

# Cooperativity of *Staphylococcal aureus* Enterotoxin B Superantigen, Major Histocompatibility Complex Class II, and CD80 for Immunotherapy of Advanced Spontaneous Metastases in a Clinically Relevant Postoperative Mouse Breast Cancer Model<sup>1</sup>

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## ABSTRACT

One of the leading causes of death for women is metastatic breast cancer. Because most animal tumors do not accurately model clinical metastatic disease, the development of effective therapies has progressed slowly. In this study, we establish the poorly immunogenic mouse 4T1 mammary carcinoma as a postsurgical animal model. 4T1 growth characteristics parallel highly invasive human metastatic mammary carcinoma and, at the time of surgery, the extent of disease is comparable with human stage IV breast cancer. Progress in understanding the immune response has led to innovative immune-based anticancer therapies. Here, we test in this postsurgical model, a novel cell-based vaccine, combining MHC class II, CD80 (B7.1), and SEB superantigen. Effective treatment of tumor-bearing mice with this immunotherapy requires expression of all three molecules. Mean survival time is extended from 5–7.5 weeks for control-treated mice to 6–10.5 weeks for therapy-treated mice. Increased survival is accompanied by a maximum of 100-fold decrease in clonogenic lung metastases. These therapeutic effects are particularly noteworthy because: (a) the postoperative model demonstrates that early metastases responsible for morbidity are established by 2 weeks after tumor inoculation with  $7 \times 10^3$  parental 4T1 cells into the mammary gland; (b) the immunotherapy is started 4 weeks after tumor inoculation when the mice contain extensive, pre-established, disseminated metastases; and (c) CD4<sup>+</sup> and CD8<sup>+</sup> T cells are required for the effect.

## INTRODUCTION

As a result of recent discoveries and advances in immunology and molecular cloning, many novel immunotherapeutic strategies for the treatment of cancer are being developed (1, 2). Most of these strategies are focused on eliminating primary tumors, which are frequently successfully treated by conventional methods, such as surgery. In contrast, few immunotherapeutic approaches are targeting disseminated metastatic disease, for which conventional therapies frequently have limited success. Development of therapies for the treatment of metastatic disease is complicated by the shortage of animal models for spontaneously metastatic cancers. We recently described a novel cell-based vaccine for the therapy of metastatic disease and tested it using the mouse 4T1 mammary carcinoma model. The 4T1 tumor shares many characteristics with its human counterpart (3), making it an excellent animal model.

In most clinical situations, primary mammary tumors are cured by surgery, yet approximately 33% of women successfully treated for primary tumors die subsequently from spontaneous metastatic disease

(4). To further refine the 4T1 system and to more closely parallel clinical disease, we have now developed a postsurgical model of the 4T1 mammary tumor. In this model, mice receive inoculations s.c. in the abdominal mammary gland and the primary tumor is allowed to grow progressively, become extensively vascularized, and metastasize. The primary tumor is then surgically resected, and therapy with the cell-based vaccines is initiated.

The cell-based vaccines consist of tumor cells transfected with syngeneic MHC class II (I-A<sup>d</sup>) and CD80 (B7.1) costimulatory molecule genes and were designed to enhance activation of tumor-specific CD4<sup>+</sup> T lymphocytes via improved presentation of tumor-encoded class II-restricted epitopes. Although CD8<sup>+</sup> T lymphocytes have been traditionally the focus of immunotherapy approaches, accumulating results have demonstrated that CD4<sup>+</sup> T lymphocytes also play a critical role in effective antitumor immunity (5–9). Whereas our previous vaccines showed significant reduction of established, spontaneous metastatic tumor, the antitumor response was limited to small burdens of metastatic cells and did not completely eliminate metastases (3). In addition, we did not assess the effects of immunotherapy on survival. Furthermore, the vaccine was tested in mice with metastatic disease and carrying intact primary tumor so the model did not mimic the clinical situation in which primary tumor would have been surgically removed before initiation of immunotherapy. We now report a second-generation cell-based vaccine that is significantly more effective than the original vaccine for the treatment of spontaneous 4T1 metastatic mammary cancer and that is tested in a postsurgical model. The new vaccine incorporates a gene encoding the bacterial toxin SEB<sup>3</sup>. SEB is a sAg that when complexed with MHC class II molecules on APCs is a potent polyclonal activator of CD4<sup>+</sup> T lymphocytes (10, 11). Although CD4<sup>+</sup> T-cell activation by SEB is not antigen specific, we reasoned that the addition of SEB to the MHC class II/CD80 vaccine will provide additional activation signals to the CD4<sup>+</sup> T cells that have been activated in an antigen-specific fashion by the MHC class II<sup>+</sup> CD80<sup>+</sup> vaccinating cells.

## MATERIALS AND METHODS

**cDNA Expression Vectors.** The expression vectors pH $\beta$ -Apr-1-neo containing MHC class II (I-A $\alpha^d$ , I-A $\beta^d$ ) and mouse B7.1 have been described previously (3). The SEB gene (12) was subcloned into the *SalI/BamHI* site of the pH $\beta$ -Apr-1-neo expression vector. The final construct, pH $\beta$ -SEB-neo, contains the amino acid sequence for the mature SEB protein minus the signal peptide and confers resistance to G-418. The pZeoSV2 plasmid was purchased from Invitrogen (San Diego, CA).

**Animals, Cell Lines, and Transfectants.** Female BALB/c and BALB/c *nu/nu* mice were obtained from The Jackson Laboratory (Bar Harbor, ME) and/or bred in the University of Maryland Baltimore County animal facility and used at 8 weeks of age. 4T1, a 6-thioguanine-resistant cell line derived from a BALB/c spontaneous mammary carcinoma (13), was kindly supplied

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<sup>3</sup> The abbreviations used are: SEB, *Staphylococcal aureus* enterotoxin B; sAg, superantigen; APC, antigen-presenting cell; TD, mean tumor diameter.

by Dr. Fred R. Miller (Michigan Cancer Foundation, Detroit, MI) and grown in culture as described previously (3). Transfectants were made to express MHC class II and CD80, or SEB by using lipofectin (Life Technologies, Inc.) according to manufacturer's instructions. Cells were selected with 400  $\mu\text{g/ml}$  G-418 (Life Technologies, Inc.) or 200  $\mu\text{g/ml}$  zeocin (Invitrogen), cloned by limiting dilution, stained for surface antigen expression, and analyzed by flow cytometry, as described previously (3).

**SEB Assay.** Naive BALB/c spleen cells ( $5\text{--}10 \times 10^5$ ) were cultured in serial dilutions of transfectants' supernatants or purified SEB (Sigma Chemical Co., St. Louis, MO), as indicated. To demonstrate specific SEB activity, a polyclonal rabbit antibody against SEB (Sigma Chemical Co.) was added to cultures, as indicated. After 3 days in culture, spleen cell proliferation was measured using 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide reagent, as described previously (14).

**Surgery.** All surgical supplies and surgical equipment were purchased from Henry Schein Veterinary & Medical Supply Catalogue (Melville, NY) and Roboz (Rockville, MD), respectively, unless indicated otherwise. Before surgery, animals were weighed and anesthetized with i.p. injections (0.02 ml/g body weight) of 2.5% recrystallized avertin (2,2,2-tribromomethanol; Sigma Chemical Co.). Once the animals were unconscious, the tumor-bearing abdominal area was prepared and sterilized for surgery by shaving with Oster Finisher Trimmer, followed by 2 washes each with diluted Nolvasan surgical scrub (chlorhexidine) and isopropanol. Tumors were resected with sterilized surgical instruments, removing the smallest amount of skin tissue as possible. Wounds were closed with either Nexaband liquid or stainless steel 9-mm wound clamps with a Mikon autoclamp applier, as necessary. Wound clamps were removed 10 days after surgery with a Mikon autoclamp remover. Mice were monitored for survival, and those that died from surgery (within 1–4 days after surgery, survival rate of 67–80%) were not included in the experiment. All mice were autopsied at the time of death to confirm the presence of lung metastases as well as recurrence of the primary tumor.

**Tumor Challenges, Metastases Assays, and *in Vivo* Depletions.** Mice were challenged s.c. in the abdominal mammary gland with ( $7 \times 10^3/50 \mu\text{l}$ ) parental 4T1 tumor cells. Primary tumor growth and spontaneous metastases were measured as described previously (3). Depletions of CD4<sup>+</sup> and CD8<sup>+</sup> T cells were performed as described previously (15). Splenocytes of all depleted mice were checked by immunofluorescence for depletion at the conclusion of the experiment. Mice depleted for CD4<sup>+</sup> or CD8<sup>+</sup> T cells had <4% or 7% of CD4<sup>+</sup> T cells or CD8<sup>+</sup> T cells, respectively.

**Statistical Analyses.** To determine the statistical significance of the data, the Tukey's Honestly Significant Difference Test was performed at a *P* set at 0.05. The Tukey's test is a multicomparison test that determines the statistical significance of data sets of size 3 or greater and allows for unequal sample size (*n*) and sample variances (16). To determine the statistical significance of the effects of immunotherapy on primary tumor growth, the Student's *t* test for unequal variances (Microsoft Excel, version 5.0) was performed.

## RESULTS

**Tumor Lethality Is Due to Early Metastases.** Our previous studies have demonstrated that the BALB/c-derived 4T1 mammary carcinoma is a poorly immunogenic and highly malignant tumor that rapidly and spontaneously metastasizes throughout the body in a pattern similar to human breast cancer (3). For example, primary 4T1 tumors that have been established for 2–3 weeks in BALB/c mice typically metastasize to the lymph nodes, lungs, and livers in 86%, 79%, and 20% of mice, respectively, and the numbers of micrometastatic cells found in these organs range between 2–57, 1–338, and 0–1, respectively. In addition, as the primary tumors age (*i.e.*, by 4–5 weeks), the incidence of metastases in the lungs, livers, and now brains increases to 91%, 82%, and 36% of mice, respectively, and the range of metastatic cells for these organs is between 6–250,000, 7–7800, and 1–116, respectively (3).

As shown in Fig. 1, 4T1 is also similar to human mammary carcinoma in that morbidity is due to outgrowth of spontaneous micrometastatic tumor cells that migrate to distant organs relatively early (week 2) during primary tumor growth. Groups of female

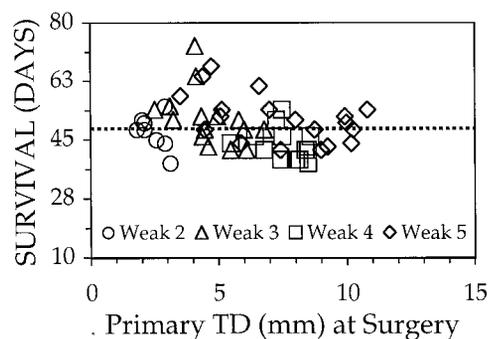


Fig. 1. Early spontaneous metastases are responsible for mortality. Female BALB/c mice were challenged s.c. in the abdominal mammary gland with  $7 \times 10^3$  live wild-type 4T1 cells. Primary TDs were measured, and tumors were surgically resected at varying times after inoculation (weeks 2–5). Each point represents the survival time in days after primary tumor challenge for an individual mouse.

BALB/c mice were challenged s.c. in the abdominal mammary gland with  $7 \times 10^3$  wild-type 4T1 tumor cells. Starting at 2 weeks after challenge and continuing at 1-week intervals, TDs were measured, primary tumors were surgically removed, and mice were followed for survival times. Because we were interested in knowing whether primary tumor size affected survival time, the data have been plotted as tumor size (mm) at the time of surgery *versus* the number of days the mice survived after 4T1 tumor challenge. As shown in Fig. 1, the average survival time of 55 of 58 mice was  $48.9 (\pm 7.4)$  days, whereas the remaining 3 mice, whose tumors were <3 mm in diameter at the time of surgery, lived >90 days and did not die of metastatic cancer. Surprisingly, all mice that died from spontaneous metastatic disease showed approximately the same mean survival time regardless of the size of the primary tumor at the time of surgery. These results demonstrate that lethal metastasis is established as early as 2 weeks after inoculation of primary tumor, that the mean survival time is 7 weeks, and that surgical removal of primary tumor does not change these kinetics.

The surgical experiments of Fig. 1, combined with our previous studies (3), demonstrate that the 4T1 system is comparable with human stage IV breast cancer. Human breast cancer at stage IV is characterized by several diagnostic factors: (a) the presence of edema and ulcerations of the skin in and around the tumor burden; (b) extension of the primary tumor to the chest cavity lining; (c) presence of metastatic cells in the draining lymph nodes; and (d) presence of metastases in distant organs (4). This postsurgical 4T1 system exhibits all of these characteristics. Metastases are present in the draining lymph nodes and distant organs as early as week 2 and progress into more advanced metastatic disease with time (3). All of the resected 4T1 tumors, regardless of their size at the time of surgery, were highly vascularized. The primary tumors displayed edema when there was a TD  $\geq 4$  mm and ulcerations of the skin in approximately 70% of tumors regardless of size (data not shown). Most tumors extended to the lining of the peritoneal cavity, whereas invasion through the peritoneal lining was less frequent (<5%) and only occurred when primary TD was large (5–6 mm; data not shown). Therefore, at the time of surgery, the mouse 4T1 tumors are comparable with stage IV human breast cancer and are a much more rigorous animal model for the development of effective therapies than other experimental systems reported in the literature.

**Postoperative Treatment of Mice with Transfectants Expressing MHC Class II, CD80, and SEB Increases Survival.** Previously, we have shown that therapy with transfectants expressing MHC class II or CD80 reduced metastatic disease in a model where the primary 4T1 tumor remained *in situ* and had been established for 9–14 days.

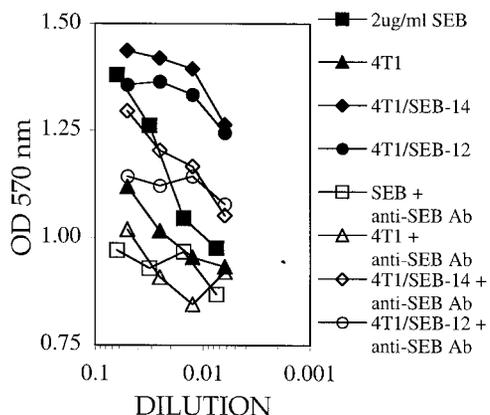


Fig. 2. Supernatants from 4T1/SEB transfectants stimulate proliferation of spleen cells. Naïve BALB/c splenocytes were cocultured with soluble SEB starting at a concentration of 2  $\mu\text{g/ml}$  (■) or supernatants from parental 4T1 (▲), 4T1/SEB-12 (●), or 4T1/SEB-14 (◆) transfectants in the presence (open symbols) or absence (filled symbols) of antibody specific for SEB.

The success of this treatment, however, was limited to small tumor burdens and did not completely eliminate spontaneous metastases (3). A potential problem with this earlier therapy is that the transfectants did not coexpress MHC class II and CD80, and previous data using a mouse sarcoma showed that coexpression of these molecules is synergistic (15). We have, therefore, generated 4T1 transfectants that coexpress MHC class II and CD80 as detected by indirect immunofluorescence staining (data not shown) to test this hypothesis. To further increase the potency of the vaccine, we have combined the MHC class II<sup>+</sup>/CD80<sup>+</sup> double transfectants (4T1/A<sup>d</sup>/B7.1) with SEB<sup>+</sup> transfectants (4T1/SEB), reasoning that SEB may provide additional proliferation signals to the tumor-specific T cells activated via the MHC class II/CD80 interaction.

SEB expression was tested by coculturing supernatants of transfectants with naïve BALB/c spleen cells and monitoring lymphocyte proliferation. To determine a relative amount of SEB secretion, splenocytes were also cultured with soluble SEB. As shown in Fig. 2, supernatants from two independent clones (4T1/SEB-12 and 4T1/SEB-14) stimulated splenocyte proliferation as efficiently as soluble SEB at a concentration of 2  $\mu\text{g/ml}$ . This activity was reduced on the addition of a polyclonal anti-SEB antibody, demonstrating that the spleen cell proliferation was due to SEB expression by the 4T1 transfectants. Supernatants from parental 4T1 cells as well as supernatants from 4T1 cells transfected with empty vector (4T1/neo) did not induce proliferative responses (Fig. 2 and data not shown). Therefore, the 4T1/SEB transfectants secrete SEB, which induces splenocyte proliferation comparable with proliferation induced by soluble exogenously added SEB.

Vaccines such as the 4T1 transfectants are likely to be most useful for the treatment of disseminated spontaneous metastatic disease because primary tumors usually can be eliminated by surgery. Therefore, we have tested the combination vaccine in mice with established, disseminated spontaneous metastases following surgical removal of the primary tumor. Female BALB/c mice were challenged s.c. in the abdominal mammary gland with  $7 \times 10^3$  wild-type 4T1 tumor cells. The tumors were allowed to grow and metastasize for 3 weeks, at which time the primary tumor burden was measured and surgically resected. The experiments in Fig. 1 and our previously published results (3) established that at this stage and size of primary tumor the mice have very extensive disseminated metastases. At the time of surgery (3 weeks after primary tumor challenge), the primary TD in each treatment group ranged between 3.5 mm and 5.7 mm. The extent of spontaneous metastatic cancer at this time is significantly more

advanced than that tested in other immunotherapy experiments. Therapeutic injections of irradiated vaccine cells ( $1 \times 10^6$  total) were started 1 week after surgery (*i.e.*, 4 weeks after initial tumor challenge) and were administered every 3–5 days for the duration of the experiment. Because we are interested in determining whether primary tumor size affects vaccine efficacy, the results in Fig. 3 are plotted as the survival time *versus* the size of the primary tumor at the time of surgery. A line denoting the average survival time of the 4T1-treated control group (45 days) is included to demonstrate the effects of the vaccine on survival. The survival time in days for 4T1-treated (Fig. 3A), 4T1/SEB-treated (Fig. 3B), 4T1/A<sup>d</sup>/B7.1-treated (Fig. 3C), and 4T1/SEB+4T1/A<sup>d</sup>/B7.1-treated (Fig. 3D) animals was 35–52, 40–59, 47–54, and 41–74 days, respectively. Statistical analyses using the Tukey's Honestly Significant Difference Test revealed that only the treatment with a 1:1 mixture of 4T1/A<sup>d</sup>/B7.1+4T1/SEB cells significantly increases the survival time of mice with established wild-type metastatic disease ( $P = 0.05$ ). Treatment with either 4T1/SEB alone or 4T1/A<sup>d</sup>/B7.1 alone does not significantly increase survival. Therefore, therapy with this cell-based vaccine requires expression of all three molecules to extend mean survival time from 5–7.5 weeks for control-treated mice to 6–10.5 weeks for therapy-treated mice. Although this increase in survival time is relatively small, it is statistically significant and compelling because the immunotherapy was started at week 4 and untreated and/or 4T1-treated mice begin to die as early as 5 weeks after tumor challenge.

**Increase in Survival Correlates with Reduction of Metastatic Cancer.** To demonstrate that the increase in survival was due to a reduction of spontaneous metastatic cancer, lungs from therapy-treated animals were harvested and the number of clonogenic metastases was quantitated as described previously (3). Female BALB/c mice were challenged s.c. in the abdominal mammary gland with

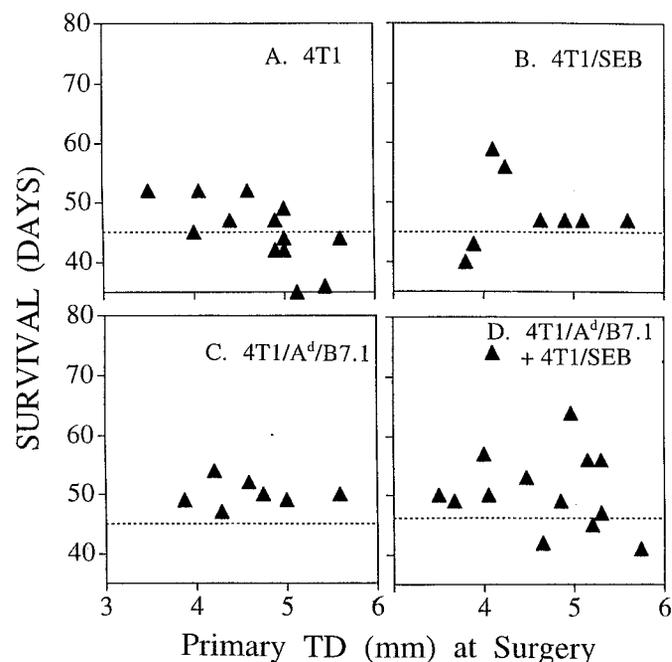


Fig. 3. Immunotherapy of established wild-type spontaneous metastases with a mixture of MHC class II/CD80 and SEB transfectants increases survival. Female BALB/c mice were challenged s.c. in the abdominal mammary gland with  $7 \times 10^3$  live wild-type 4T1 cells. Primary tumors were measured and surgically resected 21 days after parental tumor challenge. Mice were treated every 3–5 days starting at day 28 with i.p. injections of  $1 \times 10^6$  total cells of irradiated parental 4T1 (13 mice; A), 4T1/SEB (8 mice; B), 4T1/A<sup>d</sup>/B7.1 (7 mice; C), or a 1:1 mixture of 4T1/A<sup>d</sup>/B7.1 plus 4T1/SEB cells (14 mice; D). The 1:1 mixture of 4T1/A<sup>d</sup>/B7.1 plus 4T1/SEB therapy group is significantly different from the 4T1 control group ( $P = 0.05$ , Tukey's Honestly Significant Difference Test).

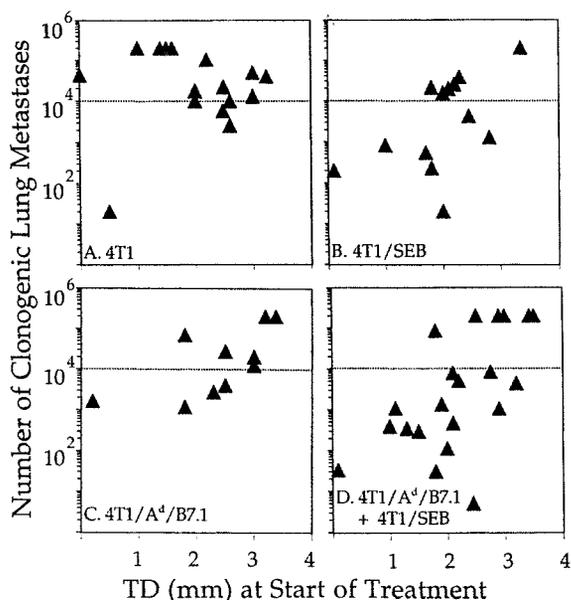


Fig. 4. Immunotherapy of established 4T1 tumors with MHC class II/CD80 and/or SEB transfectants reduces metastatic disease. Female BALB/c mice were challenged s.c. in the abdominal mammary gland with  $7 \times 10^3$  live wild-type 4T1 cells. At 14 days after parental tumor challenge, the TDs were measured and the therapeutic injections started. Mice were treated i.p. twice a week until the time of sacrifice with  $1 \times 10^6$  total cells/injection of irradiated parental 4T1 (A), 4T1/SEB (B), 4T1/A<sup>d</sup>/B7.1 (C), or a 1:1 mix of 4T1/A<sup>d</sup>/B7.1 plus 4T1/SEB (D) cells. Mice were sacrificed 6 weeks after initial 4T1 tumor challenge, and the number of clonogenic lung metastases was determined. Each triangle represents an individual mouse. The 1:1 mixture of 4T1/A<sup>d</sup>/B7.1 plus 4T1/SEB therapy group is significantly different from the 4T1 control group ( $P = 0.05$ , Tukey's Honestly Significant Difference Test).

$7 \times 10^3$  wild-type 4T1 tumor cells. Starting 2 weeks after challenge, they were given i.p. injections of irradiated vaccine cells ( $1 \times 10^6$  total/injection) twice a week until the day of sacrifice. At the time of sacrifice (6 weeks after the initial primary tumor challenge), primary TD of control-treated mice (*i.e.*, mice given irradiated 4T1 cells) were comparable with TD of transfectant-treated animals (6.5–10.5 mm and 6.2–11.2 mm, respectively; two-tailed  $P = 0.61$ ). Therapy with the transfectants, therefore, does not reduce primary tumor growth, which concurs with our earlier studies using MHC class II or CD80 vaccines alone (3).

To assess the metastatic disease, lungs from the treated mice were removed, dissociated into single cell suspensions, and plated in culture medium containing 6-thioguanine to determine the number of clonogenic tumor cells. Ten days later, the number of clonogenic metastatic cells could be enumerated because 4T1 cells are resistant to 6-thioguanine, whereas normal cells are not resistant and die. As in Fig. 3, we are interested in determining whether primary tumor size effects vaccine efficacy, therefore, the results are plotted as number of clonogenic metastatic cells in the lungs *versus* TD at the start of treatment. A line denoting a level of 10,000 tumor cells in the lungs is also included because >85% of untreated tumor-bearing mice contain >10,000 metastatic cells in their lungs after 42 days of primary tumor growth (3). As shown in Fig. 4, administration of the 4T1 transfectants significantly reduces the number of lung metastases (Fig. 4, B–D) relative to treatment with wild-type 4T1 cells (Fig. 4A). For example, 13 of 16 (81.2%) mice treated with irradiated parental cells (Fig. 4A) contained  $>10^4$  clonogenic lung metastases, which contrasts 12 of 23 (52.2%) mice treated with either 4T1/SEB or 4T1/A<sup>d</sup>/B7.1 (Fig. 4, B and C) and 6 of 21 (28.6%) mice treated with a mixture of transfectants (Fig. 4D). After transforming the number of clonogenic metastases to logarithmic values and analyzing these data using the Tukey's Honestly Significant Difference Test, we found that

only treatment with a 1:1 mixture of 4T1/SEB+4T1/A<sup>d</sup>/B7.1 cells (Fig. 4D) significantly reduced the number of clonogenic lung metastases ( $P = 0.05$ ). Treatment with either 4T1/SEB alone (Fig. 4B) or 4T1/A<sup>d</sup>/B7.1 alone (Fig. 4C) did not significantly decrease the number of clonogenic lung metastases. Previously, we demonstrated that therapy with MHC class II<sup>+</sup>/CD80<sup>+</sup> vaccines statistically significantly reduced clonogenic lung metastases in 50% of mice whose immunotherapy was initiated 9–14 days after tumor challenge but this reduction corresponded to only a 10-fold maximum reduction when compared with the control group (3). In contrast, treatment of mice carrying 14-day established primary and metastatic tumor with the combination therapy of tumor cell transfectants expressing MHC class II, CD80, and SEB genes decreases spontaneous metastases in the lung by a maximum of 100-fold. Therefore, effective immunotherapeutic treatment of tumor-bearing mice with extensively established spontaneous metastases requires expression of all three molecules.

**Reduction of Established Wild-Type Metastases with MHC class II, CD80, and SEB Immunotherapy Requires Both CD4<sup>+</sup> and CD8<sup>+</sup> T cells.** The concept of combining SEB with MHC class II and CD80 was based on the hypothesis that SEB is a potent polyclonal activator of CD4<sup>+</sup> T lymphocytes (10, 11) and would provide additional activation signals to CD4<sup>+</sup> T cells that have been activated in an antigen-specific fashion by the MHC class II<sup>+</sup> CD80<sup>+</sup> vaccinating cells. Therefore, we tested the immunotherapy described in Fig. 4 in CD4- or CD8-depleted animals and BALB/c *nu/nu* mice. As shown in Fig. 5, C and D, depletion of CD4<sup>+</sup> or CD8<sup>+</sup> T cells (monoclonal antibodies GK1.5 and 2.43, respectively) eliminates the therapeutic effect of the MHC class II<sup>+</sup>, CD80<sup>+</sup>, SEB<sup>+</sup> vaccine against spontaneous metastases, whereas depletion with control ascites (Fig. 5B) has no effect. In addition, the combination vaccine does not reduce metastatic disease in BALB/c *nu/nu* mice (Fig. 5F). Collectively, these data demonstrate that the three transfected genes of the cell-based vaccines are working cooperatively to optimally activate both CD4<sup>+</sup> and CD8<sup>+</sup> T lymphocytes and that these lymphocyte populations are essential for the therapeutic effect.

## DISCUSSION

sAgs, including SEB, have been previously recognized as potential reagents for up-regulating T lymphocyte responses against tumors. However, their use has been limited and they have not been combined with other factors that might optimize their therapeutic efficacy. For example, several studies describe redirected T-cell activation using sAgs coupled to tumor-specific monoclonal, anti-idiotypic, or bifunctional antibodies (17–19). SEB has also been administered systemically along with tumor cells, and SEB DNA has been inoculated intratumorally along with cytokine DNA to reduce primary tumor growth (20, 21). In addition, sAgs have been used to activate tumor-draining lymph node T cells *ex vivo* for adoptive transfer into tumor-bearing animals (22, 23). All of these approaches produce some reduction in primary tumor growth and/or decrease in metastatic lesions. However, the test settings have involved relatively small primary tumor and/or very small metastatic tumor burdens, which do not mimic the clinical situation. These results, taken together with the SEB transfected tumor vaccines presented in this study, show that SEB expression alone has only a modest effect on metastatic tumor progression. However, as shown in this study, the antitumor effect of SEB on highly advanced spontaneous metastases is more effective when combined with the cell-based vaccine containing MHC class II and CD80 molecules.

The modified tumor cells may function directly as APCs for the initial activation of tumor-specific CD8<sup>+</sup> and CD4<sup>+</sup> T cells following immunization. Previous studies demonstrate that both CD8<sup>+</sup> and

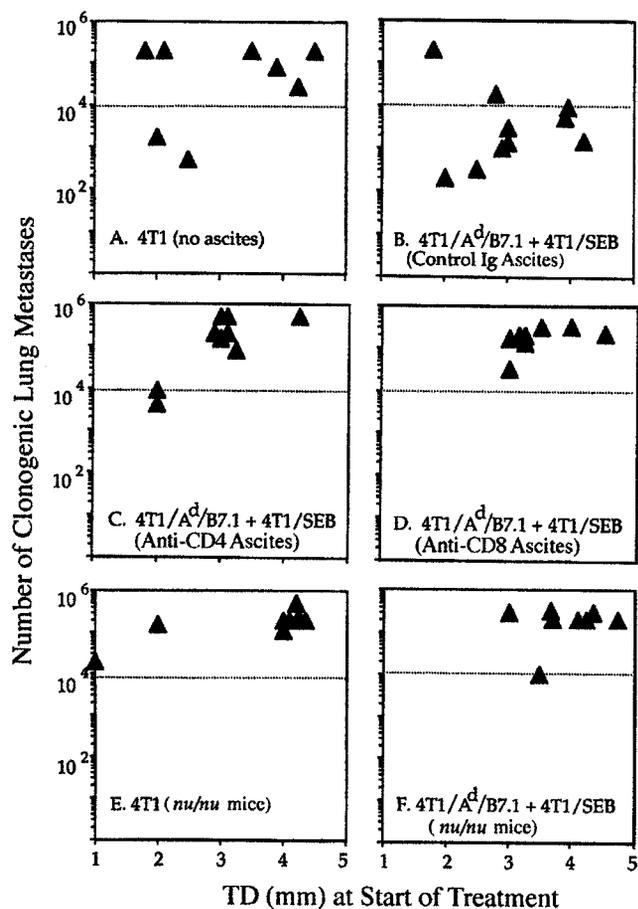


Fig. 5. Reduction of established wild-type metastases with MHC class II, CD80, and SEB immunotherapy requires  $CD4^+$  and  $CD8^+$  T cells. *A-D*, naïve BALB/c mice received injections s.c. in the abdominal mammary gland of  $7 \times 10^3$  parental 4T1 cells and, beginning on day 14, were treated as described in Fig. 4 with either 4T1 parental cells (8 mice; *A*) or a 1:1 mixture of 4T1/ $A^d$ /B7.1 plus 4T1/SEB (*B-D*). On days 8, 11, and 13 (*i.e.*, before the start of immunotherapy), mice received injections of either control ascites (10 mice; *B*), GK1.5 ascites (9 mice; *C*), or 2.43 ascites (8 mice; *D*). Antibody injections were continued at least once a week for the duration of the experiment. *E* and *F*, BALB/c *nu/nu* mice received injections s.c. in the abdominal mammary gland of  $7 \times 10^3$  parental 4T1 cells and, beginning on day 14, were treated as described in Fig. 4 with either 4T1 parental cells (8 mice; *E*) or a 1:1 mixture of 4T1/ $A^d$ /B7.1 plus 4T1/SEB (8 mice; *F*).

$CD4^+$  T lymphocytes are involved in immunity induced by MHC class II/CD80 vaccines (15) and that MHC class II/CD80 modified tumor cells function directly as APCs for the initial activation of tumor-specific  $CD4^+$  T cells (24). Direct presentation of antigen by tumor cells is possible because tumor cell expression of MHC class II molecules in the absence of invariant chain allows for presentation of endogenously synthesized tumor antigens by MHC class II molecules (24, 25). Because the vaccines express MHC class I, class II, CD80, and SEB molecules, antigen-specific and costimulatory signals will be efficiently delivered to  $CD8^+$  and  $CD4^+$  T cells. Likewise, because the activated  $CD8^+$  and  $CD4^+$  T cells are in close proximity to each other, there should be an efficient transfer of cytokines between CTLs and T helper cells (see Fig. 6, *right*).

Host-derived APCs are also likely to be involved in  $CD8^+$  and  $CD4^+$  T lymphocyte activation during vaccine therapy. Because MHC class II serves as a ligand for a sAg (10), it is likely that any host-derived class II<sup>+</sup> cell will bind available SEB. The involvement of host APC is supported by the observation that SEB transfectants alone, which do not express MHC class II, cause a modest therapeutic effect (Fig. 4, *A versus B*). Furthermore, other mouse tumor models have demonstrated that both a class I- and class II-restricted tumor-

encoded antigen can be processed and presented indirectly by host-derived APCs (24, 26, 27). Taken together, it is likely that host-derived APCs, capable of migrating to lymph nodes, coordinately present SEB and tumor antigen to both  $CD8^+$  and  $CD4^+$  T cells (see Fig. 6, *left*).

SEB may also enhance vaccine efficacy because it induces an inflammatory response that stimulates immunity (28). Gene transfer techniques have demonstrated that *in vivo* expression of various sAg (SEA, SEB, and TSST-1) DNAs induces intense inflammatory responses (29). Although systemic administration of sAg (doses  $>500 \mu\text{g}$ ) typically triggers T-cell release of cytokines such as tumor necrosis factor and lymphotoxin that lead to cachexia (11), we did not see any adverse side effects in SEB-treated mice.

When a sAg, such as SEB, is coexpressed by the MHC class II/CD80 vaccine, additional activation and/or proliferation signals may be delivered to the specifically activated  $CD8^+$  and  $CD4^+$  T cells. Because SEB binds to the sides of MHC class II molecules and the T cell receptor while antigenic peptide binds within the MHC class II cleft (30, 31), it is feasible that the sAg, tumor antigen-specific, and costimulatory signals are simultaneously received by the T cells. Whereas it is also possible that those signals are not coincident, several studies have shown that activation of T cells by SEB is facilitated or enhanced by B7/CD28 signaling (32–35). Controversy exists over the ability of costimulation to inhibit sAg-induced apoptosis, but one report demonstrates that lipopolysaccharide activation of B cells prevents sAg-induced deletion (36). Regardless of the precise kinetics in which the various activation signals are delivered, coordinate delivery of the three signals improves the efficacy of the vaccines to reduce spontaneous metastatic tumor growth. As a result, T-cell activation may be exceptionally efficient because both direct and indirect antigen presentation occur, thus yielding larger numbers of precisely those  $CD8^+$  and  $CD4^+$  tumor-specific cells that mediate tumor cell destruction.

New immunotherapies are routinely tested in experimental animal tumor systems. Although such experiments may provide “promising” therapeutic results, tumor regression in animal models does not necessarily predict successful treatment of tumors in human patients. There may be significant physiological and biochemical differences between animals and humans that preclude direct comparison of

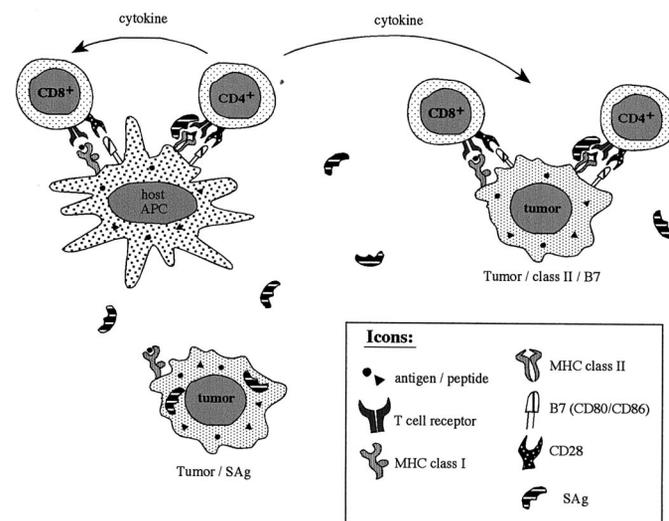


Fig. 6. Proposed mechanism of action by the MHC class II/CD80 and SEB tumor cell-based vaccine. The SEB modified tumor cell (*bottom*) secretes sAg at the immunization site where the host professional APC (*left*) and the MHC class II/CD80 modified tumor cell (*right*) are able to bind the sAg and activate both  $CD4^+$  and  $CD8^+$  T cells. As a result, immunization occurs by direct and indirect (cross-priming) antigen presentation.

results, and testing in humans, therefore, will always be required. However, the inability to translate therapies developed in experimental animal models to humans may also be because many of the mouse tumor systems used in immunotherapy studies do not closely model human cancers and, hence, the immunotherapies are not being tested in clinically relevant settings. For example, many immunotherapies are tested in so-called "metastatic" settings, however: (a) the extent of metastatic disease is minimal; (b) the metastases have not arisen spontaneously; and/or (c) the metastases have not been established for significant amounts of time. Furthermore, many commonly used mouse models: (a) are not spontaneously metastatic (e.g., CMS-5 fibrosarcoma, RENCA renal cell carcinoma, CT-26 colon adenocarcinoma, SaI sarcoma, and so forth); (b) rapidly lose their metastatic potential when cultured *in vitro* (e.g., K1735 melanoma); (c) metastasize poorly unless the primary tumor is excised (e.g., B16 melanoma, line 1 carcinoma); or (d) rapidly invade the local environment, such that animals die from primary tumor before metastatic disease is established (e.g., B16 melanoma). In contrast, the 4T1 mammary carcinoma is spontaneously metastatic and metastasizes to many of the organs to which human breast cancer metastasizes (e.g., lung, liver, and brain). Also, similar to human mammary carcinoma, 4T1 metastases spread and progress while primary tumor is in place. In addition, following inoculation of a small number of tumor cells ( $7 \times 10^3$ ) in the mammary gland, lethal metastatic disease develops early (within the first 2–3 weeks) and progresses over several weeks so that immunotherapies can be tested against early or very advanced stage disease. The 4T1 tumor, therefore, is an excellent model for testing experimental immunotherapies. In contrast to our earlier studies with the 4T1 tumor in which relatively early metastases were treated and primary tumor was left in place (3), the studies reported here address very advanced metastatic disease in a postsurgical setting. Although the statistically significant extension of survival time following surgery and administration of immunotherapy was small, we find no comparable studies in the literature in which the efficacy of an immunotherapy is demonstrated in such a clinically relevant model of advanced stage metastatic disease.

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## Cooperativity of *Staphylococcal aureus* Enterotoxin B Superantigen, Major Histocompatibility Complex Class II, and CD80 for Immunotherapy of Advanced Spontaneous Metastases in a Clinically Relevant Postoperative Mouse Breast Cancer Model

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