Capillary-Wall Collagen as a Biophysical Marker of Nanotherapeutic Permeability into the Tumor Microenvironment

Kenji Yokoi, Milos Kojic, Miljan Milosevic, Tomonori Tanei, Mauro Ferrari, and Arturas Ziemys

Abstract

The capillary wall is the chief barrier to tissue entry of therapeutic nanoparticles, thereby dictating their efficacy. Collagen fibers are an important component of capillary walls, affecting leakiness in healthy or tumor vasculature. Using a computational model along with in vivo systems, we compared how collagen structure affects the diffusion flux of a 1-nm chemotherapeutic molecule [doxorubicin (DOX)] and an 80-nm chemotherapy-loaded pegylated liposome (DOX-PLD) in tumor vasculature. We found a direct correlation between the collagen content around a tumor vessel to the permeability of that vessel permeability to DOX-PLD, indicating that collagen content may offer a biophysical marker of extravasation potential of liposomal drug formulations. Our results also suggested that while pharmacokinetics determined the delivery of DOX and DOX-PLD to the same tumor phenotype, collagen content determined the extravasation potential of DOX-PLD to different tumor phenotypes. Transport physics may provide a deeper view into how nanotherapeutics cross biological barriers, possibly helping explain the balance between biological and physical aspects of drug delivery. Cancer Res; 74(16); 1–8. ©2014 AACR.

Introduction

Interest in biomedical nanoparticle and microparticle applications is growing, especially for imaging and drug delivery (1–3). Therapeutic particles bring several advantages to drug delivery, including the enhanced permeability and retention (EPR) effect, which is important for tumor treatment (4). It is assumed that EPR is related to the fact that particles of a certain size preferentially accumulate in the tumor environment (5). An increase in the number of particles within tumors allows the delivery of more therapeutic payload, for example, chemotherapeutic drugs. Drug carriers, like liposomes or other particulates, are distributed throughout tissues by convective transport within the vasculature tree. Outside of the vessel walls, concentration gradients frequently drive the diffusive transport of a therapeutic payload released passively into surrounding tissues, such as the tumor microenvironment (6). The importance of transport physics goes beyond drug delivery: The physical laws and principles that define the behavior of matter are essential for understanding the initiation and progression of cancer at all size scales (7). The complex nature of biology creates many transport barriers at different scales, demanding multiscale approaches to solve the riddles of oncophysical transport (8).

Capillary walls and the surrounding tissues form a dense and crowded medium, impede the diffusion of therapeutics, and are among the major physical barriers to drug delivery. Diffusion can be tissue specific, and as in the case of tumors, diffusion also depends on drug properties (9). Therefore, pharmacokinetic aspects—especially profiles of drug concentration in plasma—have direct relation to drug extravasation, because concentration in plasma controls drug gradients across vessel wall. Also, the endothelial cells that tile the vascular wall and separate the blood flow from the tissues contain transporter proteins that function as molecular pumps, fluxing out drug molecules (10, 11). However, endothelial cells may engulf and endocytose particles carrying a large amount of drug molecules inside (12), or they may even transcytose particulates, actively transporting them across the endothelium (13). Sometimes, capillaries develop fenestrations: openings through the capillary walls that lack endothelial cells and are covered by collagenous diaphragms (14). Studies show that the diaphragms have a sieving function, allowing the mass exchange of small molecules such as water or proteins (15, 16).

Drugs and particles that penetrate intact capillary walls or fenestrations encounter a basal membrane, where the major constituent is type IV collagen (17). Physical aspects of transport have an important place in the oncological context, including the role of collagen in the transport of therapeutics.
(18). The ability of collagen to modulate vessel permeability, and drug permeability within tumors in general, was noticed previously (19–21) and even related to serum biomarkers (22). Different theoretical analyses and computational techniques were applied to model diffusion across capillary walls (23–25). How the particle size and collagen properties may modulate diffusion flux has not been explored.

Here, by combining a diffusion model and in vivo studies, we analyzed the impact of the structure of the collagen sleeve on the diffusive mass transport of the small molecule doxorubicin (DOX) and the 80-nm pegylated liposome (PLD), which together represent Doxil loaded with DOX inside.

Materials and Methods

Cells

The 4T1, murine breast cancer, and 3LL, murine lung cancer, cells were kindly provided by Dr. I.J. Fidler (University of Texas MD Anderson Cancer Center, Houston, TX). The cells were maintained in minimal essential medium supplemented with 10% fetal bovine serum and supplements, as described previously (26).

Mice

Female Balb/c and C57/Bl6 mice were maintained in animal facilities at Houston Methodist Research Institute approved by the American Association for Accreditation of Laboratory Animal Care and in accordance with current regulations and standards of the United States Department of Agriculture, Department of Health and Human Services, and National Institutes of Health. Houston, TX.

Tracers

The area of tumor tissue perfused by blood was evaluated by imaging of a lysine-fixable 70-kDa fluorescein dextran tracer (Molecular Probes, Inc.) 1 hour after intravenous injection.

Establishment of experimental tumors

To produce tumors, 4T1 and 3LL cells were harvested from subconfluent cultures. A tumor cell suspension (1 × 106 cells/100 µL) was injected subcutaneously into Balb/c or C57/Bl6 mice, respectively.

Therapy and necropsy

Starting 10 days after the inoculation with the tumor cells, the mice were intravenously injected with 6 mg/kg of PLD (Doxoves-Liposomal Doxorubicin HCl, FormuMax Scientific Inc.) and the mice were sacrificed 24 hours after the injection. Then, the tumors were excised, frozen, and stocked to evaluate endothelial cells, basement membranes, and the accumulation of PLD in the tumors.

Immunofluorescent staining of endothelial cells (CD31), basement membranes (type IV collagen), proliferating cells (Ki67), and imaging of PLD in the tumors

The frozen sections were fixed in cold acetone for 15 minutes. After a protein block, immunofluorescent staining of the endothelial cells or basement membrane was performed using antibodies to CD31 (BD Biosciences), type IV collagen (Abcam), or Ki67 (Abcam), respectively (26). The sections were then incubated with the corresponding fluorochrome-labeled secondary antibodies (Jackson Immunoresearch). The images were captured using a confocal laser-scanning microscope (Carl Zeiss Microlmaging Inc.) and analyzed using the built-in image-analysis software (26). The ruby-red fluorescence of anthracyclines in the tumor tissue was also imaged using confocal microscopy at the excitation and emission wavelengths of 488 and 590 nm, respectively (27, 28). The imaged fluorescence of DOX originates mostly from free DOX, because the solid state of DOX inside the PLD strongly quenches the fluorescence of liposomal DOX (29).

Imaging analysis

The Imagej program was used to analyze the tissue samples (30), using the adequate RGB channels as 8-bit images, which were treated with background subtraction and automatic thresholding using the triangle method. The results presented in this article were calculated from five replicate measurements. The whole images were used in the analysis. The numbers of collagen and vessel entities after automatic triangle thresholding were calculated using a standard particle analysis procedure. To avoid misinterpreting monocytes as vessels in the CD31 staining, the minimal area was set to 250 µm2. The colocalization between collagen and vessels was calculated by using Imagej/Fiji program (coloc2 plug-in; ref. 31), where M1 coefficient denotes the overlap of vessels by collagen and M2—the overlap of collagen by vessels. The extravasation of the dextran tracer and DOX was calculated as a function of the distance in terms of pixels (after automatic thresholding). In the case of dextran, the distance was calculated to the nearest vessel, whereas in the case of DOX, the distance was calculated to the centers of red-cell nuclei. The count of cells (DOX-affected and proliferating cells stained with Ki67) and their statistics were calculated by using stained nuclei centers.

Transport model

We applied a recently developed, hierarchical, multiscale diffusion modeling technique (32–35), which has an advantage over other approaches because it accounts for the material microstructure and the physico-chemical characteristics of the diffusing molecules, including the size of the molecules or particles. See Supplementary Material for details, and Supplementary Figs. S1 to S5 and Table S1.

Results

To examine the role of collagen in vascular permeability, we used a mouse model to analyze the type IV collagen content and its colocalization with blood vessels and the effects of collagen on extravasation and tumor-cell proliferation. The in vivo study was followed by a computational analysis of the permeability of the collagen network to DOX and PLD particles, to interpret the role of collagen in modulating transport between the vessel and tumor microenvironments.
Collagen content and localization

The content of type IV collagen (hereafter referred to as collagen) in 3LL and 4T1 tumors was quantified in vivo. The representative images of stained collagen show different structures, with 3LL tumors possessing brighter collagen nodules as compared with 4T1 tumors (Fig. 1A and B) and indicating larger collagen content. The majority of the collagen nodules visually overlapped with the red-stained capillaries in 3LL tumors, but not in 4T1 tumors (Fig. 1C and D). Using imaging analysis, we outlined each vessel and zone of high collagen content in the 3LL and 4T1 tumors, revealing that the stained vessels (red) and collagen (green) overlapped substantially in the 3LL tumors (yellow zones; Fig. 1E and F). In contrast to the 3LL tumors, the 4T1 tumors did not show the same overlap. The main reason for the different results could be the automatic segmentation analysis of the tissue images. The lack of intensity of the stained collagen did not allow collagen segments to be identified.

The 4T1 tumors showed more vessels and less vessel wall collagen content than the 3LL tumors (Fig. 1G), which may explain the large amount of vessel and collagen colocalization observed in the images of the 3LL tumors but not in those of the 4T1 tumors (Fig. 1H). Therefore, the vessels of the 4T1 tumors lacked collagen compared with the 3LL tumors.

Extravasation in the microenvironment

FITC-tagged dextran was used as a tracer to evaluate the extravasation of small molecules into the tumor microenvironment. Our imaging data showed that the tracer extravasated in both tumor types (Fig. 2). The extravasation of tracer in the 3LL tumors was localized around vessels and showed a decaying intensity with increasing distance from the vessels (Fig. 2A); whereas in the 4T1 tumors, the extravasation was more scattered (Fig. 2B). Interestingly, many of the vessels in the 3LL tumors did not display visual extravasations of the tracer, meaning that the leakiness of the 3LL vasculature was heterogeneous, a characteristic feature of tumor vasculature (36). The normalized tracer extravasation from the vessel wall was calculated from the imaging data (Fig. 2C). Within 1 hour and within the detection limit, the tracer extravasated up to 40 and 60 μm from the vessel in the 3LL and 4T1 tumors, respectively. Based on the half-width of the stained areas (Fig. 2C), the characteristic distance of extravasation was approximately 20 and 30 μm for the 3LL and 4T1 tumors, respectively.

Although the 3LL and 4T1 tumors showed different, but comparable, extravasation patterns of dextran tracers, the extravasation of PLD was very different. The 3LL tumors did not show any substantial concentration field around the vessels (Fig. 2D), whereas the 4T1 tumors showed a very distinct concentration of red fluorescence (DOX) around the vessels (Fig. 2E). The density of DOX-containing nuclei was quantified and showed that the 4T1 tumors had substantially more DOX-stained nuclei around the vessels (Fig. 2F). Taking into account that only free DOX can be imaged (29), we can conclude that our results indicate free DOX delivered and released from PLD.

Cell proliferation

Proliferating cell nuclei were imaged and quantified by immunofluorescence staining of the tumor sections with Ki67 antibody to estimate the effects of DOX extravasation and collagen content around the vessels. Figure 3A shows proliferating 3LL cells, which are positively stained with Ki67 antibody (purple) with minor red nuclei containing DOX. Proliferation was dominant throughout all the imaged regions.
The 4T1 tumors showed zones of proliferation that do not contain DOX (red nuclei; Fig. 3B). The average number of proliferating cells decreased substantially in the 4T1 tumors compared with controls without treatment; but in the 3LL tumors, the average number of proliferating cells actually increased slightly compared with the controls (Fig. 3C). Also, the correlation analysis shows a decreased proliferating cell density around vessels in the 4T1 tumors (Fig. 3D).

Calculated permeability to PLD and DOX

To investigate how the collagen sleeve alone may modulate mass transport through the capillary wall, we developed a collagen-sleeve model that mimics the collagen surrounding capillaries (17, 37–39). We studied the diffusion transport of PLD and DOX, which differ in size and physico-chemical properties, in response to different structures of collagen-fiber mesh.

Different collagen sleeve thicknesses were modeled by changing the number of collagen-fiber layers (from 1 to 6; each layer was 10-nm thick; ref. 37), covering the physiological thickness of collagen in a capillary wall (39). Increasing the number of fiber layers reduced the PLD flux much faster than the DOX flux (Fig. 4A). Therefore, PLD met stronger resistance than DOX diffusing through the fiber mesh, because of the difference in particle size (PLD and DOX have sizes of 80 and 1 nm, respectively). Furthermore, the influence of the collagen fiber density was calculated for a 3-layer sleeve with mesh openings ranging from 50 to 800 nm in size (Fig. 4B). Transport of DOX molecules was not affected substantially by increasing the collagen-fiber density, mostly because the DOX molecular size is substantially smaller than any opening in the mesh, and consequently there was a lack of resistance from the collagen sleeve. However, the calculated PLD flux was sharply decreased by shrinking the mesh opening below 200 nm, and the flux was diminished below an opening size of 100 nm—as the size of the openings approached the size of PLD. The ratios of the PLD particle size to the mesh opening size were 1:2.5, 1.25, and 0.62 for mesh sizes of 200, 100, and 50 nm, respectively.

Each PLD contains a large amount of DOX, similar to Doxil. Based on our volumetric estimates, a Doxil liposome could contain DOX in a ratio of approximately 1:4,000 or more, which is the same order of magnitude as the 1:10,000 ratio reported previously (40). Using different DOX/PLD ratios, we calculated the DOX flux for different mesh sizes and compared the results to those calculated for free DOX flux. Figure 5 shows that for a very tight collagen mesh with a 50 to 100 nm openings, the packing of DOX did not affect the DOX flux, so free DOX would be more efficient. However, the DOX packing inside liposomes became advantageous for a collagen mesh with an opening of 200 nm or larger. The strongest effect was found for the

Figure 3.

Cell proliferation in the 3LL and 4T1 tumors was a function of DOX extravasation. The 3LL sample (A) shows that proliferating cells (purple) dominate the imaging area, while proliferation is absent where DOX extravasated (red) in the 4T1 tumor (B). The count of proliferating cells in the 3LL and 4T1 tumors (C) and the proliferating cell density around the vessels as a function of distance (D).
liposomes with the highest DOX packing density, suggesting that it could potentially determine the therapeutic properties of such particles.

We created a diffusion model containing a source (capillary), vessel wall (represented by the collagen sleeve), and a cellular microenvironment surrounding the capillary. But it is important to note that this model is focused to the collagen role and does not seek to explain the actual extravasation in the presence of endothelium or other biological factors. Figure 6A shows a DOX concentration field developed around a capillary in a 4T1 tumor within 24 hours after treatment with PLD, where DOX intensity decreases with increasing distance from a vessel (Supplementary Fig. S5). In view of collagen as a physical barrier in drug transport, the models suggest that DOX can penetrate the collagen sleeve, or any other dense environment, more efficiently than PLD. Therefore, we calculated PLD and DOX extravasation in a phenomenological fashion using their concentration profiles in plasma, similar to those found in a other study (41), where the same DOX concentration was injected in two different formulations (pure DOX and PLD), and found that concentration of DOX released from PLD was higher than that of free DOX over 24 hours (Fig. 6B).
concentrations of both PLD and DOX inside the tumor microenvironment increased and then diminished over 24 hours in our models (Fig. 6C and D). The concentration of the larger and more slowly diffusing PLD particle changed slower and persisted longer in the microenvironment, whereas the concentration gradient of DOX developed quickly and was rapidly cleared. The overall concentration behavior was very similar to that in a previous study (42), comparing the DOX concentrations after treating a tumor with pure DOX and liposomal DOX. The concentration of the pure DOX in the tumor was much lower than that of the liposomal formulation. The longer persistence of PLD in the plasma resulted in a more sustained DOX concentration in tumor microenvironments that can synergize with DOX loading in PLD particles and is reflected in the calculated average concentration of DOX (Fig. 6E).

Discussion

We have attempted to establish a physical linkage between vessel wall collagen and drug extravasation. To understand some fundamental properties of collagen type IV in basement membrane, we have developed computational models of collagen sleeve that surround capillaries in tumor microenvironment. The models did not use any parameters fitting, and rely merely on laws of physical and chemistry, and known physiological properties, such as the thickness of collagen fibers, the thickness of collagen sleeve around capillaries, dimensions of therapeutic substances, plasma concentration profiles of PLD or DOX, and their diffusivities. The results from collagen models revealed that a collagen sleeve alone does not function as a substantial barrier to DOX, which also could be extrapolated to other small molecules. However, vessel wall collagen may be a barrier to PLD carrying DOX, especially if PLD has to extravasate through dense and thick collagen layers. Those characteristics are crucial in further discussion of our results.

Our quantified in vivo results indicated that 3LL and 4T1 tumors are different in the contents of collagen type IV, which is the major constituent is type IV collagen (17). Moreover, the colocalization of collagen and capillaries was observed by finding yellow zones (Fig. 1), which indicates the colocalization of red and green fluorescence (43, 44). McDonald and colleagues showed similar colocalization of vessels and collagen (44). Their study found that tumor vessels—in contrast to healthy vessels—had separated layers of collagen, endothelial cells, and pericytes. The results of the same study suggested that collagen content might be different in different vascular segments, leading to differences in how tightly the collagen in basement membrane and endothelial cells adhere to one another. Therefore, the imaging results illustrated in Fig. 1 could indicate different phenotypic states of vessels and associated collagen in the 3LL and 4T1 tumors. In our experiment, no noticeable PLD extravasation was observed in healthy organs (Supplementary Fig. S6). This finding supports lower systemic toxicity for liposomal formulation of DOX (40) and suggests that collagen type IV could be very dynamic property of tumor microenvironment and deserves deeper research spanning beyond the scope of this study.

In view of collagen transport properties obtained from physical models and collagen content from in vivo studies, the logical correlates were found between collagen content in basement membranes of capillaries and drug extravasations. The extravasation of the tracer in the 3LL and 4T1 tumors correlated with the respective collagen contents, suggesting that vascular collagen could modulate the transport of small molecules to limited amount. However, the DOX extravasation using PLD had a strong negative correlation with the collagen content in the 3LL and 4T1 tumors. The extravasation occurred from vessels that were not tightly covered by collagen type IV (Supplementary Fig. S7). Other studies have shown that the extravasation of liposomes is limited and heterogeneous, forming perivascular clusters that do not move significantly and could be observed for up to 1 week (45). Collagen, as the major component of the extracellular matrix, substantially limits the diffusion of PLD because of the liposome size (18). Moreover, tumor cells can have a very limited role in internalizing PLD (46). Altogether, PLD displays impeded extravasation into the tumor microenvironment beyond the premises of vessels, as calculated by our models and supported by our and other experimental results. As a consequence of an absence of thick collagen bundles around the vessels, the decrease in the proliferation of the 4T1 cells may be related to the large amount of extravasated DOX loaded inside PLD. In the case of the 3LL tumors, the presence of a thick collagen coating around the vessels was correlated with extremely limited or absent extravasation of DOX loaded inside PLD, which did not affect cell proliferation.

The collagen type IV content around vessels by itself could potentially be a biophysical marker predicting drug extravasation and therapeutic efficacy. Because passive transport, that is, diffusion, obeys the laws of physics — whether in vitro or within biological tissues—the models help to decouple the roles of physical and biologic transport mechanisms and are supported by in vivo results. The use of PLD prolonged the circulation time of DOX, facilitated DOX extravasation, and decreased the tumor-cell proliferation rate in the 4T1 tumors. Despite the 3LL tumors have more collagen, the tracer permeability was similar in the 3LL and 4T1 tumors (although slightly more extravasation of the tracer was found in the 4T1 tumors). This is in agreement with the model results and suggests that collagen may have a small effect on the extravasation of small molecules, because small molecules should diffuse relatively easily through capillary collagen.

Therefore, differences in DOX extravasation might be related to endothelium as a barrier to PLD before even reaching collagen itself. The role of collagen in angiogenesis has been shown to the degree that the vessel collagen sleeve remains after antivascular therapy and may serve as a scaffold to revascularize tissues (47). Moreover, the disruption of contact between endothelial cells and the vessel basement membrane can lead to endothelial apoptosis (44). On the other hand, fenestrations are structures of the basement membrane, where collagen plays a major role too. It is widely accepted that fenestrations may help to deliver therapeutic payloads (48–50). Furthermore, the plasma concentration kinetics may play an important role, favoring long-circulating PLD, as in the example presented in Fig. 6. The results show that even at small
1:1000 DOX packing inside PLD, the calculated area under the curve (AUC) is larger than using free DOX. The drug packing in PLD revealed strong effects to drug delivery in our transport models, even if PLD extravasation is limited comparing to free drug. The PLD concentration in plasma may be lower by several orders of magnitude than that of the free therapeutic molecule, but still that can possess a similar or higher therapeutic efficacy with respect to the free molecule formulation, because each liposome may carry many molecules of payload. However, according to our models, the advantages of PLD will diminish and smaller molecules would penetrate the collagen sleeve more efficiently, if collagen mesh size gets comparable to the size of PLD or gets smaller.

The correlation between the collagen content around tumor vessels and the permeability of a liposomal formulation of DOX was found, indicating that collagen content could be a biophysical marker useful to predict drug extravasation potential. Experiments and model synergistically show that collagen sleeve may modulate vessel permeability to therapeutic particles by size exclusion. Our results show differences in DOX extravasation among tumor phenotypes when PLD formulation is used. This can be explained by differences in capillary collagen content. Larger amount of collagen associated with vessels correlates with poorer DOX extravasation into tumor microenvironment. The differences in pharmacokinetics of DOX and PLD suggest the benefits of using PLD in the presence of collagen as a barrier for drug delivery.

To the best of our knowledge, biophysical markers that utilize the EPR effect and assist in the prediction of the therapeutic efficacy have not been reported to date. The analysis of nanotherapeutics transport from systemic circulation to tumor microenvironment provides additional framework to improve cancer therapy. The differences in the collagen content and structure—as the marker of therapeutics extravasation—could be taken into account for planning the therapeutic strategy for patients. However, further studies focused on evaluating the potential of biophysical markers are needed to help in person-alizing nanotherapeutic for cancer therapy.

Disclosure of Potential Conflicts of Interest
No potential conflicts of interest were disclosed.

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