

Glioblastoma-Derived Epidermal Growth Factor Receptor Carboxyl-Terminal Deletion Mutants Are Transforming and Are Sensitive to EGFR-Directed Therapies

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Abstract

Genomic alterations of the epidermal growth factor receptor (*EGFR*) gene play a crucial role in pathogenesis of glioblastoma multiforme (GBM). By systematic analysis of GBM genomic data, we have identified and characterized a novel exon 27 deletion mutation occurring within the EGFR carboxyl-terminus domain (CTD), in addition to identifying additional examples of previously reported deletion mutations in this region. We show that the GBM-derived EGFR CTD deletion mutants are able to induce cellular transformation *in vitro* and *in vivo* in the absence of ligand and receptor autophosphorylation. Treatment with the EGFR-targeted monoclonal antibody, cetuximab, or the small molecule EGFR inhibitor, erlotinib, effectively impaired tumorigenicity of oncogenic EGFR CTD deletion mutants. Cetuximab in particular prolonged the survival of intracranially xenografted mice with oncogenic EGFR CTD deletion mutants, compared with untreated control mice. Therefore, we propose that erlotinib and, especially, cetuximab treatment may be a promising therapeutic strategy in GBM patients harboring EGFR CTD deletion mutants. *Cancer Res*; 71(24); 7587–96. ©2011 AACR.

Introduction

Glioblastoma multiforme (GBM) is the most common type of malignant brain tumors. There are approximately 9,000 new cases diagnosed every year in the United States (1, 2). Due to the resistant nature of GBM to available therapeutic modalities, such as surgery, radiation, and chemotherapy, the median survival of patients diagnosed with GBM is only about 14 months (3, 4). Thus, it is critical to develop new effective

therapeutic approaches to treat patients with this devastating disease.

Numerous studies, including recent large-scale genomic analyses, have identified epidermal growth factor receptor (*EGFR*), a member of the ErbB family of receptor tyrosine kinases, as a common genetically altered gene in primary GBM (5–10). Different classes of EGFR somatic mutations have been identified in GBM. These include the exon 2 to 7 deletion, which is known as variant III (EGFRvIII), and point mutations within the extracellular domain of EGFR (11–14). These genetic alterations have been shown to lead to oncogenic activation of the mutant receptor independent of ligand stimulation and, consequently, induce cellular transformation (14–16). In addition, various exon deletion mutations including exon 25 to 27 and exon 25 to 28 deletion mutations, which result in the truncation of the C-terminal domain of EGFR, have been identified in GBM patients, although their oncogenic potential has not yet been characterized (17, 18). Furthermore, *EGFR* gene amplification and/or EGFR protein overexpression commonly occur in approximately 50% of GBM patients, suggesting that an increased abundance of the EGFR may also be responsible for tumorigenesis in primary GBM (7, 19). Interestingly, somatic mutations within the EGFR kinase domain, which are frequently identified in non-small cell lung cancer (NSCLC), have only rarely been identified in GBM (8, 14, 20).

Given that abnormal regulation of downstream signaling pathways such as PI3K/Akt, Ras/Erk, and/or STAT5 originating from mutant *EGFR* seem to play a crucial role in pathogenesis of GBM, targeting oncogenic EGFR with small molecule kinase inhibitors or monoclonal antibodies (mAb) has been tested as a therapeutic approach (21–23). Clinical trials

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with either erlotinib or gefitinib as a single agent therapy reveal that these drugs do not have additional clinical benefit over standard treatment regimens in unselected patients who have not been characterized for genomic alterations of *EGFR* (24, 25). Interestingly, a retrospective genetic analysis study with GBM patient tumor samples indicates that the clinical response to erlotinib is closely associated with coexpression of EGFRvIII and PTEN (26). This is consistent with the consensus that genetic factors in tumors may determine their clinical response, and identifying these genetic biomarkers is the key for successful targeted therapy with EGFR small molecule inhibitors. Cetuximab, a humanized mAb, has been shown to be effective against GBM cell lines and *in vivo* xenograft mouse model as monotherapy or in combination with radiation or chemotherapy (27–29). However, only a single case study has reported the clinical effectiveness of cetuximab among GBM patients (30).

In this study, through genomic analysis of primary GBM patient samples collected under The Cancer Genome Atlas (TCGA), we have confirmed deletion mutations within the C-terminal domain of EGFR and have further identified novel C-terminal deletion mutations. In addition, we showed that the resulting C-terminal deletion mutants of EGFR are oncogenic *in vitro* and *in vivo*. Finally, we showed that erlotinib and cetuximab inhibited the growth of tumors driven by C-terminal deletion *EGFR* mutants, indicating that both small molecule inhibitors and anti-EGFR mAbs may be promising therapeutic approaches in treating GBM patients with tumors harboring such deletions.

Materials and Methods

Exon and copy number array analysis

RMA data from Affymetrix Human Exon 1.0 ST arrays and segmented and level 2 copy number data from SNP 6.0 arrays were batch-downloaded from the TCGA data portal (<http://tcga-data.nci.nih.gov/tcga/tcgaCancerDetails.jsp?diseaseType=glioblastoma%multiforme>). Exon expression data from tumor-derived RNA were normalized to exon expression data from total brain control RNA, and probes interrogating expression of EGFR exons 25 through 28 were compared with the average of those for EGFR exons 17 through 20. Segmented data were searched for tumor DNA copy number profiles with *EGFR* amplifications that contain segmentation breaks between exons 24 and 27 (chromosome 7 55269049 to 55270209), in which the copy number of the 3' segment was lower than that of the 5' segment. With level 2 copy number data, the copy number probe closest to exon 27 (CN_1227312) was compared with probes both 5' and 3' of exons 17 and exon 20. For more details, see Supplementary Information Materials and Methods.

Expression constructs

pBabe-puro plasmids encoding CT982NT, CT1054NT, and CT Del1 EGFR mutants were generated using the QuikChange site-directed mutagenesis kit (Stratagene) with wild-type *EGFR* as a template (31). The expression construct for the EGFRvIII mutant was previously described (32).

Cell culture and generation of cell lines by viral transduction

All *EGFR* mutant expressing cell lines (Ba/F3, NIH-3T3, and LN443 cells) used in the study were established by retroviral infections, pooled and maintained as described previously (31, 33, 34). EGFR CT Del1 mutant were identified in the wild-type EGFR expressing Ba/F3 cell clone that grew after IL-3 withdrawal (see text for more detail).

Cell growth inhibition assay

For growth inhibition assays, Ba/F3 cells (10,000 cells) were plated in 180 μ L media in 96-well flat-bottom plates (Corning). Twenty-four hours after plating, cell culture media was replaced with medium with and without either erlotinib or cetuximab. The concentrations of erlotinib and cetuximab used for the assay ranged from 3.3 to 10 μ mol/L or from 33 ng/mL to 100 μ g/mL, respectively. The cells were incubated for another 72 hours and the viable cell numbers were measured using Cell Counting Kit-8 solution (Dojindo). Absorbance was measured at 450 nm after 3 hours. Data are expressed as percentage of growth relative to that of untreated control cells.

Immunoblotting and antibodies

Cells were lysed in immunoblotting (31) buffer supplemented with protease inhibitors (Roche) and phosphatase inhibitors (Calbiochem) and subjected to immunoblotting. Anti-EGFR (Ab-5) antibody was purchased from NeoMarker. Anti-phospho-tyrosine antibody (4G10) and anti-actin were from Millipore and Santa Cruz Biotechnology, respectively. Ab against phospho-Stat5 (Y705) was from Cell Signaling Biotechnology.

Generation of xenografted mice, erlotinib and cetuximab treatment

Animal [severe combined immunodeficient mice (SCID) mice] studies done in accordance with UCSD IACUC protocols. For subcutaneous studies, cells were resuspended in PBS and 1 to 2 million cells were injected in the flanks of mice, and both cetuximab and erlotinib administration was initiated approximately 20 days after tumor cell inoculation, when the tumors had reached a diameter of 50 to 70 mm³. For intracranial studies, cells were resuspended in PBS at concentration of 2×10^5 viable cells/5 μ L and implanted into right striatum, as previously described, using stereotactic apparatus, and the drug administration was initiated after 1 week (35). Cetuximab and erlotinib were obtained from Dana-Farber Cancer Institute pharmacy. For the cetuximab-treated mice, we administered 1 mg per mouse of cetuximab by intra-peritoneal injection to the mice 3 times per week, and for the erlotinib-treated mice, we orally administered 1 mg per mouse of erlotinib 3 times per week. Mice were sacrificed when morbid and the date was recorded for survival analysis.

Pathology and immunohistochemistry analysis

All orthotopic xenograft tissue was fixed by host perfusion with phosphate buffered 4% formalin, followed by additional fixation in formalin after brain removal, dehydration by graded ethanols, and embedding in wax (Paraplast Plus; McCormick

Scientific) using routine techniques. All sections were 5 μ m on Superfrost/Plus slides (Fisher Scientific) and stained with hematoxylin and eosin by standard techniques. For immunohistochemistry, the following antibodies were used at the dilutions and incubation times/temperatures: (i) anti-EGFR ab (clone 5B7; Ventana Medical Systems, predilute–diluted 1:4 in Dako diluent, 60 min/37°C; (ii) anti-phospho-EGFR (Tyr1173; Cell Signaling #4407, 1:250 in Dako diluent, 60 min/37°C. Tissue conditioning for both epitopes was done using Tris buffer pH 8 with the following time/temperature intervals: 8 min/95°C; 4 min/100°C; and 8 minutes cooling to 37°C. All immunohistochemistry was done on the Ventana Medical Systems Discovery XT–automated slide preparation system using the Ultraview (multimer) detection system for 12 minutes at 37°C.

Results

Identification and validation of EGFR C-terminal deletion mutants by exon array analysis in human GBM patients

On the basis of reports of somatic genome rearrangements in the region encoding the EGFR C-terminal domain (CTD) in GBM (17, 18), we examined the region of chromosome 7 from 55.268 to 55.276 Mb (hg19) systematically by analyzing SNP 6.0 array copy number and exon array expression data (<http://tcga-data.nci.nih.gov/tcga/tcgaCancerDetails.jsp?diseaseType=glioblastoma%multiforme>) from GBM patient samples in the TCGA database (5, 8). We identified candidate deletions by the presence of either (i) copy number segment boundaries following *EGFR* exon 24, in which the segmented copy number of the 3' segment was at least 1.5 normalized copies lower than that of the 5' segment, or (ii) expression values for 1 or more of *EGFR* exons 25 through 28 that was less than one-half the average expression value of *EGFR* exons 17 through 20. Where this approach identified deletions based on expression but not segmented copy number data, we analyzed raw single-nucleotide polymorphism (SNP) array data for the presence of a reduction in copy number of at least 1.5 normalized copies on probe CN_1227312, the copy number probe closest to exon 27 (see Supplementary Materials and Methods).

By these analyses, we found a total of 8 samples of 469 analyzed (7 of 435 samples from exon array analysis and 6 of 447 samples from SNP array analysis) showing evidence of *EGFR* C-terminal exonic deletions (Supplementary Table S1). The 8 samples with candidate *EGFR* C-terminal deletions can be divided into 4 categories, including previously reported exon 25 to 27 deletions, previously reported exon 25 to 28 deletions (18), hitherto unreported exon 27 deletions, and hitherto unreported exon 27 to 28 deletions.

To confirm candidate events, we carried out quantitative PCR (qPCR) analysis of genomic DNA using specific primers targeting exon 23 to 28 on 5 of the 8 samples for which sufficient DNA was available. These assays confirmed exon 25 to 28 deletions in 3 samples (TCGA 06-0216, TCGA 08-0511, and TCGA 08-0356), an exon 25 to 27 deletion in 1 sample (TCGA 02-0043), and an exon 27 deletion in 1 sample (TCGA 02-

0102; Fig. 1A). By PCR amplification with intronic primers from genomic DNA followed by amplicon sequencing, we further validated the exon 27 and exon 25 to 27 deletions in the relevant samples and mapped the breakpoints of these deletions (Fig. 1B). Because the deletion of exon 27 has not been previously reported, we sequenced cDNA from sample TCGA 02-0102 and confirmed that the *EGFR* exon 27 deletion results in the transcription of an aberrant mRNA, consistent with a splice between exon 26 and exon 28 (Fig. 1B). However, we could not validate Ex25–28 deletion mutants using the same approach because we were unable to determine the 3' breakpoint of these deletion mutants. Instead, we mapped the 5' breakpoint of Ex25–28 deletion mutants (TCGA 08-0511 and TCGA 08-0356) approximately within intron 24 using qPCR based analysis (Supplementary Fig. S1A). Thus, we believe that mutants harboring deletions within intron 24 likely result in aberrant transcripts missing Ex25–28 and containing a newly generated stop codon within exon 24, leading to an EGFR CTD deletion protein (Fig. 1C and Supplementary Fig. S1C).

In summary, we validated C-terminal *EGFR* deletions in the 5 tested GBM samples including a novel exon 27 deletion, as well as the previously identified exon 25 to 27 and exon 25 to 28 deletions (Fig. 1C).

GBM-derived CT982NT and CT1054NT mutants are oncogenic

To explore the functional significance of GBM-derived EGFR CTD deletion mutants, we first generated retroviral vectors to express cDNA encoding the protein products of 3 EGFR mutants, CT982NT, CT1054NT, and Ex25–28 deletion. These mutants were stably introduced into Ba/F3 cells by retroviral infection, along with the highly prevalent oncogenic EGFRvIII mutant as a positive control. We used the Ba/F3 pro-B cell line as a model system for 2 reasons. First, the survival and proliferation of Ba/F3 cells are strictly dependent on IL-3, but ectopic oncogene expression relieves this dependency; thus, we can not only determine the oncogenic activity of the EGFR CTD deletion mutants by assessing whether the forced expression of these mutants in Ba/F3 cells can functionally replace IL-3 dependency but can also use these cells as an efficient system to test drug sensitivity, which has been highly predictive for clinical efficacy of specific EGFR mutants (36). Second, as Ba/F3 cells are known to express low to undetectable levels of endogenous ErbB proteins (37), the biological consequences of EGFR expression in the engineered Ba/F3 cells are likely due to the isogenically expressed EGFR mutants.

Ba/F3 cells expressing the CT982NT, CT1054NT, vIII mutants, or Ex25–28 deletion of EGFR were able to grow in the absence of IL-3, whereas the control parental Ba/F3 cell line failed to grow under the same conditions (Fig. 2A and data not shown). The IL-3–independent cell growth ability of Ba/F3 cells expressing the CT982NT mutant is comparable with that induced by oncogenic EGFRvIII mutant and higher than that of CT1054NT. Furthermore, although the EGFRvIII mutant underwent constitutive phosphorylation, we did not observe any detectable level of phosphorylation for the C-terminal deletion mutants, which is unsurprising as these mutants have deleted the major tyrosine phosphorylation sites of EGFR

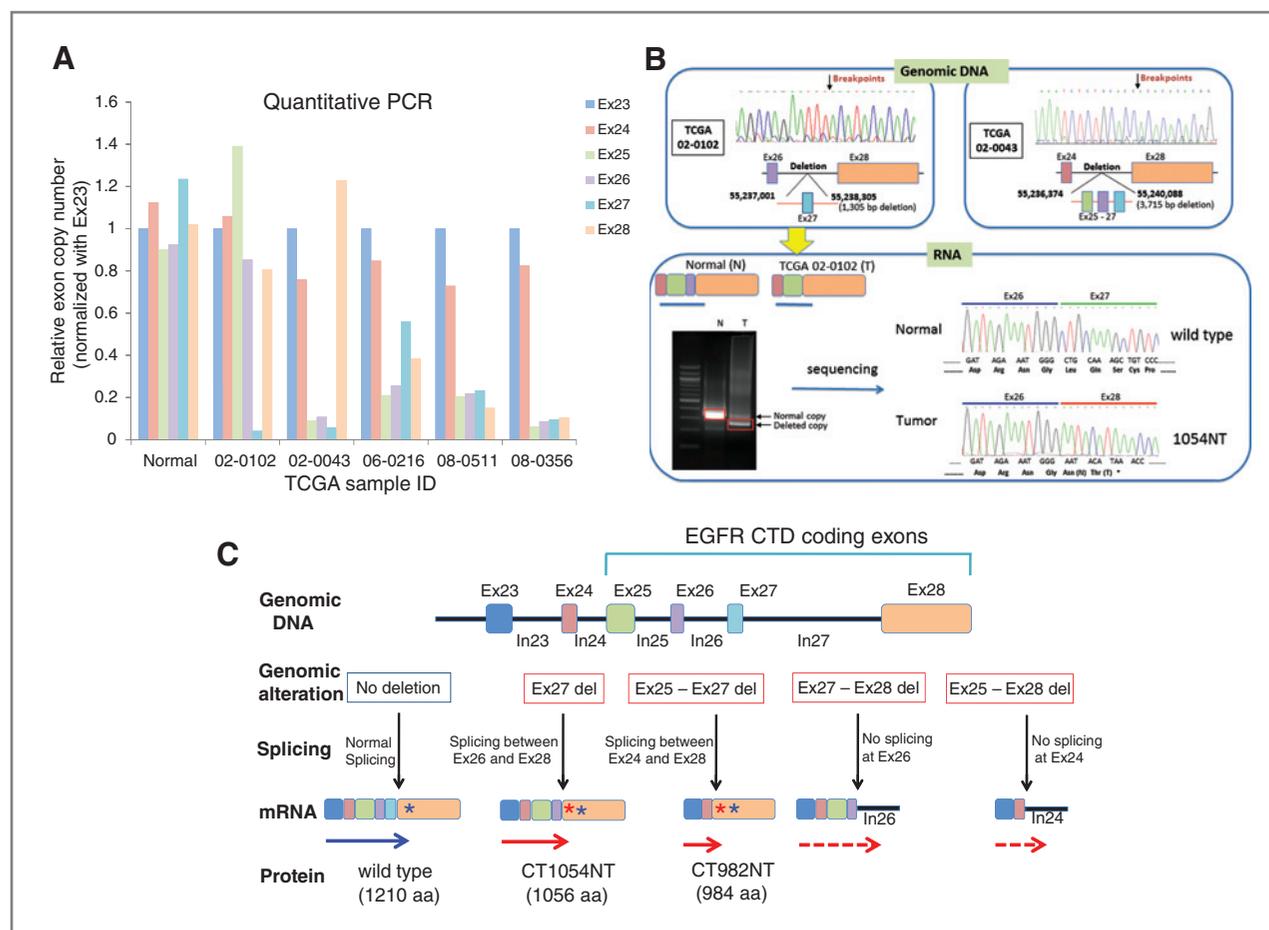


Figure 1. Identification and functional characterization of GBM-derived CT deletion mutants. **A**, qPCR analysis using primer sets for exons 23 through 28 of *EGFR*, marked according to the color legend on the right, carried out on skin DNA from TCGA 08-0359 as a normal control and 5 samples harboring candidate *EGFR* CTD deletions based on exon array analysis shown in Supplementary Table S1. The relative copy number for each exon is normalized to *EGFR* exon 23. **B**, direct sequencing of *EGFR* PCR fragments from TCGA 02-0102 and TCGA 02-0043 DNA revealed the location of intragenic deletions of exon 27 and exon 25 to 27 in these samples, respectively. **C**, schematic showing the proposed splicing and resulting protein products of GBM-derived mutants as well as wild-type *EGFR*. Deletion of exon 27 generates a frameshifted exon 28, with the addition of Asn (N) and Thr (T) after amino acid 1,054 followed by early termination (red solid arrow). Deletion of exons 25 to 27 also resulted in the addition of N and T after amino acid 982 followed by a stop codon (red solid arrow). Given that the 3' end of the exon 27 to 28 and exon 25 to 28 deletions were not determined, the detailed transcripts are not defined (red dashed arrows). The blue asterisks indicate the position of the stop codon of the wild-type *EGFR* transcript (blue arrow). Red asterisks indicate the predicted stop codons generated by frameshift of the indicated aberrant RNA transcripts.

(Fig. 2B and Supplementary Fig. S1B). Consistent with the results in Ba/F3 cells, NIH-3T3 cells stably expressing either CT982NT or CT1054NT mutants, but not vector-infected cells, were able to grow in an anchorage-independent manner in soft agar, a hallmark of cellular transformation, in the absence of ligands (Supplementary Fig. S2A).

Taken together, we concluded that GBM patient-derived CT982NT and CT1054NT *EGFR* mutants are oncogenic *in vitro* and are able to induce cellular transformation.

Tumors induced by *EGFR* CTD deletion mutants are sensitive to *EGFR* inhibitors

The clinical effectiveness of anti-*EGFR*-targeted therapy with small molecule *EGFR* kinase inhibitors, such as erlotinib, or mAbs, such as cetuximab, have been successfully proven in a subset of cancer types including NSCLC and colorectal cancer (38, 39). Therefore, we sought to determine the efficacy of these

drugs against the oncogenic *EGFR* CTD deletion mutants. Both erlotinib and cetuximab were able to effectively suppress the growth of Ba/F3 cells transformed by either the CT982NT or CT1054NT or Ex25–28 deletion *EGFR* mutants in a dose-dependent manner (Fig. 3A and B and Supplementary Fig. S1C). In contrast, the same drugs showed no inhibitory effects on the parental Ba/F3 cells in the presence of IL-3. Consistent with this result, erlotinib and cetuximab decreased the constitutive phosphorylation of Stat5 in both CT982NT and CT1054NT expressing Ba/F3 cell lines, but not in the parental cells, suggesting that these drugs specifically target the *EGFR* CTD deletion mutants and effectively inhibit their oncogenic activity (Supplementary Fig. S2B and data not shown).

To further investigate the *in vivo* efficacy of erlotinib and cetuximab, we extended our study to xenografted mice generated by subcutaneous injection of nontumorigenic LN443 glioma cell lines engineered to stably express either wild-type

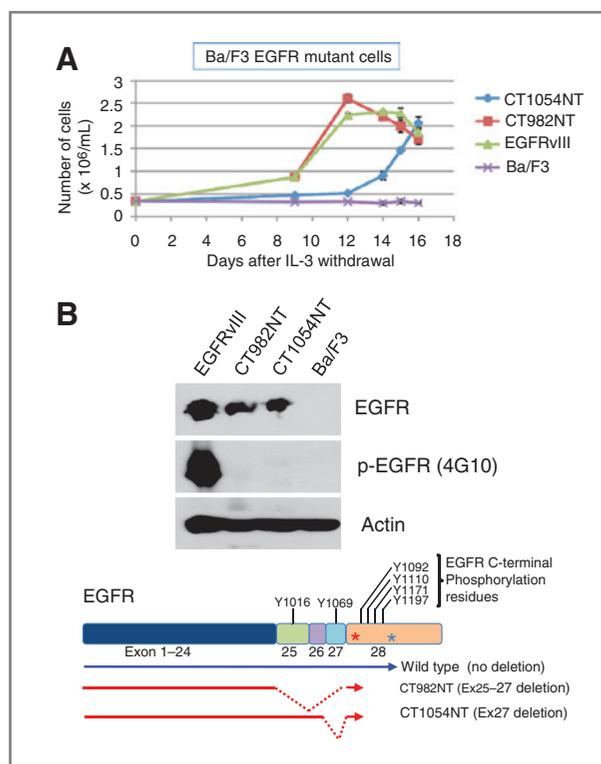


Figure 2. GBM-derived CT982NT and CT1054NT EGFR CTD deletion mutants are oncogenic in the absence of tyrosine phosphorylation. **A**, CT982NT and CT1054NT mutants confer IL-3 independency to Ba/F3 cells. IL-3-independent cell proliferation ability of Ba/F3 cell lines stably expressing CT982NT, CT1054NT, vIII mutant EGFR, as well as parental Ba/F3 cells, was assayed by counting cell numbers on 9, 12, 14, 15, and 16 days after IL-3 withdrawal. The results are indicated as means \pm SD of 3 independent counts. **B**, tyrosine phosphorylation of EGFR is dispensable for oncogenic activity of CT982NT and CT1054NT mutants. Whole-cell lysates prepared from Ba/F3 cells analyzed in (A) were subjected to immunoblotting with antibodies against phospho-tyrosine (4G10), EGFR, and actin. Schematic cartoon shows the location of tyrosine residues for phosphorylation within exons consisting of C-terminal domain of wild-type EGFR and CT deletion mutants.

EGFR, or CT982NT or CT1054NT mutants by retroviral infection (34). Consistent with the results of the *in vitro* studies, we found that tumors were formed in xenografted mice harboring LN443 cells expressing either CT982NT or CT1054NT mutants. The sizes of the resulting tumors were significantly reduced in mice treated with either erlotinib or cetuximab, compared with tumor size in untreated mice (Fig. 3C and D). No tumors were observed in the mice injected with wild-type EGFR expressing cells (data not shown). These results showed that GBM-derived EGFR CTD deletion mutants are tumorigenic and that tumors driven by these mutants exhibit a significant response to erlotinib and cetuximab treatment.

Deletion of the region containing amino acid residues 1,010 through 1,152 within the EGFR CTD is sufficient to confer oncogenic activation

In addition to the CT982NT and CT1054NT EGFR mutants found in GBM patients, we have identified an additional EGFR CTD deletion mutant harboring an intragenic deletion of

amino acids 1,010 to 1,152. This mutation was discovered in an IL-3-independent clone that grew from a pool of Ba/F3 cells in which wild-type EGFR, which normally does not transform Ba/F3 cell, was introduced by retroviral infection (Supplementary Fig. S3A; ref. 33). EGFR was sequenced from the transformed Ba/F3 clone and found to have undergone an intragenic deletion. Reexpression of the cDNA encoding this mutant EGFR (CT Del1) transformed the parental Ba/F3 cell line as well as NIH-3T3 cells in the absence of ligand (Supplementary Fig. S3B). These results confirmed that the oncogenic activation of EGFR is most likely induced by a deletion occurring within the CTD of the ectopically introduced EGFR in the cells and may be a direct cause of clonal selection from IL-3 withdrawal. As for the CT982NT and CT1054NT EGFR mutants, both IL-3-independent cell proliferation and the growth of mouse tumors driven by the oncogenic CT Del1 EGFR mutant are significantly suppressed by cetuximab or erlotinib (Supplementary Fig. S3C and S3D). Taken together, these results showed that similar to GBM-derived CT982NT and CT1054NT mutants, the CT Del1 mutant is oncogenically activated by EGFR CTD deletion, and its oncogenic activity is effectively suppressed by either cetuximab or erlotinib.

Antitumor effect of cetuximab and erlotinib against orthotopic xenografted brain tumors driven by EGFR CTD deletion mutants

Next, we wanted to further investigate the efficacy of cetuximab and erlotinib treatment as therapeutic approaches in treating GBM patients harboring EGFR CTD deletion mutants. To this end, we first generated orthotopic mouse models by intracranially implanting LN443 cells stably expressing oncogenic CT Del1, EGFRvIII mutants, or wild-type EGFR. We chose the CT Del1 mutant as a representative of various oncogenic EGFR CTD deletion mutants for *in vivo* mouse study because unlike the CT982NT or CT1054NT mutants, CT Del1 mutant undergoes detectable constitutive phosphorylation at 2 tyrosine residues, Tyr1172 and Tyr1196, within the residual C-terminal segment of the mutant protein (Supplementary Fig. S4). Therefore, we can determine whether the enzymatic activation of the ectopically introduced EGFR CT Del1 mutant is responsible for tumorigenesis by monitoring its phosphorylation in tumors of the xenografted mice.

One week after tumor cell implantation, we assigned the xenografted mice to 3 different groups—no treatment, cetuximab treatment, and erlotinib treatment (Fig. 4A). For the cetuximab-treated mice, we administered 1 mg per mouse of cetuximab by intraperitoneal injection to the mice 3 times per week, and for the erlotinib-treated mice, we administered 1 mg per mouse of erlotinib by gavage 3 times per week. Consistent with the results from the subcutaneously xenografted mouse model and the *in vitro* study, whereas all mice subject to intracranial xenografts of LN443 cells expressing wild-type EGFR survived, the mice xenografted with LN443 cells expressing EGFRvIII or CT Del1 died early because of tumor formation in the brain (Fig. 4B). Immunohistochemical analysis revealed that both total and phosphorylated EGFR were specifically detected in brain tumors from EGFRvIII and CT Del1 mutant mice, showing that these tumors were indeed induced

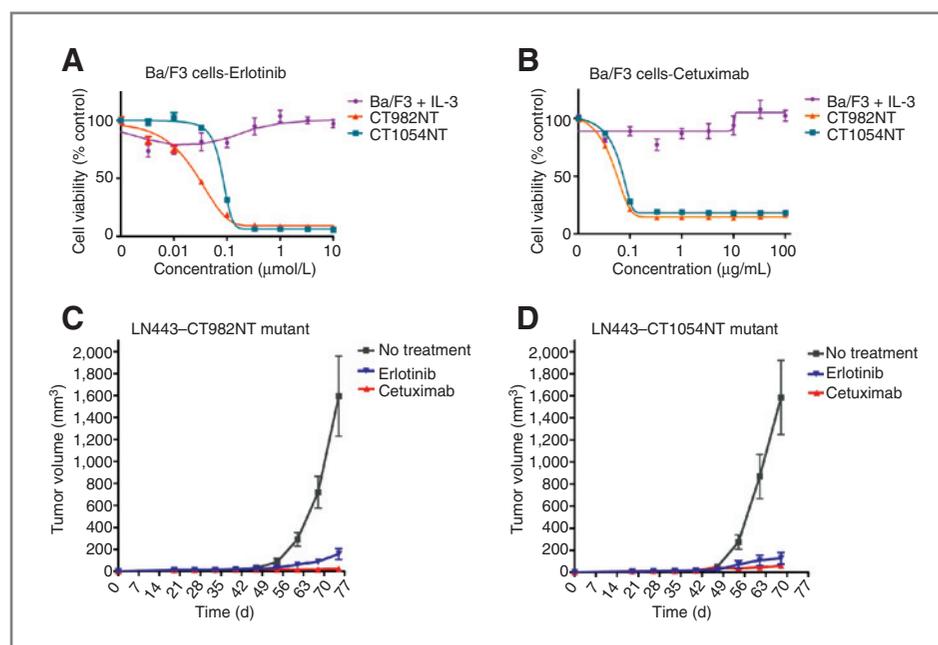


Figure 3. CT982NT and CT1054NT oncogenic *EGFR* mutants are sensitive to erlotinib or cetuximab *in vitro* and *in vivo*. A and B, growth of Ba/F3 cells transformed with either CT982NT or CT1054NT *EGFR* mutant, but not the parental line with IL-3, was suppressed by either erlotinib (A) or cetuximab (B). Cells were treated with either erlotinib or cetuximab at the concentrations indicated for 72 hours and assayed for cell viability. The results are indicated as mean \pm SD of 3 independent experiments. C and D, growth of mouse tumors driven by CT982NT and CT1054NT *EGFR* mutants are significantly suppressed by either erlotinib or cetuximab. LN443 cells expressing CT982NT (C) and CT1054NT (D) mutants were subcutaneously injected in the flanks of SCID mice (5 mice per group and 3 sites per each mouse). Twenty days after cell injection, when tumors reached a size around 50 to 70 mm³, either erlotinib (50 mg/kg, gavage) or cetuximab (50 mg/kg, IP) was administered 3 times per week for 13 weeks. Tumor size was measured once a week, and volume was determined according to the formula $(W2 \times L)/2$. IP, intraperitoneal.

by constitutive enzymatic activation of ectopically expressed *EGFRvIII* or CT Del1 mutants (Fig. 4C).

We then tested the effects of cetuximab and erlotinib on survival of mice xenografted with LN443 cells expressing the various forms of *EGFR*. Given the lack of tumorigenicity by LN443 cells expressing wild-type *EGFR*, there was no decrease in survival for mice xenografted with these cells (Fig. 4D), although the mice xenografted with LN443 cells expressing the *EGFR* mutants exhibited diminished survival, with a median of 79.5 days for the *EGFRvIII* and 55.5 days for the *EGFR* CT Del1 deletion (Fig. 4E and F).

With the tested dose of cetuximab, 90% (17 of 19) of the cetuximab-treated xenograft mice with oncogenic *EGFR* mutants survived for the 92-day duration of the treatment (Fig. 4E and F). These results showed that cetuximab exerted strong pharmacologic effects against brain tumors driven by oncogenic CT Del1 mutant as well as *EGFRvIII* mutant. In contrast to cetuximab, we observed that erlotinib had no statistically significant effect on survival of mice engrafted with LN443 cells expressing the *EGFRvIII* mutant, with median survival of 84 days for erlotinib treatment versus 79.5 days for untreated control mice engrafted with the same cells, respectively ($P = 0.63$; Fig. 4E) and only modestly improved the survival of the mice xenografted with LN443 cells expressing the *EGFR* CT Del1 mutant (55.5 vs. 60.5 days, $P = 0.04$; Fig. 4F). These results showed that cetuximab is more potent *in vivo* than erlotinib at the tested concentrations for preventing growth of tumors driven by *EGFR* CTD deletions.

Given that there was a significant antitumor effect in the cetuximab-treated xenograft mice with the *EGFR* CTD deletion mutants, we wanted to further study the long-term antitumor response of cetuximab in these mice. To this end, we divided the cetuximab-treated xenograft mice harboring CT Del1 mutant into 2 groups—in one group (5 mice), we discontinued cetuximab treatment for 60 days after the initial cetuximab treatment of 92 days, whereas in the other group (5 mice), we continued to administer the same dose of cetuximab as described above. Interestingly, the cetuximab-treated mice continued to survive for more than 60 days after the discontinuation of cetuximab treatment. Upon further investigation, we observed that none of the mice in either group had any sign of a brain tumor, suggesting that cetuximab may eradicate the tumors or prevent initial tumor formation after xenografting (data not shown).

Discussion

We here report both previously described (17, 18) and novel C-terminal deletion mutations of *EGFR* in GBM specimens. Furthermore, we showed that LN443 cells expressing an *EGFR* C-terminal deletion mutant form brain tumors in an intracranial mouse model. The survival of these xenografted mice was significantly prolonged by treatment with erlotinib or more potently by cetuximab. On the basis of our preclinical studies, we concluded that therapeutic targeting of *EGFR* with cetuximab may be a promising clinical approach to treat GBM

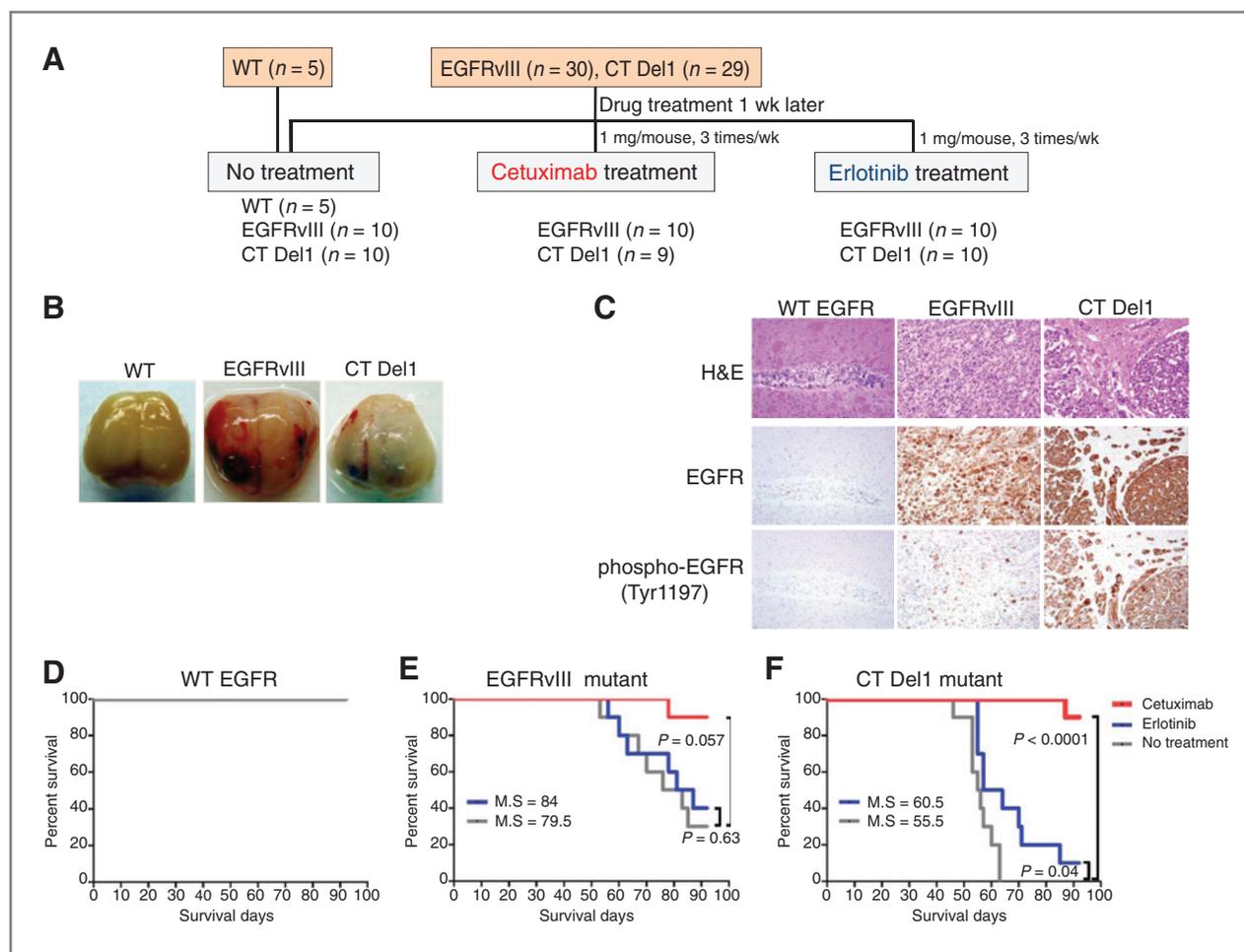


Figure 4. Anti-EGFR therapy is effective against brain tumors induced by oncogenic EGFR CTD deletion mutants. **A**, schematic to show the generation of the intracranial xenograft mouse model and the timing of anti-EGFR drug treatment. The indicated numbers of SCID mice were implanted intracranially with LN443 cells stably expressing either wild-type EGFR, EGFRvIII, or CT Del1 mutants. After 1 week, each mutant group of xenografted mice was assigned to receive either no treatment or erlotinib or cetuximab 3 times per week with the dose indicated in the schematic. **B**, representative images of excised brains from the untreated group of mice xenografted with LN443 cells expressing wild-type EGFR or the EGFRvIII or CT Del1 mutants, respectively. **C**, EGFRvIII and CT Del1 mutants are expressed and active in brain tumors originated from xenografted mice, whereas wild-type EGFR is neither detected nor active. Brain tumor sections prepared from no treatment group of xenograft mice of wild-type EGFR or EGFRvIII or CT Del1 mutants were stained with hematoxylin and eosin (H&E; top) stain or antibodies to total EGFR (middle) or phospho-EGFR (Tyr1197; bottom). **D–F**, cetuximab treatment prolongs survival of xenografted mice with oncogenic EGFR CT Del1 mutant as well as EGFRvIII mutant. The survival of treated or nontreated groups of xenografted mice described in (**A**) were monitored and depicted as a Kaplan–Meier curve (M.S., median survival). *P* values were calculated between nontreated and drug-treated mouse groups.

patients harboring tumors with EGFR C-terminal deletion mutants. In addition, identification of EGFR C-terminal deletions is likely to be an important biomarker for selection of targeted therapy for GBM patients.

Compared with the previous reports (17, 18), a relatively lower frequency of EGFR CTD deletion mutations was detected among GBM tumors in this study. One possible reason for this discrepancy is the use of distinct experimental approaches to achieve different study goals. We sought to identify any potential novel CT deletion mutation in addition to previous known mutations by systematic analysis of the preexisting TCGA genomic data sets. Thus, we applied stringent analytic parameters for selecting the potential CTD deletion mutations. Expanding upon previous findings, we were able to identify the

novel Ex27 deletions among GBM tumors and pursued further functional characterization of the mutants using *in vivo* and *in vitro* models. It is noted that 4 of 5 tumors (TCGA 02-0102, 02-0043, 06-02511, and 08-0356) with mutant *EGFR* genes harboring CTD deletion exhibit high amplification at *EGFR* and do not harbor any other *EGFR* mutation (data not shown).

The detailed molecular mechanism underlying cellular transformation by EGFR C-terminal deletion mutants is currently unknown. Ligand-independent heterodimerization of EGFR CTD deletion mutants with other endogenous ErbB family members and/or Met receptors is unlikely to contribute to oncogenic activity of these mutants, as we did not observe detectable levels of total or phosphorylated forms of ErbB2, ErbB3, ErbB4, or Met in cells transformed by EGFR CTD

deletion mutants (data not shown). In contrast to wild-type EGFR, we found that degradation of EGFRvIII mutant and EGFR CTD deletion mutants in response to EGF stimulation was diminished (Supplementary Fig. S5). As suggested in previous studies, this observation could be due to a low level of receptor internalization of EGFR CTD deletion mutant and/or lack of Y1069 phosphorylation on the mutant EGFR, which is required for Cbl-mediated receptor proteolysis (40, 41). Therefore, dysregulation of receptor degradation could be one of the possible oncogenic mechanisms of EGFR CTD deletion mutant, which is consistent with previous reports (42, 43). In addition, the CTD deletion mutants may have altered EGFR substrate specificity/receptor degradation or no longer bind regulatory proteins including Mig6, which has been found to negatively regulate EGFR kinase activity by blocking the activating dimer interface (44–46). Further experiments are needed to test these possibilities and to characterize a detailed mechanism of oncogenic activation of EGFR CTD deletion mutants.

We found that the levels of phosphorylation of the major EGFR downstream signaling mediators including STAT3, STAT5, Shc, and Akt were increased in the cells expressing EGF-stimulated EGFR CTD deletion mutants, suggesting that the enzymatic activity of these mutants are able to be further enhanced by ligand, unlike EGFRvIII mutant in the absence of autophosphorylation (Supplementary Fig. S6). Interestingly, the robust induction of phosphorylation of STAT3, STAT5, and Shc were observed specifically among EGFR CTD deletion mutants, whereas high levels of Src phosphorylation were detected on the EGFRvIII mutant (Supplementary Fig. S6), suggesting that the EGFR CTD deletion mutants may activate different downstream signaling pathways compared with EGFRvIII and wild-type EGFR. Further investigation will be needed to validate this possibility. Given that both CT1054NT and CT Dell mutants are oncogenic, we hypothesized that the region between amino acids 1,055 and 1,152 may play an important role in inhibiting ligand-independent activation of EGFR. This hypothesis is further supported by recent reports that EGFR CTD modulates kinase domain dimer formation required for enzymatic activation of EGFR (47, 48).

Our *in vivo* studies showed that erlotinib and, more potently, cetuximab affected the tumorigenicity of the oncogenic EGFR CTD deletion mutants in mouse xenograft models. However, it is not clear whether the prolonged survival of mice observed in the intracranial mouse model is derived from direct antitumor

effect of the drug against established mouse tumors or blockage of initial tumor formation. Given that the results using our subcutaneous mouse model clearly showed that both drugs effectively diminished growth of established tumors, we believe that eradication of mouse brain tumors in cetuximab-treated intracranial mice is likely due to the direct killing effect of drug on tumor cells formed by oncogenic EGFR mutants. Considering the translational impact of this distinction for potential clinical utility, it should be more clearly addressed by additional *in vivo* experiments in future.

Previous studies have suggested that cetuximab may exert antitumor effects via immune responses, promoting receptor degradation, and antibody-dependent cellular cytotoxicity (49). In addition, prevention of receptor activation by directly blocking ligand binding and/or indirectly blocking the receptor dimerization was proposed as a possible pharmacologic mechanism of cetuximab (50). Given that the degradation of CTD deletion mutants is impaired (42, 43), we believe that receptor degradation may not be a major mechanistic role of dramatic antitumor effects of cetuximab against EGFR CT deletion mutants in our model systems. The relative contribution of each mechanism is currently under investigation.

Disclosure of Potential Conflicts of Interest

M. Meyerson is a consultant to Novartis; receives research support from Novartis and Genetech; is a founding advisor and consultant to, and an equity holder in, foundation Medicine; and is a patent holder for EGFR mutation testing, licensed to Genzyme Genetics. The other authors disclosed no potential conflicts of interest.

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