lymphocyte depletion in response to αCD4, naive mice were treated with a single dose of αCD4. Nearly all CD4 cells were depleted from the peripheral blood, spleen, and lymph nodes by the next day (Fig. 2D) whereas CD8 cells were preserved (Fig. 2E). Importantly, FoxP3+ CD4+ cells were depleted and remained low even 10 days following administration of αCD4 (Fig. 2F). A single dose of αCD4 reduced the population of all CD4 subsets (Supplementary Fig. S2).

There are known differences in the immune system of Balb/c and B6 mice (22). However, a similar antitumor effect was observed with our proposed therapy in B6 mice with established B16 tumors (Supplementary Fig. S3). Unlike RENCA, the B16 tumors are not directly inhibited by temsirolimus (2). Therefore, antitumor effects seen in the presence of mTOR inhibition are likely produced by the immune system. Another consideration is that B16 tumors grow very rapidly, and most mice require euthanasia within 3 weeks of tumor implantation. Therefore, DC vaccines were used in our tumor treatment models to decrease B16 growth rates and give our treatments sufficient time to stimulate the immune system.

**Combination of CD4 depletion and temsirolimus generated antitumor immunity that was dependent on memory CD8 cells**

Because temsirolimus can directly inhibit RENCA cells, it was important to establish that the combination of αCD4 and temsirolimus was generating an effective antitumor immunity dependent on CD8 lymphocytes. Balb/c mice bearing RENCA
tumors were treated with temsirolimus alone for 10 days and then challenged with a second RENCA tumor (Fig. 3A). Mice injected with a CD8 antibody (aCD8) to deplete CD8 effectors cells had increased growth of the second RENCA tumor, indicating that even temsirolimus alone works at least in part by stimulating an immune response. The combination of temsirolimus and aCD4 completely prevented the growth of second RENCA tumors; however, aCD8 removed the antitumor effect on the second tumors, indicating the importance of cellular immunity to tumor control (Fig. 3B).

To further establish the role of the immune system and test our proposed treatment in a more aggressive tumor model, we assessed whether antitumor immunity can be transferred to prevent growth of metastatic lung deposits. The combination of aCD4 and temsirolimus was used to treat established, subcutaneous RENCA tumors (Fig. 3C). Lymphocytes from these mice were adoptively transferred to naive mice, which were challenged intravenously with RENCA cells. The combination treatment significantly decreased the establishment and growth of lung deposits (Fig. 3C) as quantified by comparing lung weights (Fig. 3D) and counting lung deposits (Fig. 3E). Specific IFN-γ expression in CD8 lymphocytes was increased in the combination therapy group (Fig. 3F). Therefore, memory cells were successfully transferred into naive mice, where they helped control tumor growth.

Figure 1. There are both immune stimulating and inhibiting effects of mTOR inhibition; however, the net effect is enhanced antitumor immunity. A, experimental scheme for a melanoma tumor prevention model: mice (n = 5 per group) received tumor lysate–pulsed DC vaccine on days −30 and −23, and temsirolimus was injected intraperitoneally daily on days −23 to −13. B16 tumor cells were injected subcutaneously in the flank on day 0. B16 tumor growth and survival curves are shown. B, experimental scheme to characterize lymphocytes following treatment with DC vaccine and temsirolimus: Thy1.1 Pmel-1 lymphocytes were adoptively transferred into Thy1.2 B6 mice, which received tumor lysate–pulsed DC vaccine on day −6, and daily temsirolimus for 5 days. Splenocytes were harvested on day 0 and stained for CD8, Thy1.1, Tbet, Eomes, and CD4/ FoxP3 and analyzed by flow cytometry. Representative results are shown. C, summary data (n = 5) are provided for B. D, lymphocytes were characterized with in vitro mixed cultures using pmel-1 lymphocytes and tumor lysate–pulsed, CpG-activated DCs treated with temsirolimus for 48 hours. Lymphocytes were stained for CD8, Thy1.1, Tbet, Eomes, and CD4/FoxP3 and analyzed by flow cytometry. Representative results are shown. E, summary data (n = 3) are provided for D. All results are representative of at least duplicate experiments. Histograms, mean ± SEM. ††, P < 0.01; †††, P < 0.005.
Combination of CD4 depletion and temsirolimus treatment enhanced function of CD8 memory cells

An important mechanism through which temsirolimus inhibits tumor growth is to enhance the quality of specific CD8 memory (1, 2). Therefore, we characterized the quality of CD8 memory cells with the goal of assessing whether further enhances the specific CD8 memory formed in the presence of mTOR inhibition. We used a model where DC vaccines stimulated an immune response rather than the tumor itself because the duration of experiments with tumor-bearing mice is limited by rapid tumor growth in the control groups. By using a DC vaccine, long-term memory can be assessed, including recall responses. Thy1.1 Pmel-1 lymphocytes were adoptively transferred into B6 mice, which were then challenged with B16-DC vaccine and treated with αCD4 and temsirolimus (Fig. 4A).

To assess memory cells, splenocytes were harvested before (Fig. 4B) or after (Fig. 4C) rechallenging mice with DC vaccine on day 46. Immediately because rechallenge, there was no significant difference in percent of Pmel-1 lymphocytes in the experimental groups (Fig. 4B). However, the CD8 lymphocytes from mice treated with both αCD4 and temsirolimus had significantly higher expression of memory markers Eomes and BCL2. The CD8 lymphocytes from this group...
had significantly higher expression of CD62L, which is a marker for highly effective central memory cells. Consistent with high-quality memory cells, following rechallenge with DC vaccine, the Pmel-1 cells in the combination treatment group had the greatest expansion and CD8 cells had the highest Tbet and IL-2 expression (Fig. 4C). Interestingly, even after rechallenge, the expanded CD8 cells from the combination treatment group had the highest expression of Eomes.

Others have reported that Tregs are necessary, during immune priming, for CD8 memory formation (23, 24). Therefore, in our treatment models, Tregs were depleted at least 6 days after primary immune stimulation. When CD4 depletion was performed before immune priming, CD8 memory formation was poor, as indicated by a weak tumor-specific CD8 expansion after stimulation of memory cells and low Eomes expression (Supplementary Fig. S4).

**Depleting or replacing Foxp3 Treg cells alter CTL function in vivo**

Our original hypothesis was that depletion of Tregs normally induced by temsirolimus will enhance antitumor immunity. We selected CD4 depletion as a strategy for depleting Tregs because CD4 depletion is feasible in patients. However, we wanted to test whether the effect of CD4 depletion can be directly attributed to Tregs depletion. Therefore, we used DEREG transgenic mice, which carry a DTR-eGFP transgene under the control of Foxp3 promoter, allowing specific depletion of Tregs by administering diphtheria toxin (19). In an experiment analogous to one shown in Fig. 2, diphtheria toxin was administered on days 6 and 10, in place of αCD4 (Fig. 5A).
The immune system was stimulated with DC vaccine and specific immune memory was assessed on day 35 by in vivo CTL and IFN-γ staining. Diphtheria toxin administration removed nearly all CD4⁺ FoxP3⁺ lymphocytes (Fig. 5B). Specific killing and IFN-γ staining significantly increased in mice treated with diphtheria toxin and temsirolimus when compared with control groups (Fig. 5C and D). Therefore, removing Tregs had a similar immune effect to CD4 depletion.

To fully establish Treg depletion as the underlying mechanism for immune stimulation following CD4 depletion, Tregs were replaced after CD4 depletion (Fig. 6A). Mice treated with aCD4 and temsirolimus developed the best-specific immune memory as assessed by in vivo CTL (Fig. 6B). However, when Tregs from mice treated with diphtheria toxin vaccine were adoptively transferred, specific killing and CD8 lymphocyte IFN-γ response decreased to that of control mice that only received the DC vaccine (Fig. 6B and C). These experiments confirm that with aCD4 it is the Treg depletion that enhances specific immune memory formation.

**Following CD4 depletion, Treg population that eventually recovers is less immunosuppressive**

Following treatment with DC vaccine, aCD4, and temsirolimus, the Treg population eventually recovers (Fig. 7A). Between experimental groups, the differences in absolute number of Tregs in the spleen were not statistically significant. However, the treatments may have had a long-term effect on Treg function. Therefore, we assessed the immunosuppressive function of the recovered Tregs. CD4 lymphocytes were sorted based on CD25 status (Fig. 7B). The vast majority of the CD4⁺CD25⁺ cells were FoxP3 positive and were considered Tregs, and the vast majority of CD4⁺CD25⁻ cells were FoxP3 negative and were considered CD4 effector cells. In functional studies, control CD4⁺CD25⁺ cells suppressed the proliferation of CD8 lymphocytes. However, CD4⁺CD25⁻ that recovered after CD4 depletion were less immunosuppressive, possibly because they were less likely to be tumor-specific Tregs (Fig. 7D). Interestingly, following CD4 depletion, the recovered CD4 effector cells were also less effective as indicated by lower IFN-γ and IL-4 production (Fig. 7C). It is possible that both CD4 effector cells and Tregs were less likely to be tumor specific.

**Discussion**

Immunotherapeutic approaches have proven effective for the treatment of solid tumors. The FDA-approved sipuleucel-T, which became the first commercially available cancer vaccine for the treatment of a solid tumor (25). Ipilimumab, a monoclonal antibody targeting CTLA4, was more recently approved...
for the treatment of melanoma (26). Immune checkpoint inhibitors that target CTLA4 and PD-1 are being actively investigated in a large number of clinical trials for various malignancies. There have always been hints that immune-based therapies can even be curative in subsets of patients with metastatic disease (27), but recent advances in immunotherapy reaffirm that durable complete responses are possible (28). Therefore, immunotherapy is one of the most promising approaches to cancer therapy.

A hallmark of durable immune responses is the generation of immune memory. The mTOR pathway has emerged as a critical determinant of immune memory (1–3). We confirmed prior observations that pharmacologic mTOR inhibition with temsirolimus can enhance the efficacy of adoptive immunotherapy (Fig. 1). Temsirolimus enhanced the expression of CD8 lymphocyte markers associated with memory formation, both in vivo and in vitro. However, it also increased the proportion of T cells expressing FoxP3. This was an expected finding because the canonical mTOR inhibitor, rapamycin, is routinely used in the clinic as an immune suppressant, and its primarily mode of action is believed to be through enhanced Treg activity (29, 30).

We reasoned that a combination therapy that includes a strategy to control Tregs would further enhance antitumor immunity. We elected to use a depleting αCD4 antibody because of its potential for clinical translation. The combination of αCD4 and temsirolimus was highly effective in controlling established RENCA tumors even when a cancer-specific vaccine was not used (Fig. 2). Temsirolimus is known to directly inhibit the growth of RENCA cells (2); therefore, we assessed the immune contribution to the antitumor effect. By depleting CD8 cells, antitumor activity was shown to be dependent on CD8 cells (Fig. 3). Further confirmation of immune stimulation was provided by transferring CD8 lymphocytes from treated mice to naïve mice. In a very aggressive tumor model, the transferred lymphocytes were effective in controlling growth of metastatic deposits.

Temsirolimus enhanced CD8 memory formation. Therefore, we assessed memory formation with the combination of temsirolimus and αCD4 (Fig. 4). The combination therapy produced CD8 lymphocytes with the strongest memory phenotype, capable of rapidly expanding in response to a repeat antigen challenge. Although Tregs have been described as a barrier to formation of antitumor memory (31), other recent studies indicate that Tregs are required during immune priming to generate high-avidity primary responders and functional CD8 memory (23, 24). In our model, Tregs were present during immune priming because CD4 depletion was initiated at least 6 days after immune stimulation. CD4 depletion was also timed to allow CD4 effector cells to help prime CD8 cells and contribute to memory lymphocyte formation (Figs. 2–4). During immune priming, CD4 activity is dominated by helper function. Although it has been reported that CD4 cells are not required for priming, they are required for effective CD8 memory formation (32–34). CD8 memory cells formed in the absence of CD4 cells had an exhausted phenotype and increased PD-1 expression (32, 34). In our study,
the CD8 memory cells formed in the absence of CD4 were less capable of responding to a second challenge and had lower Eomes expression (Supplementary Fig. S4). Following immune priming, CD4 activity is dominated by regulatory function. Therefore, we and others have shown that CD4 depletion at this point leads to enhanced formation of both central and effector CD8 memory (35) and enhanced tumor control (35, 36).

Prior studies have assumed that the effects of CD4 depletion were because of Treg depletion. In this study, we use 2 separate experiments to empirically identify Treg depletion as the underlying mechanism: we selectively depleted FoxP3 expressing cells ("knock-down") or replaced FoxP3 expressing cells following CD4 depletion ("knock-in"; Figs. 5 and 6). These experiments clearly identify Treg depletion as the mechanism accounting for enhanced immunity following CD4 depletion. Interestingly, intratumor Treg depletion has been identified at the primary mode of action for ipilimumab (37), which has been proven effective in a phase III trial of melanoma (38). Therefore, an intriguing possibility is that CD4 depletion may produce a similar immune effect in patients as ipilimumab.

Our study provides a preclinical rationale for CD4 depletion in patients. Multiple clinical trials have already documented the safety of CD4 depletion in humans (14, 15, 39–44). Near complete CD4 depletion was achieved in several trials of chimeric αCD4 antibodies for refractory cutaneous T-cell lymphoma, and no serious infections or other dose-related toxicities were noted (14, 15, 44). A CD4-depleting chimeric monoclonal antibody was evaluated in 2 separate randomized, double-blind, placebo-controlled studies for rheumatoid

Figure 6. Adoptive transfer of FoxP3⁺ Tregs reduced CTL function in vivo in mice treated with the combination of CD4 depletion and temsirolimus. A, experimental scheme: B6 received tumor lysate-pulsed DC vaccine, and were treated intraperitoneally with αCD4 antibody on days 6 and 10, and daily temsirolimus on days 14 to 24 (n = 6 per group). Tregs, sorted from lymphocytes from GFP-FoxP3 mice that received tumor lysate-pulsed DC vaccine (pooled from 3 mice), were adoptively transferred on day 20 and in vivo CTL assay was performed on day 35. B, the in vivo CTL results were analyzed by flow cytometry using splenocytes harvested 14 hours following injection of target cells (n = 3–6 per group). Results are representative of duplicate experiments. C, on day 45, mice were restimulated with DC vaccine and splenocytes were stained for CD8 and IFN-γ expression. Histogram, mean ± SEM. *, P < 0.05; **, P < 0.01; ***, P < 0.005.
Although CD4 depletion did not have a therapeutic effect for rheumatoid arthritis, there were no significant adverse event, and no patient suffered from opportunistic infections. Even long-term CD4 depletion seems to be safe because some patients in one of the trials were treated with αCD4 for more than 9 months. After completing CD4-depleting treatment, patients have been followed for safety: 24 patients were followed for 30 months and no opportunistic infections or other significant adverse effects were seen. In addition, strong support for the safety of CD4 depletion comes from a clinical trial where CD4 depletion was added to a standard immunosuppressive regimen in heart transplant patients. These are patients where standard therapy already puts them at high risk for opportunistic infections. Patients in the CD4 depletion group actually had fewer episodes of infection than patients receiving standard immunosuppression.

Several studies have tried to explain why CD4 depletion had no therapeutic effect for autoimmune disorders. In multiple clinical trials, αCD4 preferentially depleted naive CD4 cells and tended to preserve memory CD4 cells. One study estimated that unprimed CD4 cells were 3 times more sensitive...
to depletion than primed CD4 cells (45). This feature is desirable for cancer immunotherapy. In addition, CD4 depletion decreased the number of IL-4–producing Th2 cells whereas the number of IFN-γ–producing Th1 cells remained stable, thus significantly increasing the Th1/Th2 ratio. In our animal model, we also noted that the percent of IL-2–producing Th1 cells increased following CD4 depletion because of larger drops in number of Th2 and Th17 cells (Supplementary Fig. S3). Therefore, the persistence of Th1 memory cells, along with CD8 memory cells, may explain the lack of benefit when treating chronic autoimmune disease. However, previously primed memory cells are beneficial for cancer immunotherapy where Th1 and CD8 memory cells contribute to antitumor immunity.

Another important observation in preclinical tumor models is that even partial CD4 depletion may be effective for enhancing the efficacy of cancer vaccines (47). Following CD4 depletion, CD4 counts and Tregs recover. However, the Tregs that recover were less immunosuppressive (Fig. 7). Antigen-specific Tregs have been reported to suppress antitumor immunity (48) and it is possible that the recovered Tregs may be less likely to be tumor specific.

The possibility of combining a CD4 antibody with mTOR inhibitors, which are already commercially available to treat cancers, is attractive. The tumor itself may provide sufficient antigen stimulation and additional adoptive immunotherapy may not be needed. The combination therapy seems to enhance immune memory and remove Tregs. A clear understanding of the mechanism-of-action of the proposed therapy helps bolster the rationale for further clinical investigation.

Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

Authors’ Contributions

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Development of methodology: Y. Wang, R. Figlin, H.L. Kim

Acquisition of data (provided animals, acquired and managed patients, provided facilities, etc.): Y. Wang, T. Sparwasser, R. Figlin

Analysis and interpretation of data (e.g., statistical analysis, biostatistics, computational analysis): Y. Wang, R. Figlin, H.L. Kim

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Administrative, technical, or material support (i.e., reporting or organizing data, constructing databases): Y. Wang, H.L. Kim

Study supervision: Y. Wang, H.L. Kim

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